

# **Modeling the Mechanical Behavior of Soft Active Materials**

by

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# Abstract

Soft active materials are capable of converting chemical or physical energy into mechanical work in response to various environmental stimuli such as pH, solvent, salt, heat, humidity, electric or magnetic field, and light. These materials are generally lightweight, inexpensive, fracture tolerant and pliable. They can be configured into various conceivable shapes and their properties can be tailored to suit a broad range of requirements. Because of these attractive characteristics, they have attracted considerable attention for biomimetic or bioinspired systems. The objective of this work is to develop models that are capable of accurately describing the physical-mechanical behavior of soft active materials. A common set of methods that can be applied to the study of various kinds of soft materials was developed. The methods covered different stages of the study, from material characterization to constitutive modeling, from simulation to experiments.

In this work, we focused on three kinds of soft active materials: dielectric elastomers, thermoresponsive gels and shape memory polymers. In the first part of this work, we investigated the temperature dependent viscoelastic behavior of dielectric elastomers and the effects of viscoelasticity on the electro-actuation behavior. We measured the viscoelas-

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tic relaxation spectrum of VHB 4905 and applied the results to a discrete multi-process viscoelastic model. The model generally showed good quantitative agreements with experimental measurements in terms of both the pure-mechanical and electro-mechanical behaviors of the material. The model was able to qualitatively capture the dependence of the electric breakdown time on voltage and pre-stretch. In the second part of this work, we studied thermoresponsive bilayer plates with soft and stiff segments that exhibit bidirectional and biaxial curving. We investigated the mechanism underlying the deformation modes through finite element simulation and explored the effects of geometrical factors such as the aspect ratio and the segment spacing. In the final part of this work, we developed a constitutive model based on the effective temperature theory that is capable of describing strain hardening behavior in a thermodynamically consistent manner. The model incorporated two mechanisms: one represents the stretching and orientation of the polymer network, which leads to the development of a backstress; the other one represents the network relaxation, which accounts for the temperature and rate dependence of strain hardening. The model was implemented into finite element programs and was applied to simulate the thermomechanical behavior of polycarbonate. All of the model parameters were determined through standard thermomechanical tests. The simulation results showed good agreement with experiments and the temperature dependence, strain rate dependence and strain state dependence of hardening were quantitatively captured.

## ABSTRACT

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# Dedication

This thesis is dedicated to my family, particularly my grandma.

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# **Chapter 1**

## **Introduction**

This chapter provides a brief review for soft active materials. Particular attention is given to the three kinds of materials that were studied in this thesis: dielectric elastomers, thermoresponsive gels and shape memory polymers. For each kind of material, the introduction describes the physical, mechanical and thermodynamical properties as well as representative applications. The introduction provides background information for the discussions in following chapters. The chapter concludes with the objectives of this thesis.

### **1.1 Soft Active Materials and their Applications**

Soft active materials are capable of transforming various forms of energy to mechanical work in response to environmental stimuli such as pH, heat, humidity, solvent, salt, electric or magnetic field, and light. These materials are generally inexpensive, lightweight and

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their properties can be tailored based on requirements of applications. These attractive features give them great potential for a wide range of applications, such as biomimetic systems inspired from living organisms [2, 20]. A variety of soft materials and their corresponding stimuli are summarized in Table 1.1.

Materials	Stimuli
Gel	pH, salt, solvent, heat, light, electric and magnetic fields
Conductive polymer (CP)	electric field and humidity
Shape memory polymer (SMP)	heat, light, electricity, pH and solvent
Elastomer	electric field and light
Carbon nanotube (CNT)	electric field etc.
Biomaterial	ATP etc.

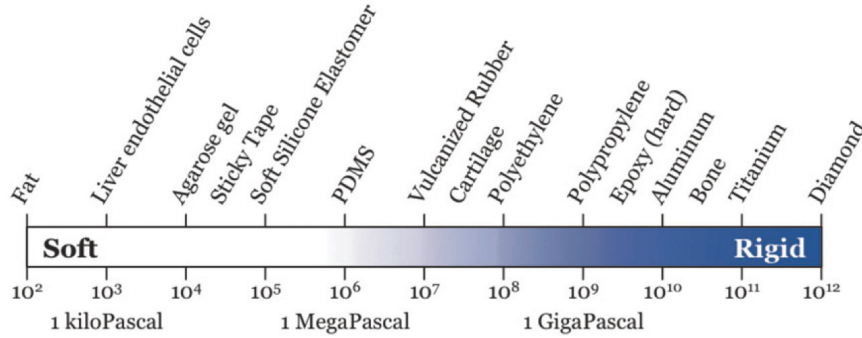
**Table 1.1:** Soft active materials and their corresponding stimuli.

The term "soft" is a relative concept. Fig. 1.1 summarizes the Young's modulus of various materials [4]. Soft materials describe a broad class of substances, from glassy polymers with a Young's modulus of 1-3 gigapascals to hydrogels with moduli on the order of kilopascals [21]. A more precise definition for soft materials was given by De Gennes [22], who characterized soft matter as a class of materials that give a "large response to small perturbations" instead of being physically soft. This definition is more clear and straightforward in the context of this thesis. Any material that exhibits large strains in response to external stimulus can be described as "soft". Soft materials generally have some common features in terms of microstructures. The materials are held together by weak intermolecular interactions instead of atomic bonds, which makes the structure easily affected by energies in the order of  $k_B T$ , where  $k_B$  is the Boltzmann constant and  $T$  is



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temperature. The microstructure is in a relatively disordered state, with no ordering or only short range ordering in the arrangement of molecules, compared to the long range ordering in crystals [21].



**Figure 1.1:** Young's modulus for various materials, reprinted with permission from Mary Ann Liebert, Inc. [4].

Active materials, also referred to as smart materials, intelligent materials or adaptive materials, describe those that are capable of converting energy among multiple physical states [5]. A physical state can be described by a set of state variables. For example the thermal state can be described by state variables of temperature and entropy. Other examples are summarized in Fig. 1.2 [5]. Active materials exhibit coupling between multiple physical states, which means the state variables in one physical state has an impact on the state variables of another physical state. For example, electric charge accumulate in piezoelectric materials in response to applied mechanical stress, which is known as electromechanical coupling. Based on this idea, an important method for modeling soft active materials, called the state variable approach, can be developed. This approach involves basic balance laws, state variables in different physical states, and constitutive equations

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that relate state variables together. The first law of thermodynamics, which states that the change in internal energy of a system is equal to the heat added to the system minus the work done by the system, brings together state variables from different physical states. For example, the mechanical work given by the product of stress and strain, and the electrical work given by the product of electric field and electric displacement, both result in work being done on a body and an increase in internal energy. This state variable approach is applied and discussed in detail in the following chapters of this thesis.

Mechanical	Electrical	Thermal	Magnetic	Chemical
Stress	Electric field	Temperature	Magnetic field	Concentration
Strain	Electric displacement	Entropy	Magnetic flux	Volumetric flux

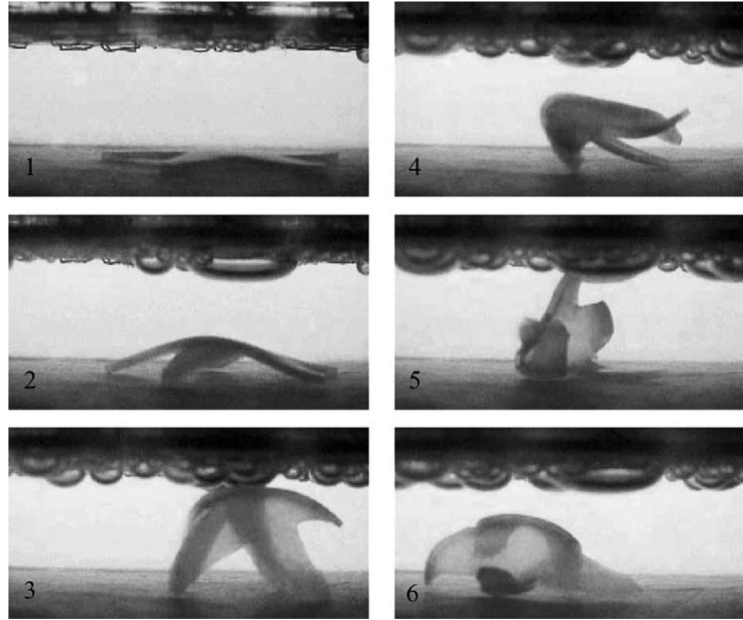
**Figure 1.2:** Physical states and associated state variables, reprinted with permission from Wiley [5].

A number of soft actuators have been made from synthetic or natural materials. These soft actuators were further developed to lightweight, inexpensive and efficient artificial muscles and soft robots for practical applications (2, 23–33). Traditional robots are made from rigid materials, which generally makes them bio-incompatible because of the giant mismatch in stiffness between the robot structure and the biological tissues. In addition, the rigid structure may limit the robots' capability of interacting with the surrounding environment under some circumstances, for example, performing tasks in congested environments. In contrast, biological organisms with soft structures can adapt to environmental changes and generate sophisticated motions. Examples include an elephant trunk and octopus arm

## CHAPTER 1. INTRODUCTION

etc. (34–37) Inspired by these natural structures (38–45), researchers have designed various soft robots for practical applications. Unlike rigid robots that have limited number of degrees of freedom granted by actuators installed at multiple joints, soft robots have actuators distributed over the whole body, which gives them theoretically infinite number of degree of freedoms and configurations [46]. Moreover, soft robots are capable of squeezing into confined spaces and exhibit large strain and biocompatibility [4]. Examples of several soft robots are shown in Fig. 1.3 [4, 46].

## CHAPTER 1. INTRODUCTION



(a)



(b)

**Figure 1.3:** Examples of soft robots (a) A starfish gel robot that turns over, reprinted with permission from Elsevier [6]. (b) Soft field robot for military reconnaissance, natural disaster relief, and pipe inspection, reprinted with permission from Mary Ann Liebert, Inc. [4].

In the scope of this work, we focused on three kinds of soft materials: dielectric elas-

tomers, thermo-responsive gels and shape memory polymers. They are introduced respectively in the following sections.

## 1.2 Dielectric Elastomers

### 1.2.1 Dielectric Elastomers as High-Performance Electroactive Polymers

Electroactive polymers (EAPs) can deform when exposed to electronic fields. As a representative category of soft active materials, they have exhibited great potential in widespread applications. There are two kinds of activation mechanism for EAPs, electrical activation driven by electrical fields and ionic activation driven by the motion of ions. Among various EAPs, dielectric elastomers, as a kind of electronic EAP, are closest to animal muscles [47]. Compared to other active materials, dielectric elastomers have unique attractive features such as fast response and good electro-mechanical converting efficiency [1]. Table 1.2 compares muscles with several kinds of active materials [1]. As shown in the table, although their cycle of life is relatively short, dielectric elastomers match or overmatch muscles in terms of stress and strain level, work density and continuous power [31, 48]. Some representative applications and corresponding advantages of dielectric elastomers are summarized in Table 1.3 [2].

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## CHAPTER 1. INTRODUCTION

	<b>Mammalian Skeletal Muscle</b>	<b>Dielectric Elas- tomers</b>	<b>Relaxor Ferro- electric Polymers</b>	<b>Conducting Polymers</b>	<b>NiTi Shape Memory Alloys</b>
Strain (%)	20-40	10 to > 100	3-10	2-12	1-8
Stress (MPa)	0.1-0.35	0.1-2 typ- ical, 3-9 maximum	20-45	1-100	200
Work density (kJ/m <sup>3</sup> )	8-40	10-150 typ- ical, 3400 maximum	1000	70-100	> 1000
Density (kg/m <sup>3</sup> )	1037	1000	2000	1300	6450
Strain rate (%/s)	> 50	450 in VHB, 34000 in silicone	> 2000 at 0.1% strain	1-12	300
Continuous power (W/kg)	50-280	500	> 1000	150	> 1000
Electro- mechanical coupling (%)	< 40 effi- ciency	30 typ- ical, 90 maximum	10-40	< 10	< 5
Cycle life	> 10 <sup>9</sup>	10 <sup>6</sup> at 50% strain	-	800000 best to date	300 at 5%, 10 <sup>7</sup> at 0.5%
Modulus (MPa)	< 10 – 60	0.1-3	400-1200	500	20000- 80000
Voltage (V)	< 1	> 1000	1000	2	Low

**Table 1.2:** Actuators comparisons, data adapted from [1].

## CHAPTER 1. INTRODUCTION

<b>Application</b>	<b>Existing Technology</b>	<b>Actuator</b>	<b>Potential Advantages of DEs</b>
Motors	Electromagnetics		Higher power density, lower-speed operation (eliminates gearing); low-cost materials
Linear Actuators	Electromagnetics, ceramic piezoelectrics (small stroke)		Higher energy density, higher power density, greater efficiency at low speeds; lower-cost materials and production; greater variety of shapes and sizes
Loudspeakers	Electromagnetic, ceramic, electrostatic (air gap)		Lighter weight; more flexibility in design shape (e.g., conformal, flat), higher power output; low-cost materials
Elastomer	Electromagnetics		Higher power density, lower speed operation, novel designs; lower cost materials
Robotic Actuators	Electromagnetic motors		Higher energy and power densities; ability to operate in direct drive; greater simplicity; ability to be configured in novel shapes more easily (e.g., snakelike robots); lower cost; ability to combine actuation, sensing, and structure in a single material; ability to match the passive behavior of natural muscle for biomimetics

## CHAPTER 1. INTRODUCTION

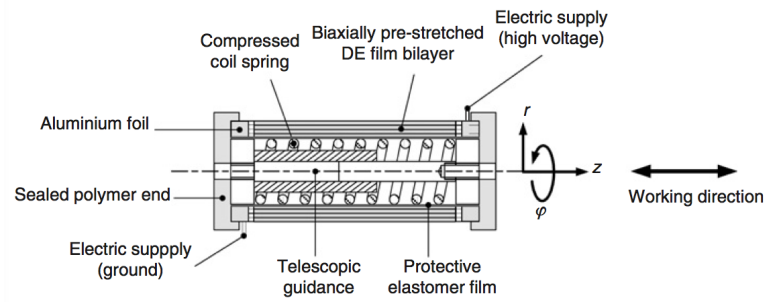
MEMS	Electrostatics	Higher energy and power density; simpler design; imperviousness to dust and other contaminants; ability to combine actuation and structure
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**Table 1.3:** Representative applications for dielectric elastomers, table adapted from [2].

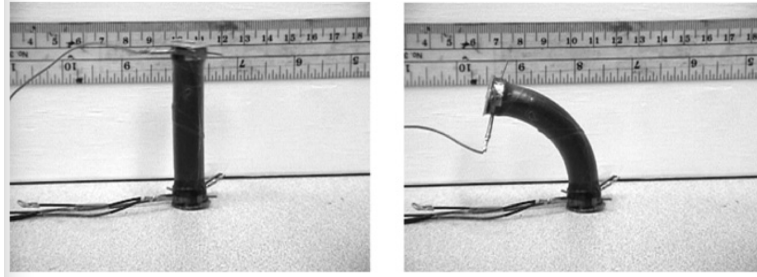
Dielectric elastomers are capable of reversible conversion between electrical energy and mechanical energy. Therefore, they can be developed for sensors in which the mechanical work is converted to electrical signals, or be developed to actuators that convert electrical energy to mechanical work. One of the intriguing features of DE based actuators is that they can achieve three dimensional motions with one dimensional configurations, which makes them simple to manufacture and mechanically robust [49, 50]. This can be realized by proper patterning of the compliant electrodes. One representative example is the spring roll actuator, as shown in Fig. 1.4. A schematic of the design of a rolled DE actuator is shown in Fig. 1.4(a) and a spring roll that can extend in the axial direction and bend is shown in Fig. 1.4(b). Fig. 1.4(c)-1.4(d) show a DE artificial muscle and a six-legged robot as examples of applications of the roll actuators [1].



## CHAPTER 1. INTRODUCTION



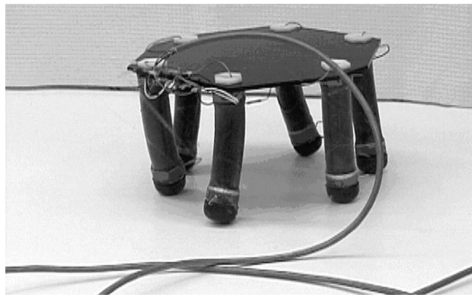
(a)



(b)



(c)



(d)

**Figure 1.4:** Spring roll DE actuators and their applications, images adapted from [1], (a) Schematic of the design of rolled DE actuators, (b) A spring roll actuator exhibiting elongation and bending, (c) Rolled actuator on a full-size skeletal arm model, (d) A soft robot using spring rolls as legs.

## 1.2.2 Thermodynamics of Dielectric Elastomers

The thermodynamic theory of dielectric elastomers was developed by Suo [7] and is briefly summarized below. From the first law of thermodynamics, when the material subjected to an applied force  $P$  and an applied voltage  $\Phi$ , the change in the Helmholtz free energy  $\delta F$  equals the sum of the mechanical work and electrical work,

$$\delta F = P\delta l + \Phi\delta Q, \quad (1.1)$$

where  $\delta l$  is a small variation in displacement and  $\delta Q$  is a small variation in amount of charge. The Helmholtz free energy of the material is a function of the two independent state variables  $(l, Q)$ ,  $F = F(l, Q)$ . Therefore,

$$\delta F = \frac{\partial F(l, Q)}{\partial l} \delta l + \frac{\partial F(l, Q)}{\partial Q} \delta Q. \quad (1.2)$$

From eq. (1.1) and eq. (1.2),

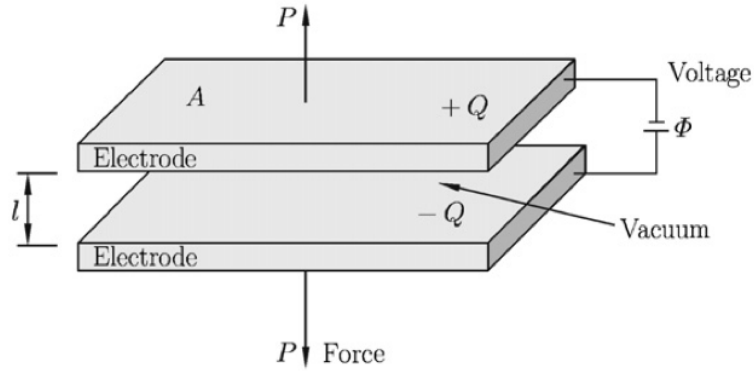
$$\left( \frac{\partial F(l, Q)}{\partial l} - P \right) \delta l + \left( \frac{\partial F(l, Q)}{\partial Q} - \Phi \right) \delta Q = 0. \quad (1.3)$$

## CHAPTER 1. INTRODUCTION

For arbitrary variations  $\delta l$  and  $\delta Q$ , eq. (1.3) must hold. Consequently, the two coefficients on the left hand side equal zero, giving,

$$\begin{aligned} P &= \frac{\partial F(l, Q)}{\partial l}, \\ \Phi &= \frac{\partial F(l, Q)}{\partial Q}. \end{aligned} \tag{1.4}$$

The above equations can be applied to a parallel-plate capacitor as shown in Fig. 1.5. From the elementary fact that the amount of charge on either electrode is linear with voltage,



**Figure 1.5:** A parallel-plate capacitor subjected to force  $P$  and voltage  $\Phi$ , figure adapted from [7].

$$\Phi = \frac{lQ}{\epsilon_0 A}, \tag{1.5}$$

where  $\epsilon_0$  is the permittivity of the vacuum and  $A$  is the area of the electrodes. Substituting eq. (1.5) to eq. (1.4) and integrating gives,

$$F(l, Q) = \frac{lQ^2}{2\epsilon_0 A}. \tag{1.6}$$

## CHAPTER 1. INTRODUCTION

Inserting eq. (1.6) into eq. (1.4) gives

$$P = \frac{Q^2}{2\epsilon_0 A}. \quad (1.7)$$

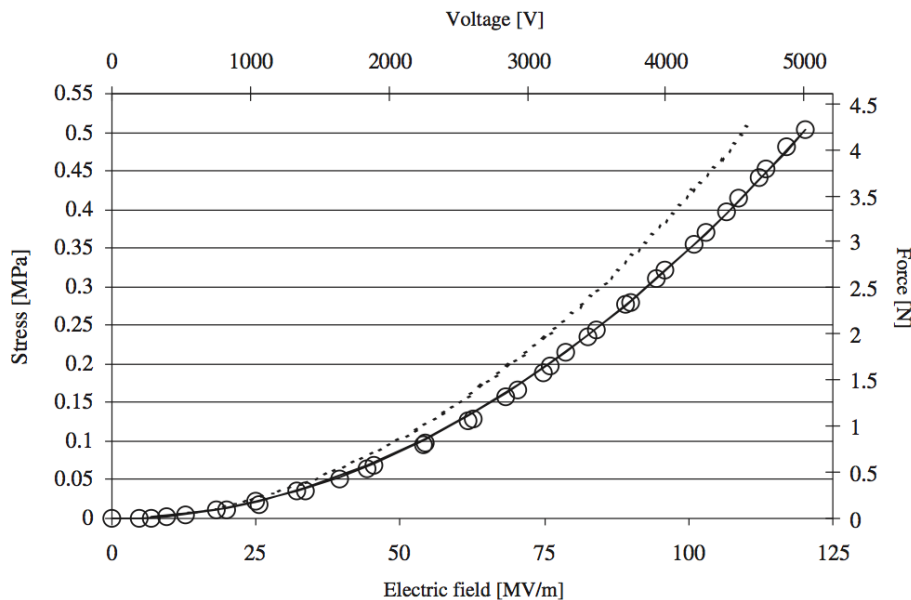
Defining electric field  $E = \Phi/l$  and stress  $\sigma = P/A$ , and rewriting eq. (1.7) as,

$$\sigma = \frac{1}{2}\epsilon_0 E^2. \quad (1.8)$$

gives the stress needed to balance the electrostatic attraction and this stress is known as the Maxwell stress. For ideal dielectric elastomers [7], the Maxwell stress shares the similar quadratic form with eq. (1.8), replacing the permittivity of vacuum  $\epsilon_0$  to the permittivity of the material  $\epsilon$ ,

$$\sigma = \frac{1}{2}\epsilon E^2. \quad (1.9)$$

Fig. 1.6 shows the stress vs. electric field data from experiments and quadratic fits from eq. (1.9) [8].



**Figure 1.6:** Stress vs. electric field data from experiments for VHB 4910 and quadratic fits from eq. (1.9) with  $\epsilon = 4.7\epsilon_0$ , reprinted with permission from SAGE [8].

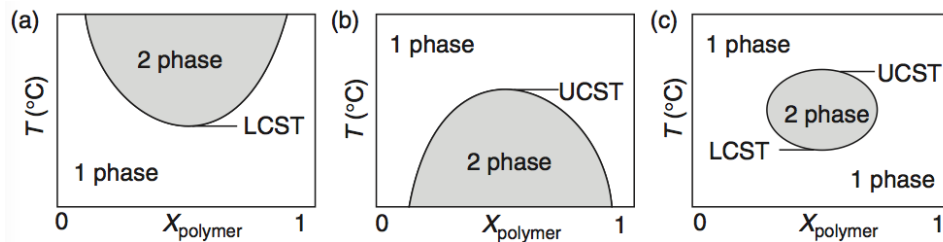
## 1.3 Thermo-responsive Gels

Stimuli responsive gels have been widely applied in the development of multi-functional biomimetic structures [51]. Among various kinds of stimuli responsive gels, thermo-responsive gels are particularly intriguing, because temperature change widely exists in nature and temperature as an external stimulus can be controlled easily and accurately. Moreover, the phase transition of thermo-responsive gels in aqueous solutions is attractive for biomedical applications, such as drug delivery and switchable synthetic cell culture surfaces (52–54).

The behavior of a polymer in aqueous solution is the consequence of competition between like and unlike interactions among polymer molecules and solution molecules. For

## CHAPTER 1. INTRODUCTION

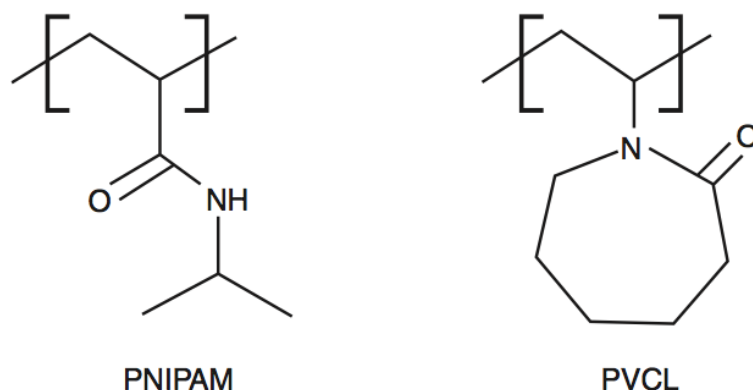
thermo-responsive gels, a phase transition occurs in response to a temperature change, where the polymer-solution system transforms from a homogeneous phase to a two-phase state consisting of a polymer concentrated phase and a dilute phase. In this process, the clear solution becomes cloudy at a certain temperature known as the cloud point (CP) because of the formation of droplets with high concentrations of polymers [10]. When the phase separation happens above a threshold temperature, the transition is known as a lower critical solution temperature (LCST) transition; when it happens below a threshold temperature, the transition is known as an upper critical solution temperature (UCST) transition. Different types of phase transition are illustrated in Fig. 1.7 [9], namely the LCST transition, UCST transition and closed loop coexistence of LCST and UCST transitions. The schematically drawn binodal curves represent the equilibrium concentration of the two phases in the phase-separated state. The LCST is defined as the lowest temperature of the binodal curve (Fig. 1.7 (a)) while the UCST is defined as the highest temperature of the binodal curve (Fig. 1.7 (b)). Closed loop coexistence has also been reported for a small number of polymers (Fig. 1.7 (c)).



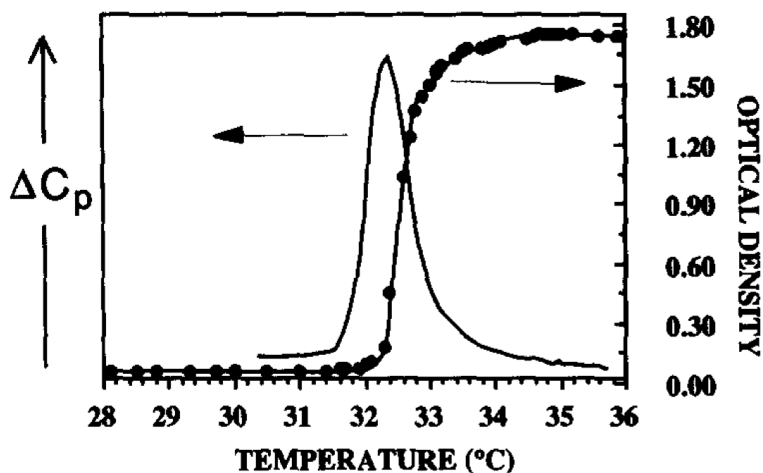
**Figure 1.7:** Schematic representation of the polymer phase diagrams for polymers exhibiting (a) LCST behavior, (b) UCST behavior and (c) closed loop coexistence, figures reprinted from [9].

## CHAPTER 1. INTRODUCTION

In this thesis, we focused on the LCST transition. Gels with an LCST exhibit phase separation above some critical temperature. The hydrophilic polymer chains become hydrophobic, resulting in a dehydrated polymer rich phase. Structures of poly(N-isopropylacrylamide) (pNIPAM) and poly(N-vinyl caprolactam) (pVCL), two kinds of gels that undergo an LCST transition, are shown in Fig. 1.8 [9]. From a thermodynamic view, the Gibbs free energy ( $\Delta G = \Delta H - T\Delta S$ ) of mixing the polymer with water is negative at low temperature, but becomes positive at high temperature. Such behavior is possible only if both of the following two conditions are met: a) the enthalpy of dissolution  $\Delta H$  is negative, which means the bonding between polymer chains and water molecules is favorable; b) the entropy contribution  $\Delta S$  is also negative, which means that entropy decreases for hydration. Upon increasing the temperature, the entropy term ( $T\Delta S$ ) becomes dominant, leading to a positive Gibbs free energy of mixing and consequently phase separation. The LCST transition is fully reversible. In general, the LCST strongly depends on the polymer structure. For example, introducing hydrophilic end-groups or more hydrophilic (co)monomers increases the LCST. The simplest and most convenient method of determining the LCST is by measuring the cloud point. A typical cloud point curve is illustrated in Fig. 1.9 [10]. Another method is by using differential scanning calorimetry (DSC). Heskins and Guillet [55] first reported that an endothermic overshoot can be observed at the LCST upon heating aqueous solutions of pNIPAM. As demonstrated in Fig. 1.9, the transition temperature detected by DSC is in good agreement with results from cloud point measurements.



**Figure 1.8:** Structures of poly(N-isopropylacrylamide) (PNIPAM) and poly(N-vinyl caprolactam) (PVCL), figures reprinted from [9].



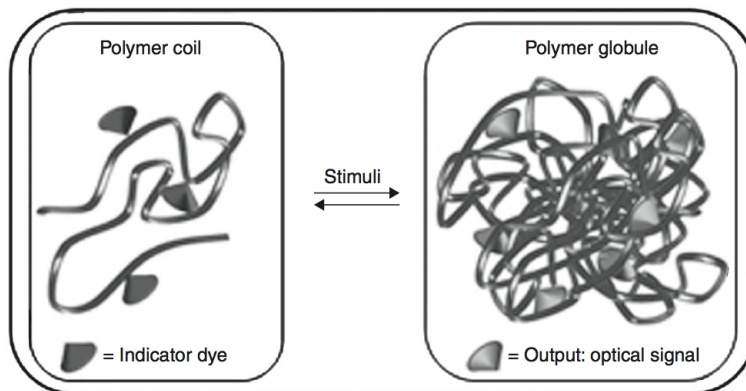
**Figure 1.9:** Cloud point and DSC measurements of pNIPAM, reprinted with permission from Elsevier [10].

Thermo-responsive gels have been applied in soft robotics (56–62), drug delivery (59, 63–68) and surgery [69]. Fig. 1.10 and Fig. 1.11 demonstrate two example applications. The LCST transition is accompanied by partial dehydration of the polymer network, which can be applied for the development of polymeric temperature sensors. The incorporation of dye molecules in the polymer side chain provides a temperature sensitive change in color

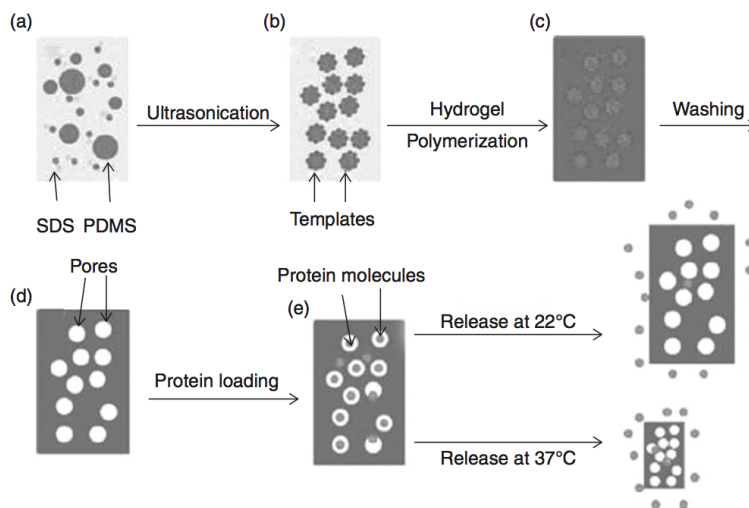


## CHAPTER 1. INTRODUCTION

or fluorescence, as shown in Fig. 1.10 [11]. Zhang et al. [12] prepared micro-structured, temperature-sensitive pNIPAM hydrogels and utilized these porous hydrogels as matrices for controlled protein release (Fig. 1.11).



**Figure 1.10:** Schematic representation of the concept of polymeric sensors based on a polymer phase transition. The thermoresponsive structure and solvatochromic dyes provide a temperature sensitive change in color or fluorescence, figure adapted from [11].

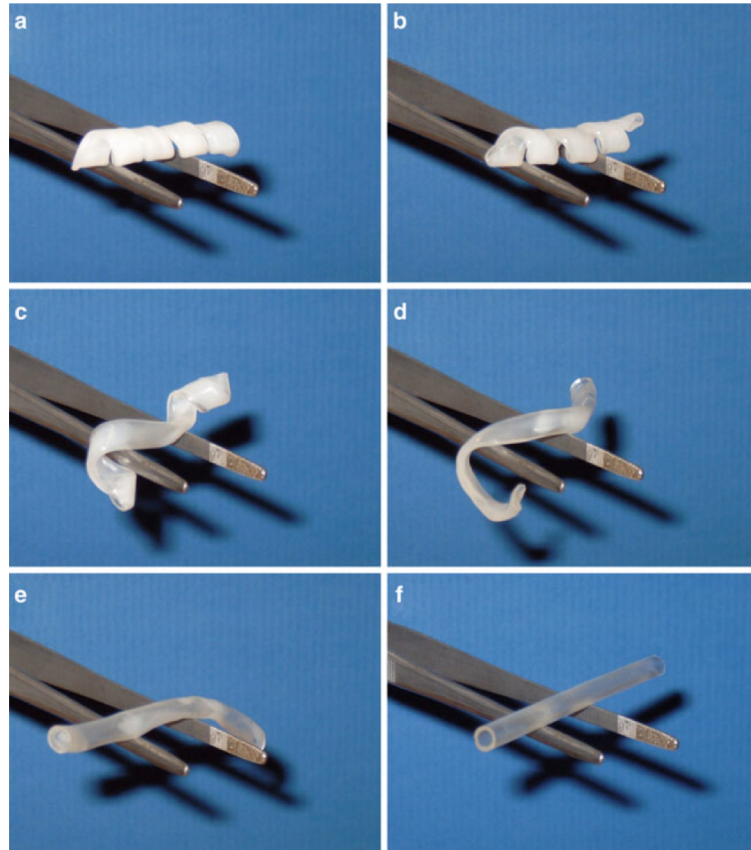


**Figure 1.11:** (a-e) Schematic illustration of preparation of porous smart pNIPAM hydrogels and their application for protein release, reprinted with permission from Elsevier [12].

## **1.4 Shape Memory Polymers**

### **1.4.1 The Shape Memory Effects**

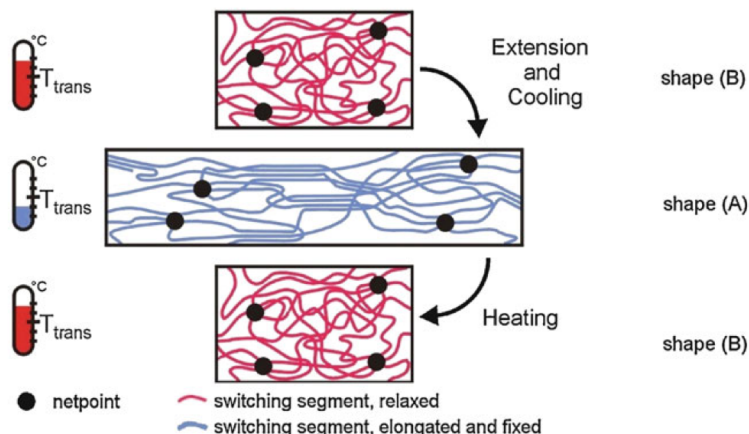
Shape memory polymers can be easily programmed to a temporary shape at high temperature because of their low stiffness. Upon cooling to low temperature, the temporary shape is fixed and stabilized. When heated to high temperature again, the material recovers to its permanent configuration, as if it "memorizes" this shape. This is known as the shape memory effect. The motion during recovery can be prescribed because it reverses the motion in programming. An example of a shape-memory tube is shown in Fig. 1.12 [13].



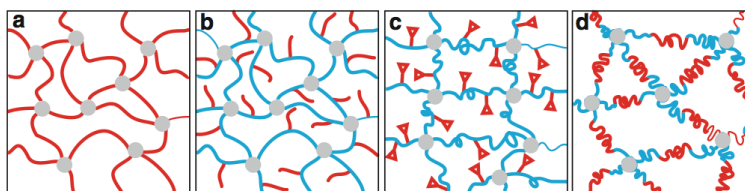
**Figure 1.12:** Recovery of a shape-memory tube: (a)-(f) images taken in the recovery process, reprinted with permission from Taylor & Francis [13].

Fig. 1.13 depicts the shape memory cycle. The polymer network involves netpoints determining the permanent configuration and chain segments that respond to external stimuli. Netpoints can be formed by covalent bonds (chemical crosslinks) for thermosets or physical entanglements for thermoplastics. Examples of polymer networks that exhibit the shape memory effect are shown in Fig. 1.14 [13, 70, 71].

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**Figure 1.13:** Polymer network undergoing shape memory effect, reprinted with permission from Taylor & Francis [13].



**Figure 1.14:** Examples for polymer network exhibiting shape memory effects (a) stimuli sensitive segments connecting netpoints, (b) side chains as stimuli sensitive segments, (c) functional groups as stimuli sensitive segments, (d) ABA triblock segments connecting netpoints, reprinted with permission from Taylor & Francis [13].

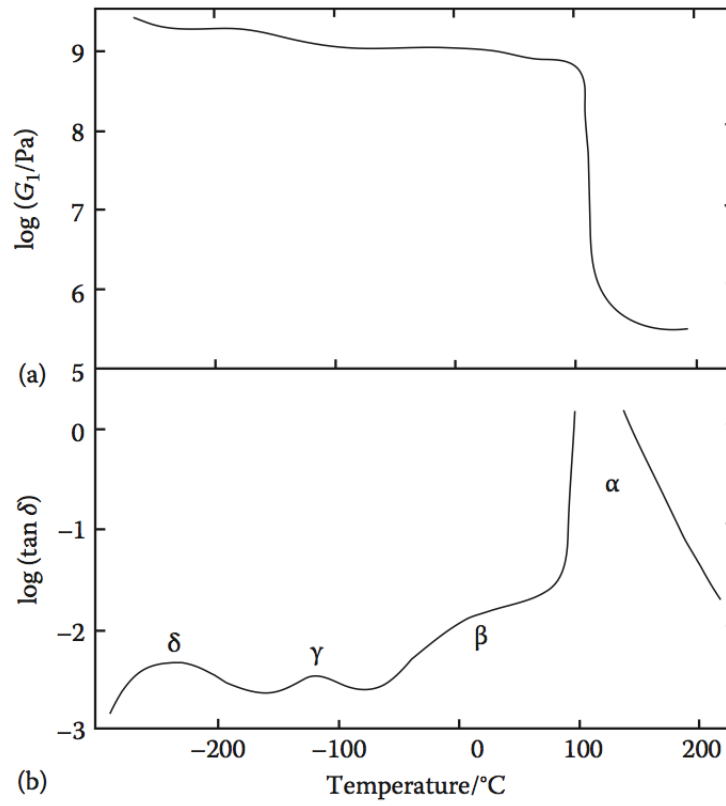
SMPs exhibit large deformation in response to various kinds of stimuli. Their structures can be conveniently tailored to meet requirements of a wide range of applications. Additional functional groups can be implemented in the polymer network to achieve multifunctionality [72]. Sample applications of SMPs include packaging foils [13] and reusable composite tooling [73]. Further examples include smart adhesives [74] and self-disassembling fasteners for mobile phones [75].

## 1.4.2 Glass Transition, Physical Aging and Mechanical Rejuvenation

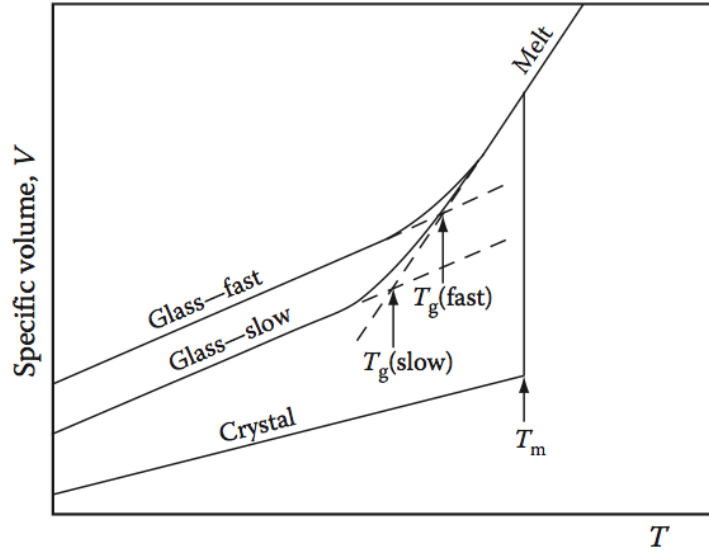
### 1.4.2.1 Glass Transition

Shape memory polymers transform from rubbery state at high temperature to glassy state at low temperature, a phenomenon known as the glass transition. The corresponding transition temperature is denoted as  $T_g$ . The material undergoes a significant change in a number of properties. For example, the stiffness reduces dramatically when the material is heated above  $T_g$ . Figure 1.15 shows the storage modulus and  $\tan \delta$  of polystyrene at different temperatures [14]. At low temperatures, the polymer chains have low mobility and the material exhibits a high glassy modulus. At elevated temperatures, the polymer structure obtains more mobility resulting in a dramatic decrease in the modulus. Another physical property that changes with the glass transition is the coefficient of thermal expansion (CTE). Above  $T_g$ , the polymer has a relatively large rubbery CTE, which can be determined from a linear fit of the specific volume versus temperature curve in the high temperature region. Below  $T_g$ , the CTE reduces to a smaller value that can be determined from a linear fit of the same curve in the low temperature region. The intersection of the two straight lines gives the glass transition temperature, the value of which depends on the cooling rate, as shown in Fig. 1.16 [14]. A lower cooling rate results in a lower value of  $T_g$ .

## CHAPTER 1. INTRODUCTION



**Figure 1.15:** Change of (a) storage modulus and (b)  $\tan \delta$  at different temperature for polystyrene, reprinted with permission from Taylor & Francis [14].

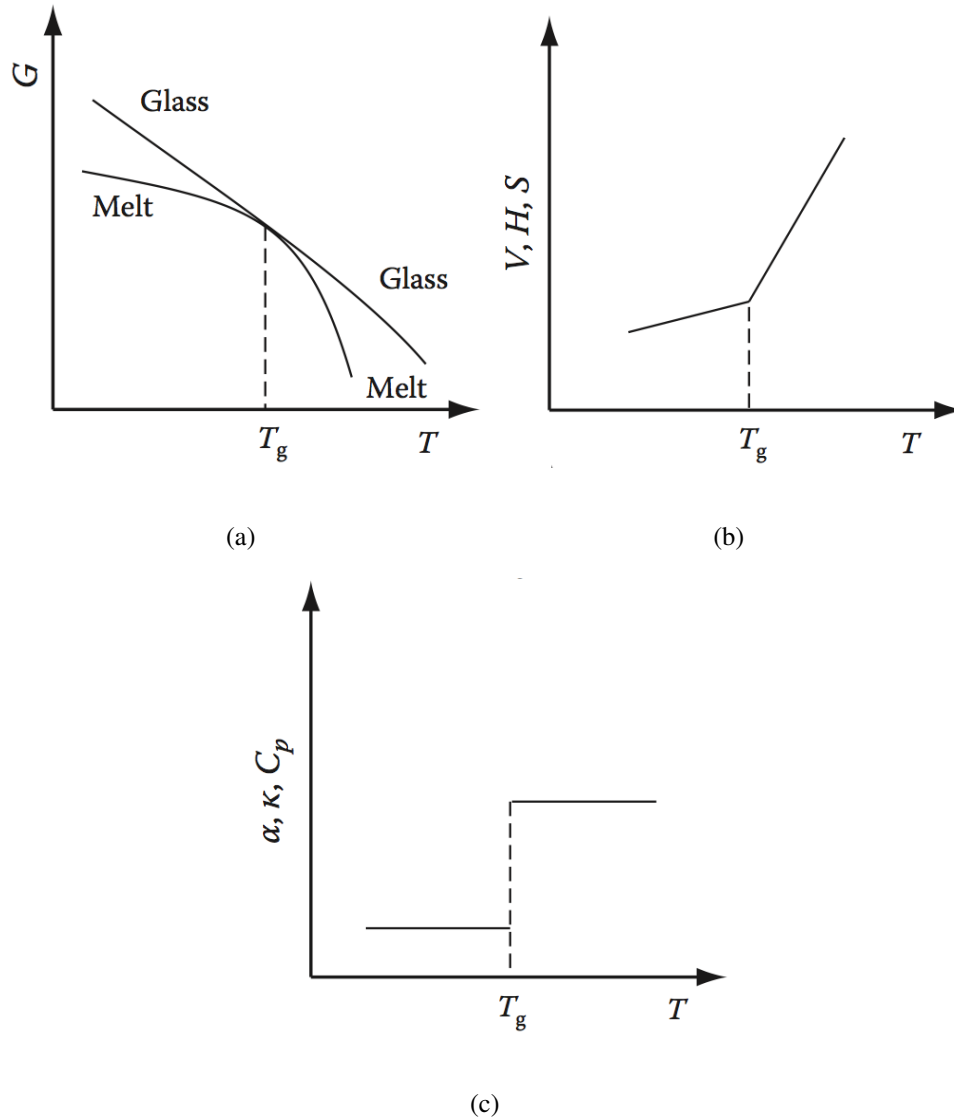


**Figure 1.16:** Specific volume vs. temperature for different materials, reprinted with permission from Taylor & Francis [14].

From a thermodynamic point of view, transitions can be categorized as first order transitions and second order transitions. The Gibbs free energy  $G$  is a function of independent state variables, volume  $V$ , pressure  $P$  and temperature  $T$ . For first order transitions,  $G$  is a continuous function of  $V$ ,  $P$  and  $T$ , but the first partial derivatives of  $G$ , including the volume  $V = \left(\frac{\partial G}{\partial P}\right)_T$ , the enthalpy  $H = \left(\frac{\partial(G/T)}{\partial(1/T)}\right)_P$  and the entropy  $S = -\left(\frac{\partial G}{\partial T}\right)_P$ , are discontinuous. For second order transitions, the Gibbs free energy and its first order derivatives are continuous, but the second order derivatives, including the thermal expansion coefficient  $\alpha = \frac{1}{V} \left(\frac{\partial}{\partial T} \left(\frac{\partial G}{\partial P}\right)_T\right)_P$ , the compressibility  $\kappa = \left(\frac{\partial^2 G}{\partial P^2}\right)_T$  and heat capacity  $C_p = -T \left(\frac{\partial^2 G}{\partial T^2}\right)_P$ , become discontinuous. Fig. 1.17 shows the temperature dependence of the above thermodynamics quantities through the glass transitions [14]. As shown in the figure, the glass transition shares some features of a second-order transition. However,

## CHAPTER 1. INTRODUCTION

Fig. 1.16 shows that  $T_g$  depends on cooling rate, which is different from a standard second order transition [14].

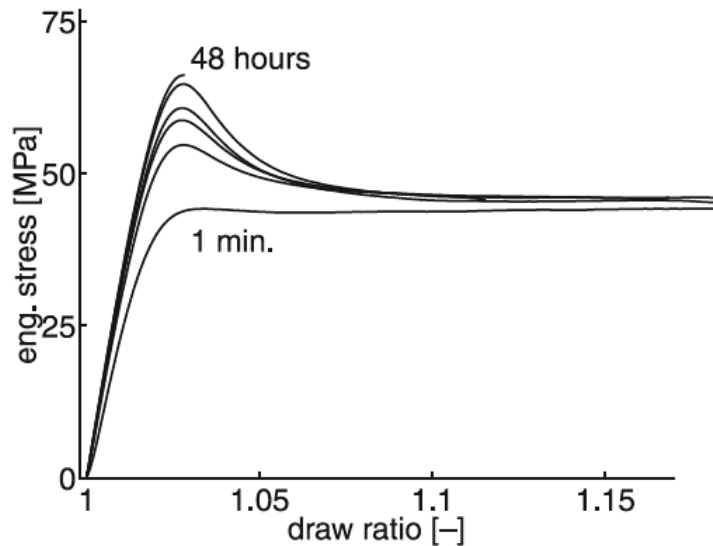


**Figure 1.17:** Schematic illustration of the temperature dependence of thermodynamic parameters during the glass transition, reprinted with permission from Taylor & Francis [14], (a) Gibbs free energy, (b) First order derivatives of Gibbs free energy ( $V, H, S$ ), (c) Second order derivatives of Gibbs free energy ( $\alpha, \kappa, C_p$ ).



### 1.4.2.2 Physical Aging and Mechanical Rejuvenation

When cooled below  $T_g$ , the polymer becomes trapped in a nonequilibrium state because of the reduced chain mobility. The nonequilibrium polymer structure gradually evolves to equilibrium, a process known as structural relaxation or physical aging. The process is accompanied by changes in macroscopic properties such as stiffness and enthalpy (76–80). As shown in Fig. 1.18 [15], aged specimens exhibit higher yield stresses because of a larger resistance to mechanical deformation. In differential scanning calorimetry (DSC) measurements, aged specimens exhibit an endothermic overshoot near  $T_g$ , as shown in Fig. 1.19(a) [16], as the result of the reduction in specific enthalpy due to structural relaxation.



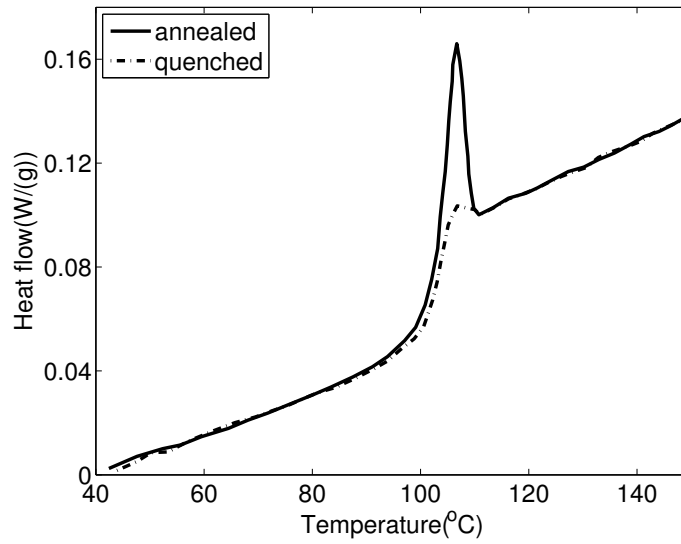
**Figure 1.18:** Uniaxial tension stress-strain curves of polystyrene specimens at different aging time, reprinted with permission from Elsevier [15].

Chain mobility of a polymer network recovers when heated above  $T_g$ , a process known

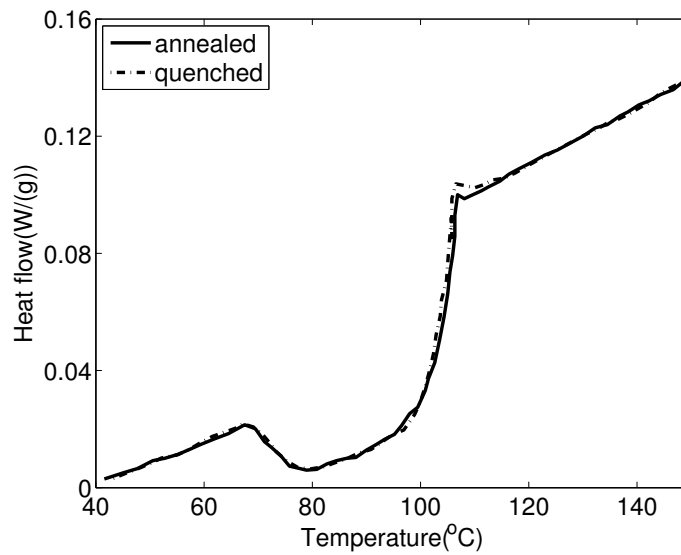
## CHAPTER 1. INTRODUCTION

as thermal rejuvenation, or mechanically deformed below  $T_g$ , a process known as mechanical rejuvenation. Mechanical rejuvenation leads to strain-softening and erases the previous thermal history [16, 81]. As shown in Fig. 1.18, the flow stress of specimens with different aging time collapses to the same curve after mechanical deformation. Fig. 1.19(b) shows the DSC curves of quenched and annealed polystyrene specimens after 25% uniaxial compression [16]. The results for both quenched and annealed specimens are nearly the same because of the effects of mechanical rejuvenation.

## CHAPTER 1. INTRODUCTION



(a)



(b)

**Figure 1.19:** DSC scans of annealed and quenched polystyrene with a heating rate of 10 °C/min, data extracted from [16], (a) Without deformation (b) After 25% compression.

## 1.5 Objectives of this Work

The aim of this work is to develop constitutive models for various kinds of soft active materials that are capable of accurately describing the materials' physical-mechanical behavior. To achieve this goal, we explored and developed a set of methods that can be applied to different kinds of soft materials. The developed methodology covers different stages of the study, from material characterization to constitutive modeling, from simulation to experiments.

In Chapter 2, we investigated the temperature dependent viscoelastic behavior of dielectric elastomers and the effects of viscoelasticity on the electro-actuation behavior. We measured the viscoelastic relaxation spectrum of VHB 4905 and applied the results to a discrete multi-process viscoelastic model. The model generally showed good quantitative agreement with experimental measurements in terms of both pure-mechanical and electro-mechanical behaviors of the material. The model was able to qualitatively capture the dependence of the electric breakdown time on voltage and pre-stretch.

In Chapter 3, we studied thermoresponsive hydrogel bilayer plates with soft and stiff segments that exhibit bidirectional and biaxial curving. We investigated the mechanism behind the deformation mode through finite element simulation. We concluded that the direction of bending was determined by the distribution of the swelling ratio through the thickness of the composite plate and can be altered by imparting a swelling gradient through the thickness. Biaxial bending occurred when the segments were arranged such that the long axis of the patch was perpendicular to the long axis of the plate. The curvature of the

## CHAPTER 1. INTRODUCTION

bent plate was controlled by the segment spacing, and a large segment spacing led to the development of wrinkles during bending.

In Chapter 4, we extended the effective temperature theory for the inelastic behavior through the glass transition in [82] to large strain and developed a constitutive model that is capable of describing strain hardening behavior in a thermodynamically consistent manner. The model incorporates two mechanisms: one represents the stretching and orientation of the polymer network, which leads to the development of a backstress; the other one represents the network relaxation, which accounts for the temperature and rate dependence of strain hardening. The model was applied to simulate the thermomechanical behavior of polycarbonate. All of the model parameters were determined through standard thermomechanical tests. The simulation results showed good agreements with experiments and the temperature dependence, strain rate dependence and strain state dependence of hardening were quantitatively captured.

Chapter 5 presents conclusions about the common methods that were applied in Chapter 2, 3 and 4. It also summarizes the key results and discussed the limitations of this work. The chapter ends with a discussion of future research directions.

Appendix A provides constitutive equations that incorporate thermal deformation in the model developed in Chapter 4. It also includes the method and results of determining the coefficient of thermal expansion. Appendix B summarizes the algorithms of the finite element implementation for the constitutive model in Chapter 4, including the procedure of solving the internal variables and the construction of the tangent modulus.

## **Chapter 2**

# **The Temperature Dependent Viscoelastic Behavior of Dielectric Elastomers**

In this chapter, we investigated the temperature dependent viscoelastic behavior of dielectric elastomers and the effects of viscoelasticity on the electro-actuation behavior. We performed dynamic thermo-mechanical analysis to measure the master curve of the stress relaxation function and the temperature dependence of the relaxation time of VHB 4905, a commonly used dielectric elastomer. The master curve was applied to calculate the viscoelastic spectrum for a discrete multi-process finite deformation viscoelastic model. In addition, we performed uniaxial creep and stress relaxation experiments and electrical actuation experiments under different prestretch conditions. The measured spectrum was ap-

## CHAPTER 2. THE TEMPERATURE DEPENDENT VISCOELASTIC BEHAVIOR OF DIELECTRIC ELASTOMERS

plied to predict the experimental results. Generally, the model produced good quantitative agreement with both the viscoelastic and electro-actuation experiments, which shows the necessity of using a multi-process relaxation model to accurately capture the viscoelastic response for VHB. However, the model under-predicted the electro-actuated creep strain for high voltages near the pull-in instability. We attributed the discrepancies to the complex boundary conditions that were not taken into account in the simulation. We also investigated the failure of VHB membrane caused by viscoelastic creep when prestretched and subjected to constant voltage loading. The experimental time to failure for the specimens decreased exponentially with voltage, which agreed well with the predictions of the model.

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### 2.1 Introduction

Dielectric elastomers (DE) can deform when exposed to an applied electric field. The materials are capable of large deformation and have highly attractive features such as fast response, light weight, low cost and good electromechanical conversion efficiency [32,84]. When prestretched and sandwiched between two compliant electrodes, dielectric elastomer membranes have demonstrated voltage induced areal expansions up to 158% [85]. These features make dielectric elastomers attractive for soft robotic actuators [2,47,86], artificial limbs [87,88], energy harvesters [89,90], adaptive optics [91–93], Braille displays [94], and bio-stimulation pads [95,96].

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Applying an electric field across the thickness of a dielectric elastomer generates a Maxwell stress that reduces the thickness of the film and causes the area to expand. As the elastomer thins, applying the same voltage leads to an increasing higher electric field. This positive feedback between deformation and electric field can lead to failure by pull-in instability and dielectric breakdown. When the film becomes unstable, complex 3D wrinkling patterns appear [97]. It has been revealed that prestretches can help to eliminate the pull-in instability [98] and improve electric breakdown strength [8, 99].

The elastic behavior of dielectric elastomers has been studied extensively [100–103], and the viscoelastic behavior of this material has gained increased scrutiny in recent works [104, 105]. Experiments have shown that viscoelasticity can significantly affect the electromechanical behavior. It has been observed in experiments that viscoelastic creep under a constant voltage actuation can induce the pull-in instability and electric breakdown [106]. Failure caused by pull-in instability and dielectric strength is a major limitation in the application of dielectric elastomers. Therefore accurate characterization of the viscoelastic behavior is important for the development of dielectric devices. Zhang and Chen proposed a viscoelastic model for the dielectric elastomer balloon using a free energy method. [107] Zhao et al. developed a nonequilibrium thermodynamic theory for the viscoelastic behavior of dielectric elastomers [108]. Park and Nguyen developed a dynamic finite element method to investigate the effect of viscoelasticity on the development of instabilities and electromechanical actuation [109, 110]. Lochmatter et al. [111] developed a visco-hyperelastic model to study a DE strip actuator under sinusoidal excitation. Yang



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et al. [112] developed a nonlinear finite deformation viscoelastic model of dielectric membranes using Christensen's theory of viscoelasticity. Wissler and Mazza [113] used quasi-linear viscoelastic constitutive models with different energy formulations to predict creep behavior of VHB under different voltage levels. Recently, Kollosche et al. [114] applied a single process viscoelastic model to study the wrinkle-to-wrinkle transition of dielectric elastomers.

Viscoelastic models of dielectric elastomers typically apply a single relaxation process to describe the creep, stress relaxation, and rate-dependent stress response over a narrow range of time scales [108, 114]. A few models have applied multiple relaxation processes [109, 113, 115], up to 4, to describe a wider range of behavior and time scales, such as  $10^{-1} - 10^3$  seconds. The parameters for the relaxation times and moduli were fit to mechanical creep or stress relaxation data [115] or voltage-induced electrical actuation data [113]. These approaches are limited in that they do not describe completely the broad distribution of relaxation processes nor the temperature dependence of the viscoelastic behavior. Michel et al. measured the elastic modulus of VHB 4910 by uniaxial tension experiments at different temperatures and observed a strong temperature dependence [116].

The aim of this work was to characterize the temperature-dependent viscoelastic spectrum of VHB, a widely used commercial dielectric elastomer. We applied the principles of time-temperature superposition (TTS) to construct the master curve of the relaxation modulus from relaxation tests at different temperatures. The master curve was applied to calculate the viscoelastic spectrum and temperature-dependence of the relaxation times for a

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discrete multi-process viscoelastic model. We performed standard viscoelastic experiments (creep and stress relaxation) as well as voltage actuation experiments on VHB membranes. The measured spectrum was applied to simulate these experiments as well as experiments performed by [97, 106] to validate the model. Finally, we introduced an approach to selectively reduce and truncate the viscoelastic spectrum for a given time scale and temperature for computational efficiency, and demonstrated the shifting of the spectrum to describe the viscoelastic behavior at different temperatures.

## 2.2 Methods

### 2.2.1 Experimental Method

Commercially available VHB 4905 membranes (3M, USA) were used in all experiments. Membranes were cut to different sizes, as described below, according to needs of experiments.

#### 2.2.1.1 Uniaxial Creep and Stress Relaxation Experiments:

The creep and stress relaxation response of VHB was measured using a dynamic mechanical analyzer (DMA TA Q800, Newcastle, DE 19720, US). Film specimens, 15 mm long, 6.0 mm wide, and 0.5 mm thick were cut from the VHB 4905 tape and mounted in the film tension grips of the DMA leaving an 8.0 mm gauge length.

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The stress relaxation response was measured at different temperatures to construct the master curve of the relaxation modulus. The temperature was decreased from 60 °C to -40 °C in steps of 5 °C. Each temperature step was held for 15 minutes to reach thermal equilibrium. The specimens were stretched at a strain rate of 1%/s up to a strain of 0.01 and held at this strain while the stress was measured for 20 minutes. The relaxation modulus was calculated as the uniaxial engineering stress divided by the applied strain and was plotted as function of time. Values of the relaxation modulus measured at different temperatures were horizontally shifted to the reference temperature 20 °C, according to the principles of the time-temperature superposition, to form a master curve for the time-dependent relaxation modulus. Specifically, we defined a function  $\alpha(T)$  for the temperature-dependent shift factor. To determine the shift factor at the temperature  $T$ , the relaxation modulus measured at  $T$  was shifted along the horizontal log-time axis by the logarithm of the shift factor,  $\log \alpha(T)$ , to join the curves for the relaxation modulus generated by data from previous temperatures. The logarithmic shift factor was  $\log \alpha(T) < 0$  for temperatures lower than the reference temperature and  $\log \alpha(T) > 0$  for temperatures higher than the reference temperature. Shift factors were adjusted until all data points formed a continuous, smooth master curve at the reference temperature.

We performed additional stress relaxation experiments at large strains and creep experiments for model validation. For the stress relaxation experiments, the film specimens were equilibrated at 20 °C, stretched to 100% strain at a rate of 12.5%/s and relaxed for 2 hours. For the creep experiments, film specimens were equilibrated at 20 °C and subjected to a

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uniaxial force, which linearly increased from zero to 0.17 N with a loading rate of 0.01 N/s and then held for 6 hours. Uniaxial stretch during creep was recorded as a function of time.

### **2.2.1.2 Electrical Actuation Experiments:**

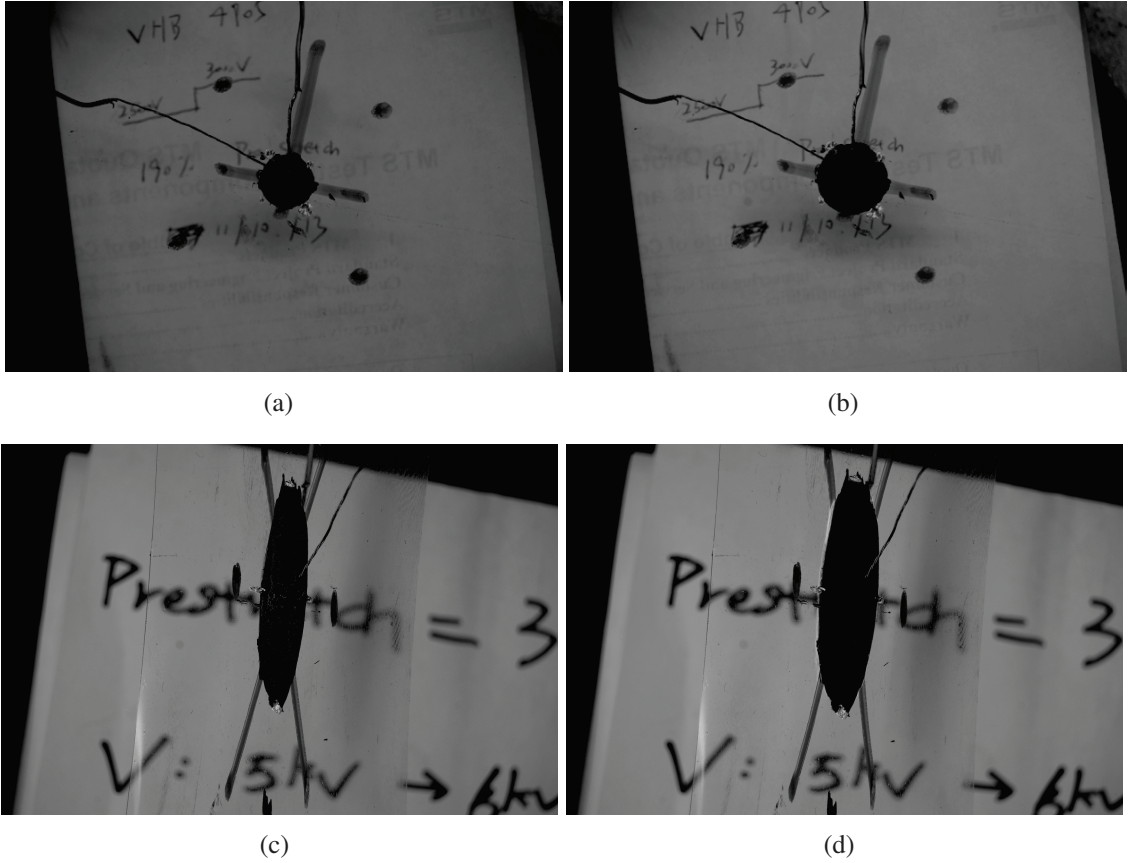
Square specimens ranging from 63.5 mm to 127 mm in size were cut from the VHB tape. The side length was decided according to the prestretch level that would be applied in a particular experiment. A cross was drawn in the center of the film and four points were drawn around the cross and used as optical markers to measure the prestretch applied in the experiments. The specimen was uniaxially or equibiaxially stretched to the desired prestretch and attached to a rigid frame which is a square with side length of 190.5 mm. VHB is an adhesive tape, that provided a strong attachment to the frame. A circular electrode made from carbon conductive grease (MGchemicals, 846-1P) with a diameter of 10 mm was applied to the center of the prestretched film on both sides. The area of the central electrode was relatively small compared to the dimensions of the prestretched specimen. The prestretched film was allowed to relax 1.5 hours to reach an equilibrium stress state. A voltage was applied across the electrodes using a high voltage power supply (Gamma High Voltage Research, ES50P-5W, Ormond Beach, FL 32174, US). The experiments investigated applied voltages from 2.5 kV to 6 kV. A digital camera mounted above specimens was used to image the deforming specimens every 8 s to 20 s. The images were analyzed using GIMP2<sup>1</sup> to measure the major and minor axes of the deformed electrode (Fig. 2.1).

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<sup>1</sup>[www.gimp.org](http://www.gimp.org)

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The principal stretches were calculated from the ratio of deformed major and minor axes to the undeformed diameter of the electrode.



**Figure 2.1:** Images taken from actuation experiments: (a)(b) equibiaxial prestretch, before and after actuation (c)(d) uniaxial prestretch, before and after actuation.

### 2.2.2 Constitutive Model

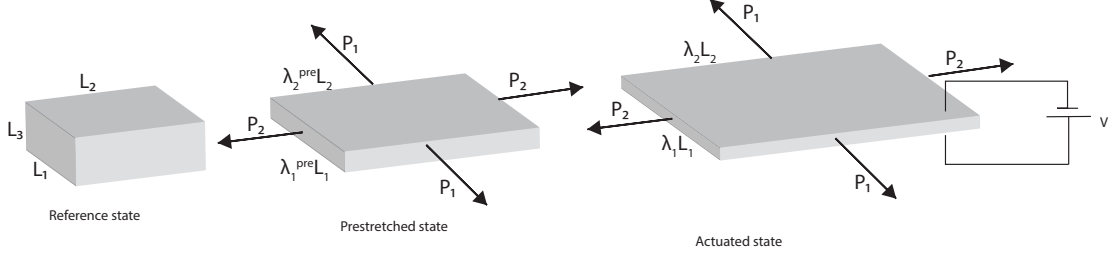
We applied the analytical model developed by Suo [7] to describe the viscoelastic biaxial stress response of an incompressible dielectric elastomer membrane subjected to an equilibrium prestretch and a sudden applied voltage change (Fig. 2.2). The biaxial stress

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state was characterized by in-plane stretches  $\lambda_1$  and  $\lambda_2$ , and an out-of-plane stretch  $\lambda_3$ .

For a membrane with applied prestretch  $\lambda_1^{\text{pre}}$  and  $\lambda_2^{\text{pre}}$ , we can define relative stretches as

$\lambda_1^{\text{rel}} = \lambda_1 / \lambda_1^{\text{pre}}$ ,  $\lambda_2^{\text{rel}} = \lambda_2 / \lambda_2^{\text{pre}}$  which represent stretches purely from electric actuation.



**Figure 2.2:** Model of electromechanical couple of DE membrane.

In the model, we assumed that VHB 4905 is an incompressible and isotropic material. Thus,  $\lambda_3 = \lambda_1^{-1} \lambda_2^{-1}$ . The permittivity of the material was assumed to be  $\epsilon = 3.98 \times 10^{-11}$  F/m [8], which gave a dielectric constant of  $\epsilon_d = 4.5$ . We also assumed that the permittivity is independent of deformation for simplicity. Previous studies have assumed both a linear and nonlinear dependence on deformation [117] [118]. Moreover, we assumed that VHB is an ideal dielectric elastomer, such that the total free energy density of dielectric elastomers can be decomposed into mechanical and electrical parts:

$$W = W_{\text{mech}} + W_e \quad (2.1)$$

The electrical contribution to the free energy density is described by a quadratic potential,

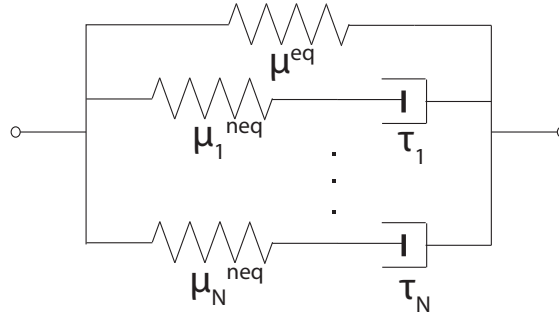
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$$W_e = \epsilon E^2 / 2 \quad (2.2)$$

where  $E$  is electrical field. The electric displacement is given as  $D = \epsilon E$ .

The electric field energy density of an ideal dielectric elastomer can be written in terms of the nominal electric field  $\tilde{E}$  and electric displacement  $\tilde{D}$  as:

$$W_e = \frac{\tilde{D}^2}{2\epsilon} \lambda_1^{-2} \lambda_2^{-2} = \frac{\epsilon \tilde{E}^2}{2} \lambda_1^2 \lambda_2^2. \quad (2.3)$$



**Figure 2.3:** Standard rheological model

The mechanical behavior of the dielectric elastomer is described by the multi-process viscoelastic model illustrated in Fig. 2.3. The model consists of a parallel distribution of Maxwell models acting in parallel with an equilibrium spring. The equilibrium spring is characterized by an equilibrium shear modulus of  $\mu^{\text{eq}}$  while the Maxwell models are described by a shear modulus of  $\mu_k^{\text{neq}}$  and relaxation time of  $\tau_k$ . The temperature dependence of the relaxation time was described as  $\tau_k(T) = \tau_k^{\text{ref}} \alpha(T)$ , where  $\tau_k^{\text{ref}}$  is the relaxation time at the reference temperature (20 °C) and  $\alpha(T)$  is the shift factor measured in Sec. 2.2.1.1.

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We assumed a multiplicative decomposition of the deformation gradient into elastic  $\lambda_{1e}^k$  and viscous components  $\xi_2^k$ , such that  $\lambda_{1e}^k = \lambda_1/\xi_1^k, \lambda_{2e}^k = \lambda_2/\xi_2^k$ . The  $N$  relaxation processes represent a discrete approximation of a continuous distribution of relaxation times. Using a larger number  $N$  of processes provide a more accurate description of the time-dependent relaxation behavior at the expense of computational time. In Sec. 2.2.3, we present a method to determine the parameters of the relaxation spectrum from the master curve of the relaxation modulus. We also investigated in Sec. 2.3.4 the effect of coarsening and altering the range of the discrete relaxation spectrum on the simulation results of the viscoelastic behavior of VHB.

We assumed that the mechanical strain energy density can be additively split into equilibrium and nonequilibrium components,  $W_{mech}(\lambda_1, \lambda_2, \xi_1^k, \xi_2^k) = W_{mech}^{eq}(\lambda_1, \lambda_2) + \sum W_{mech}^{neq}(\lambda_{1e}^k, \lambda_{2e}^k)$ . We adopted the Gent model for both the equilibrium and nonequilibrium components to describe the stiffening of the material when approaching the limiting stretch [119]:

$$W_{mech} = -\frac{\mu^{eq} J_{lim}^{eq}}{2} \log\left(1 - \frac{\lambda_1^2 + \lambda_2^2 + \lambda_1^{-2} \lambda_2^{-2} - 3}{J_{lim}^{eq}}\right) - \sum_{k=1}^N \frac{\mu_k^{neq} J_{lim}^{neq}}{2} \log\left(1 - \frac{\lambda_1^2 (\xi_1^k)^{-2} + \lambda_2^2 (\xi_2^k)^{-2} + \lambda_1^{-2} \lambda_2^{-2} (\xi_1^k \xi_2^k)^2 - 3}{J_{lim}^{neq}}\right), \quad (2.4)$$

where  $J_{lim}^{eq}$  and  $J_{lim}^{neq}$  are the limiting stretches of the equilibrium spring and non-equilibrium components,  $\xi_1^k$  and  $\xi_2^k$  denote  $k$ th viscous stretches in two in plane principle directions. We have assumed that the nonequilibrium processes share the same limiting stretch  $J_{lim}^{neq}$  for simplicity. This model is an extension of the viscoelastic models developed by Zhao et



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al. [108] and Park and Nguyen [109] that used a single exponential relaxation process to describe the viscoelastic behavior. Substituting eq.(2.3) and (2.4) into eq.(3.1), gives the total free energy density function. The principal first Piola-Kirchhoff engineering stresses were defined from the free-energy density, as [7]:

$$\begin{aligned} s_1 &= \frac{\partial W(\lambda_1, \lambda_2, \xi_1^k, \xi_2^k, \tilde{D})}{\partial \lambda_1} \\ s_2 &= \frac{\partial W(\lambda_1, \lambda_2, \xi_1^k, \xi_2^k, \tilde{D})}{\partial \lambda_2}, \end{aligned} \quad (2.5)$$

where  $s_1 = \frac{P_1}{L_2 L_3} = \frac{\sigma_1}{\lambda_1}$  and  $s_2 = \frac{P_2}{L_1 L_3} = \frac{\sigma_2}{\lambda_2}$  are engineering stresses in two principle directions. Evaluating eq. (2.5) and applying the relation between the engineering and Cauchy stresses gives:

$$\begin{aligned} \sigma_1 + \varepsilon E^2 &= \frac{\mu^{\text{eq}}(\lambda_1^2 - \lambda_1^{-2} \lambda_2^{-2})}{1 - (\lambda_1^2 + \lambda_2^2 + \lambda_1^{-2} \lambda_2^{-2} - 3)/J_{\text{lim}}^{\text{eq}}} + \sum_{k=1}^N \frac{\mu_k^{\text{neq}}[\lambda_1^2(\xi_1^k)^{-2} - \lambda_1^{-2} \lambda_2^{-2}(\xi_1^k \xi_2^k)^2]}{1 - [\lambda_1^2(\xi_1^k)^{-2} + \lambda_2^2(\xi_2^k)^{-2} + \lambda_1^{-2} \lambda_2^{-2}(\xi_1^k \xi_2^k)^2 - 3]/J_{\text{lim}}^{\text{neq}}} \\ \sigma_2 + \varepsilon E^2 &= \frac{\mu^{\text{eq}}(\lambda_2^2 - \lambda_1^{-2} \lambda_2^{-2})}{1 - (\lambda_1^2 + \lambda_2^2 + \lambda_1^{-2} \lambda_2^{-2} - 3)/J_{\text{lim}}^{\text{eq}}} + \sum_{k=1}^N \frac{\mu_k^{\text{neq}}[\lambda_2^2(\xi_2^k)^{-2} - \lambda_1^{-2} \lambda_2^{-2}(\xi_1^k \xi_2^k)^2]}{1 - [\lambda_1^2(\xi_1^k)^{-2} + \lambda_2^2(\xi_2^k)^{-2} + \lambda_1^{-2} \lambda_2^{-2}(\xi_1^k \xi_2^k)^2 - 3]/J_{\text{lim}}^{\text{neq}}}, \end{aligned} \quad (2.6)$$

Following [120], we apply the following evolution equation for the viscous stretches:

$$\eta_k \frac{d\xi_i^k}{\xi_i^k dt} = \frac{1}{2} \sigma_{i_k}^{\text{neq}}, \text{ for } i = 1, 2 \quad (2.7)$$

where  $\eta_k(T) = \mu_k^{\text{neq}} \tau_k(T) = \mu_k^{\text{neq}} \tau_k^{\text{ref}} \alpha(T)$  is the shear viscosity,  $\sigma_{i_k}^{\text{neq}}$  is the nonequilibrium deviatoric component of the Cauchy stress in equation (2.6) and can be written as:

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$$\begin{aligned}\sigma_{1_k}^{\text{neq}} &= \frac{1}{3} \left( \frac{2[\lambda_1^2(\xi_1^k)^{-2} - \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2]}{1 - [\lambda_1^2(\xi_1^k)^{-2} + \lambda_2^2(\xi_2^k)^{-2} + \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2 - 3]/J_{\text{lim}}^{\text{neq}}} - \frac{[\lambda_2^2(\xi_2^k)^{-2} - \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2]}{1 - [\lambda_1^2(\xi_1^k)^{-2} + \lambda_2^2(\xi_2^k)^{-2} + \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2 - 3]/J_{\text{lim}}^{\text{neq}}} \right) \mu_k^{\text{neq}} \\ \sigma_{2_k}^{\text{neq}} &= \frac{1}{3} \left( \frac{-[\lambda_1^2(\xi_1^k)^{-2} - \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2]}{1 - [\lambda_1^2(\xi_1^k)^{-2} + \lambda_2^2(\xi_2^k)^{-2} + \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2 - 3]/J_{\text{lim}}^{\text{neq}}} + \frac{2[\lambda_2^2(\xi_2^k)^{-2} - \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2]}{1 - [\lambda_1^2(\xi_1^k)^{-2} + \lambda_2^2(\xi_2^k)^{-2} + \lambda_1^{-2}\lambda_2^{-2}(\xi_1^k\xi_2^k)^2 - 3]/J_{\text{lim}}^{\text{neq}}} \right) \mu_k^{\text{neq}},\end{aligned}\quad (2.8)$$

To determine the criteria for pull-in instability, we calculated the Hessian matrix of the free energy density function (3.1).

$$\mathbf{H} = \begin{bmatrix} \frac{\partial^2 W}{\partial \lambda_1^2} & \frac{\partial^2 W}{\partial \lambda_1 \partial \lambda_2} & \frac{\partial^2 W}{\partial \lambda_1 \partial \tilde{D}} \\ \frac{\partial^2 W}{\partial \lambda_1 \partial \lambda_2} & \frac{\partial^2 W}{\partial \lambda_2^2} & \frac{\partial^2 W}{\partial \lambda_2 \partial \tilde{D}} \\ \frac{\partial^2 W}{\partial \lambda_1 \partial \tilde{D}} & \frac{\partial^2 W}{\partial \lambda_2 \partial \tilde{D}} & \frac{\partial^2 W}{\partial \tilde{D}^2} \end{bmatrix}, \quad (2.9)$$

The components of  $\mathbf{H}$  are functions of  $\lambda_1, \lambda_2, \xi_1^k, \xi_2^k, \tilde{D}$  and evolves with time. We used the following criteria for pull-in instability in our simulation. [108]:

$$\det \mathbf{H} = 0. \quad (2.10)$$

### 2.2.3 Parameter Determination

The master curve of the relaxation modulus was used to determine the equilibrium shear modulus and the parameters of the discrete relaxation spectrum  $(\tau_k, \mu_k^{\text{neq}})$ . We initially assumed a large number of relaxation processes,  $N = 30$ . Values of the relaxation modulus measured at different temperatures are plotted on a log-log scale in Fig. 2.4(a). The relaxation modulus was shifted horizontally to a reference temperature of 20 °C to form the

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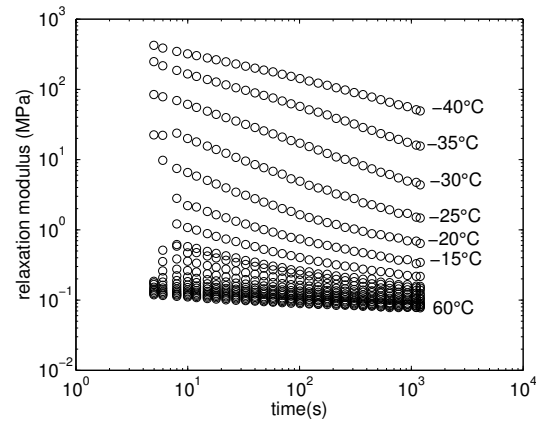
master curve for the time-dependence of the relaxation modulus in (Fig. 2.4(b)). The material exhibited a broad glass relaxation spectrum, where the relaxation modulus spanned more than 13 decades of log time. The temperature dependence of the shift factor can be described by the WLF empirical relation,

$$\log \alpha(T) = \frac{-C_1^{\text{ref}}(T - T^{\text{ref}})}{C_2^{\text{ref}} + T - T^{\text{ref}}}, \quad (2.11)$$

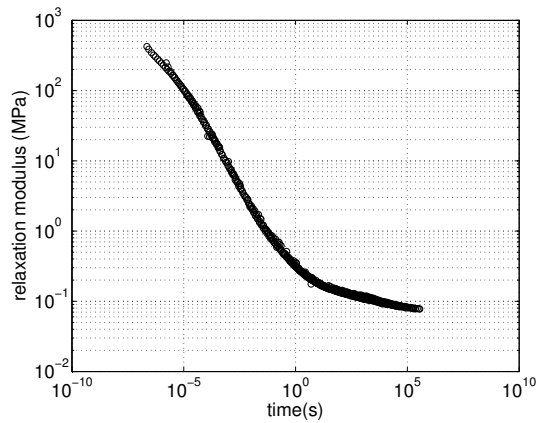
where  $C_1^{\text{ref}}$  and  $C_2^{\text{ref}}$  are the WLF constants for the reference temperature. Fitting the WLF relation to the shift factor data (Figure 2.5) gave  $C_1^{\text{ref}} = 13.7$  and  $C_2^{\text{ref}} = 187.1^\circ\text{C}$ . The equilibrium modulus  $E^{\text{eq}} = 0.078$  MPa was approximated from the plateau of the master curve at large times. Assuming incompressibility, the equilibrium shear modulus can be determined from the equilibrium Young's modulus as  $\mu^{\text{eq}} = E^{\text{eq}}/3 = 0.026$  MPa. The nonequilibrium  $E^{\text{neq}} = 689$  MPa was approximated by the maximum relaxation modulus of the master curve in Fig. 2.4(b) and applied to calculate the  $\mu^{\text{neq}} = 230$  MPa assuming incompressibility. Physically,  $\mu^{\text{neq}}$  represents the glassy modulus. However, we were unable to reach a plateau for the lowest temperature,  $-40^\circ\text{C}$ , of the stress relaxation tests. At room temperature  $20^\circ\text{C}$ , the relaxation observed at  $-40^\circ\text{C}$  corresponds to stress relaxation at  $10^{-7}$  s, which is significantly smaller than the experimental time scale. Consequently, truncating the master curve at  $10^{-7}$  s should not significantly affect the model predictions of material behavior and instability at room temperature.

We developed a 2nd order approximation method based on Schwarzl et al. [121] to determine the discrete relaxation spectrum [122]. A 7<sup>th</sup> order polynomial was first fit

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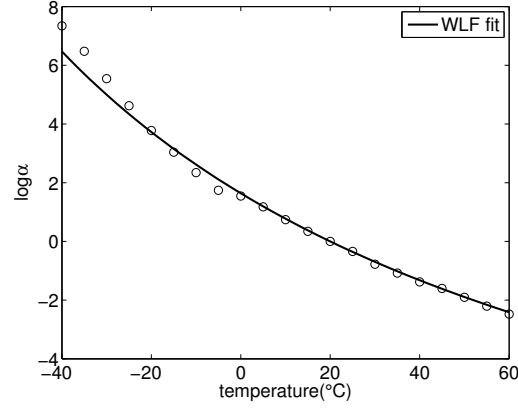
(a)



(b)

**Figure 2.4:** Relaxation modulus as a function of time, (a) measured for different temperature and (b) shifted to a reference temperature of  $20^\circ\text{C}$  to form a master curve.

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**Figure 2.5:** Shift factor as a function of temperature

to the master curve in Fig. 2.4(b). The resulting polynomial function was denoted as  $\log G = f_0(\log t)$ , where  $G$  is the relaxation modulus. The relationship between the relaxation modulus and a continuous relaxation spectrum was defined as [123]:

$$G(t) = \mu^{\text{eq}} + \int_0^\infty \frac{h(\tau)}{\tau^2} e^{-t/\tau} d\tau, \quad (2.12)$$

where  $h(\tau)$  is the continuous relaxation spectrum. The cumulative relaxation spectrum was defined from  $h(\tau)$  as,

$$H(\tau) = \int_0^\tau h(z) dz, \quad (2.13)$$

Evaluating  $h(\tau)$  requires inverting the integral equation (2.12), which can be challenging. A number of approximations have been developed. In particular, we used a second order accurate approximation developed by Schwarzl and Staverman [121],

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$$h(\tau) = -\frac{t}{2}G(t)[d \log G(t)/d \log t - (d \log G(t)/d \log t)^2 - (1/2.303)d^2 \log G(t)/d(\log t)^2]|_{t=2\tau}, \quad (2.14)$$

Applying the polynomial fit to equations (2.14) and (2.13), we can calculate the continuous relaxation spectrum and cumulative distribution from polynomial  $f_0$  as:

$$h(\tau) = -\frac{1}{2}10^{f_0(x)+x} \left( f_0'(x) - (f_0'(x))^2 - (1/2.303)f_0''(x) \right) |_{x=\log 2\tau}, \quad (2.15)$$

$$H(\tau) = 10^{f_0(x)} \left( 1 - f_0'(x) \right) |_{x=\log 2\tau}, \quad (2.16)$$

The relaxation modulus of the discrete model can be written by assuming infinitesimal deformation as,

$$G_{disc}(t) = \mu^{eq} + \sum_k^N \mu_k^{neq} \exp(-t/\tau_k), \quad (2.17)$$

The discrete cumulative spectrum can be evaluated by combining equations (2.12) (2.13) and (2.17) as,

$$H_{disc}(\tau) = \sum_k^N \mu_k^{neq} \langle \tau - \tau_k \rangle - \mu^{eq}, \quad (2.18)$$

where  $\langle \tau - \tau_k \rangle = 0$  for  $\tau > \tau_k$ . A power law distribution was applied for relaxation time [124]:

$$\tau_k = \tau_{\max} \left( \frac{\tau_{\min}}{\tau_{\max}} \right)^{\frac{k-1}{N-1}}, \quad (2.19)$$

The upper and lower bounds of relaxation distribution,  $\tau_{\max} = 1.59 \times 10^5$  s,  $\tau_{\min} = 3.98 \times$

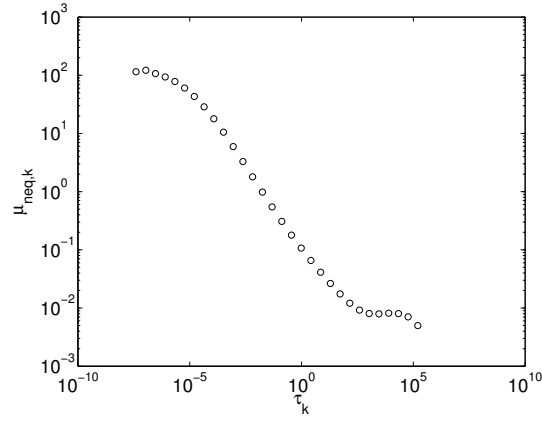
## CHAPTER 2. THE TEMPERATURE DEPENDENT VISCOELASTIC BEHAVIOR OF DIELECTRIC ELASTOMERS

$10^{-8}$  s, were determined according from the time range of master curve of the relaxation modulus (Fig. 2.4(b)). Finally, the nonequilibrium moduli  $\mu_k^{\text{neq}}$  corresponding to the relaxation times  $\tau_k$  were determined from the continuous cumulative distribution as follow: [124] [125]:

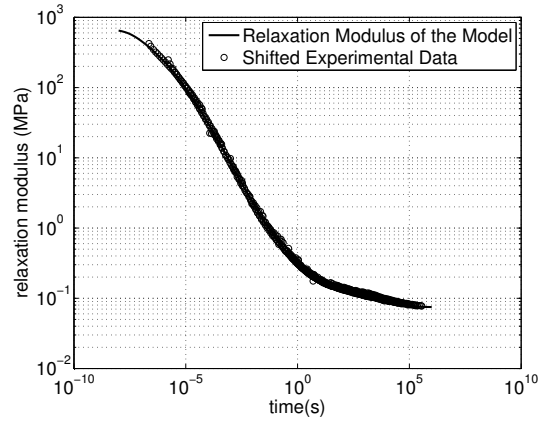
$$\begin{aligned}\mu_1^{\text{neq}} &= \frac{1}{2}(H(\tau_1) + H(\tau_2)), \\ \mu_k^{\text{neq}} &= \frac{1}{2}(H(\tau_{k+1}) - H(\tau_{k-1})), 1 < k < N - 1, \\ \mu_N^{\text{neq}} &= \mu^{\text{neq}} - \sum_k^{N-1} \mu_k^{\text{neq}}\end{aligned}\tag{2.20}$$

The discrete cumulative spectrum forms a stepwise approximation of the continuous cumulative spectrum. Fig. 2.6(a) plots relaxation spectrum  $(\tau_k, \mu_k^{\text{neq}})$  determined as described above. Note that  $\mu_k^{\text{neq}}$  was maximum at the lower bound  $\tau_{\text{min}}$  of the distribution of relaxation times, which indicates that relaxation spectrum extends to relaxation times lower than the chosen  $\tau_{\text{min}}$ . However, relaxation times below the lower bound would relax too quickly to significantly affect the modeling results at room temperature. The spectrum was applied to equation (2.17) to evaluate the relaxation modulus of the discrete model. The results in Fig. 2.6(b) show excellent agreement with the measured master curve.

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(a)



(b)

**Figure 2.6:** (a) Distribution of discrete viscoelastic spectrum  $(\tau_k, \mu_k^{\text{neq}})$  (b) Comparison between master curves from experiments and the discrete model



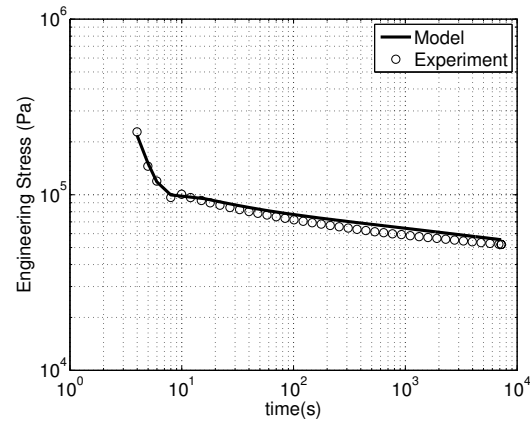
## 2.3 Results and Discussion

### 2.3.1 Creep and Stress relaxation

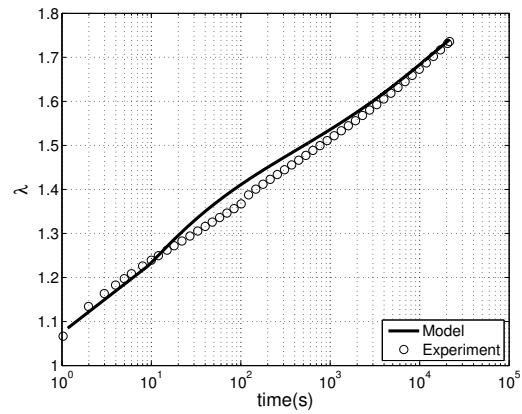
The viscoelastic model was applied using parameters determined in Sec. 2.2.3 to simulate the creep and stress relaxation experiments described in Sec. 2.2.1.1. In addition, we used  $J_{\text{lim}}^{\text{eq}} = 110$ ,  $J_{\text{lim}}^{\text{neq}} = 55$  in the simulations based on the previous work of Foo et al. [126]. It was verified through numerical tests that the simulations were insensitive to  $J_{\text{lim}}^{\text{eq}}$  and  $J_{\text{lim}}^{\text{neq}}$ , which means changing these values has little effect on results presented in this chapter. Fig. 2.7(a) compares the results of the uniaxial stress relaxation experiment and simulation of VHB films stretched to 100% strain. The simulation used the same loading history prescribed in experiments. The stress response showed significant relaxation, decaying from 255 kPa to 52 kPa in 2 hours. The modeling results showed good agreement with the stress relaxation measurements.

Fig. 2.7(b) plots the creep response from experiments and modeling. The loading history of the experiment was applied for the simulations. The specimen exhibited significant creep throughout the experiment. The stretch increased nearly linearly in log time for the entire duration of the 6 hours of the experiment. The model predictions showed good agreement with the creep measurements.

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(a)

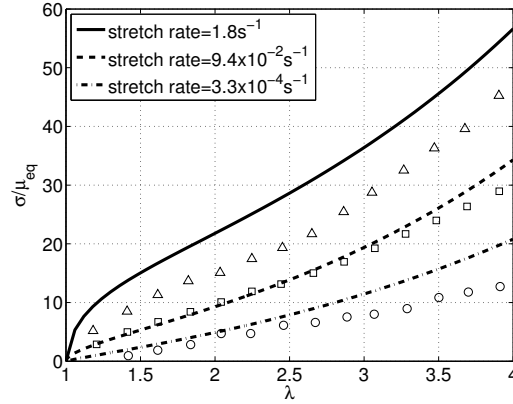


(b)

**Figure 2.7:** (a) Relaxation of the uniaxial tension engineering stress response and (b) uniaxial tension creep stretch response, comparing experiments and model prediction.

## 2.3.2 Effect of Strain Rate

Next, we applied the model and parameters determined in Sec. 2.2.3 to simulate the uniaxial tension experiments of VHB 4905 at different strain rates by Plante and Dubowsky [97]. Figure 2.8 compares model prediction and experimental results for stretch rates  $3.3 \times 10^{-4}/s$ ,  $9.4 \times 10^{-2}/s$  and  $1.8/s$ . The model accurately predicted the stress-strain response of VHB for the two lower stretch rates up to a stretch of 4. The largest discrepancy between the experimental data and model prediction was 18% and occurred for the highest stretch rate which was  $1.8/s$ .



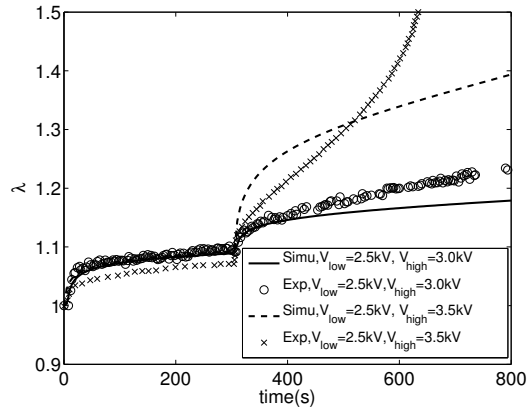
**Figure 2.8:** Normalized stress as a function of stretch from uniaxial tension with different stretch rates, comparing experiments and model prediction.

## 2.3.3 Voltage-induced Creep

Figure 2.9 plots the relative stretch as a function of time for the electro-actuation of an equibiaxially pre-stretched membrane, comparing experiments in Sec. 2.2.1.2 and model predictions. In the experiments, the specimen was prestretched equibiaxially to 1.9, relaxed

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for 1.5 hours and then subjected to an applied voltage. The voltage was ramped from 0 to 2.5 kV in 10 seconds, held for 5 minutes, ramped to 3 kV in 10 seconds, and held until electric breakdown occurred. The loading history of the experiment was applied for the simulation. The electric field  $E$  was evaluated from the applied voltage as  $E = V/l_3$ , where  $l_3$  is the deformed thickness of the film, then applied to eq. (2.6) to evaluate the equibiaxial stretch response. In addition, we simulated the electro-actuation experiments of [106], which subjected pre-stretched VHB membranes to the same pre-stretch,  $V_{\text{low}} = 2.5$  kV and a higher  $V_{\text{high}} = 3.5$  kV. Keplinger et al. [106] used a more accurate capacitive method to measure the stretch. The experiments and modeling results were compared in Fig. 2.9. The model predictions showed excellent agreement with experiments for the 2.5 kV step and also for the first 100 s of the 3.0 kV step. However, the model stretch prediction was significantly lower than experimental measurements near the onset of the pull-in instability observed by the accelerated creep rate.



**Figure 2.9:** Relative stretch as a function of time ( $\lambda_1^{\text{pre}} = \lambda_2^{\text{pre}} = 1.9$ ), comparing results from experiments and simulation.

## 2.3.4 Reduced Representations of the Stress Relaxation

### Spectrum

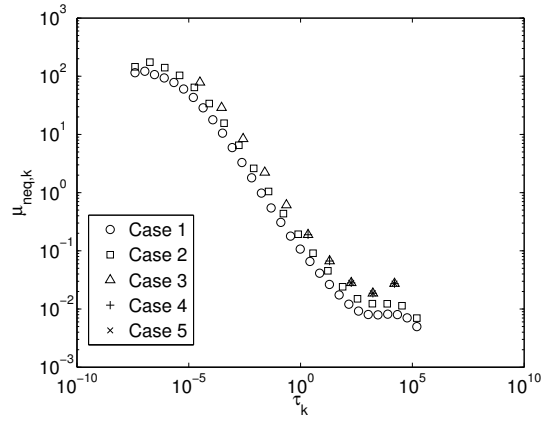
In above sections, we used a large number of relaxation processes,  $N = 30$ , to guarantee accurate description of the viscoelastic response. However, the larger number of relaxation processes resulted in long computational times. In this section, we investigated the effect of reducing the number of processes on the modeling results for voltage-induced creep. The majority of the distribution of relaxation times at 20 °C fell below 1 s, and should not significantly affect the long time electro-actuated creep response measured in the experiments. To demonstrate this, we determined the discrete spectrum for a reduced number  $N = 20$  of processes over the same span of relaxation times,  $\tau_{\min} = 3.98 \times 10^{-8}$  s and  $\tau_{\max} = 1.59 \times 10^5$  s. In addition, we evaluated the effects of using a truncated relaxation spectrum with a small number of processes,  $N = 10, N = 5$  and  $N = 3$ . To obtain the truncated spectrum, we first determined the relaxation spectrum for  $N_{total} = 13$  processes, then truncated this spectrum by picking the last 10 processes for  $N = 10$ , the last 5 processes for  $N = 5$  and the last 3 processes for  $N = 3$ . Thus, the truncated distribution spans  $\tau_{\min} = 3.16 \times 10^{-5}$  s,  $\tau_{\max} = 1.59 \times 10^4$  s for the  $N = 10$  case,  $\tau_{\min} = 2.16$  s,  $\tau_{\max} = 1.59 \times 10^4$  s for the  $N = 5$  case and  $\tau_{\min} = 185$  s,  $\tau_{\max} = 1.59 \times 10^4$  s for the  $N = 3$  case. The different cases were summarized in Table 2.1 and the spectra were plotted in Fig. 2.10.

The electro-actuation stretch response was computed for the 5 cases in Table 2.1 at room temperature  $T = 20^\circ\text{C}$ . The loading history was the same as introduced in Sec.

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	N	$\tau_{\max}(\text{s})$	$\tau_{\min}(\text{s})$	$\mu^{\text{neq}}(\text{MPa})$
Case 1	30 (full range)	$1.59 \times 10^5$	$3.98 \times 10^{-8}$	230
Case 2	20 (full range)	$1.59 \times 10^5$	$3.98 \times 10^{-8}$	230
Case 3	10 (truncated)	$1.59 \times 10^4$	$3.16 \times 10^{-5}$	39.7
Case 4	5 (truncated)	$1.59 \times 10^4$	2.16	0.110
Case 5	3 (truncated)	$1.59 \times 10^4$	185	0.0247

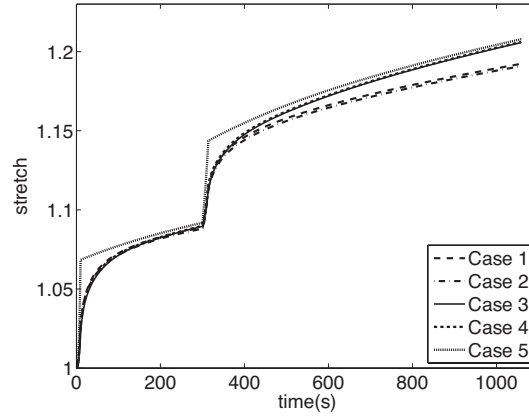
**Table 2.1:** Parameters of spectra with different number of processes



**Figure 2.10:** Distribution of discrete spectra for cases 1-5

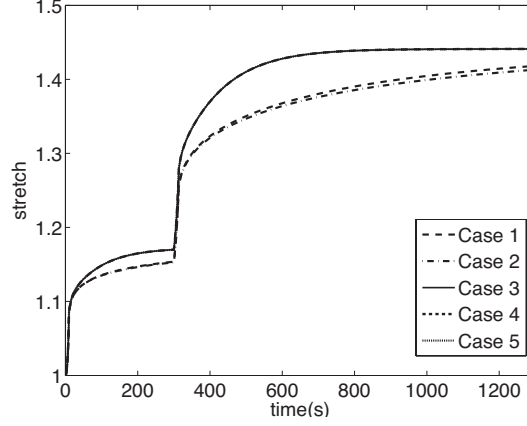
## CHAPTER 2. THE TEMPERATURE DEPENDENT VISCOELASTIC BEHAVIOR OF DIELECTRIC ELASTOMERS

2.3.3 with  $V_{\text{low}} = 2.5$  kV and  $V_{\text{high}} = 3.0$  kV. The results in Fig. 2.11 for case 1-4 showed negligible differences. The largest discrepancy among the first 4 cases was about 1%. Case 5 with only 3 nonequilibrium processes, however, cannot precisely describe the material's short time response because of the truncation. This shows the importance of using multiple nonequilibrium processes to accurately capture the viscoelastic response of VHB, which is in contrast to the single relaxation process models that have been used to-date [108, 114].



**Figure 2.11:** Voltage actuated creep simulation for cases 1-5 at 20°C

The electro-actuation response was computed for a higher temperature, 60°C comparing all five cases (Fig. 2.12). The spectra for all cases were shifted to 60°C using the temperature dependent shift factors shown in Fig. 2.5. Larger differences were observed between the truncated and full relaxation spectrum. The largest difference between the full and truncated spectrum was 5%. At high temperature, the VHB material became more mobile, and accurate predictions were needed for the relaxation processes with smaller relaxation times.



**Figure 2.12:** Voltage actuated creep simulation for cases 1-5 at 60°C

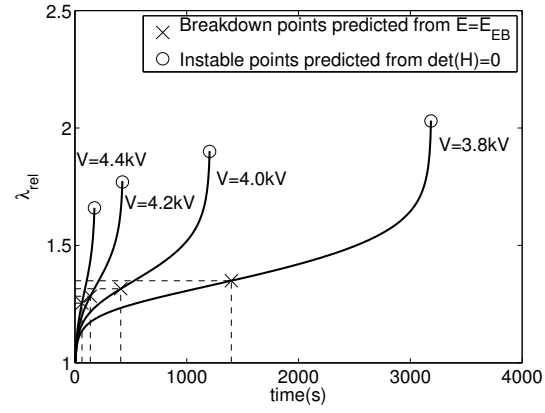
### 2.3.5 Voltage-induced Creep Failure

As shown in Sec. 2.3.3, the model was unable to capture the accelerated creep at the onset of the pull-in instability. We attributed this discrepancy to the complex boundary conditions of the experiments, which were neglected in the simulations. The simulations assumed homogeneous deformation and a uniform biaxial stress state in the film. In experiments, the voltage was applied to a small region of the film, and the resulting electro-actuation caused the surrounding film to unload, which created a nonuniform deformation state.

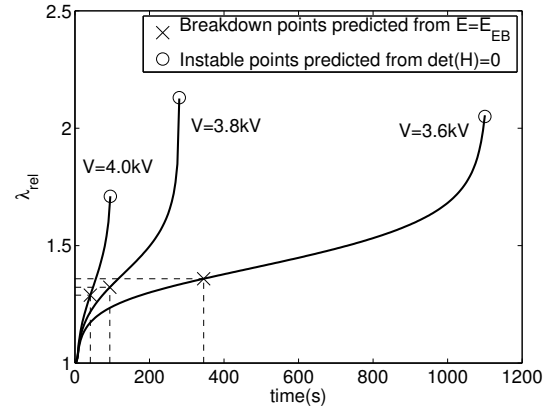
We applied the model to investigate the effects of prestretch and voltage on the failure of dielectric elastomers. The simulations considered two equibiaxial prestretch ratios, 1.7 and 1.9, and evaluated the time to creep-induced instability for different voltages. The criteria for pull-in instability was defined as  $\det \mathbf{H} = 0$ . In addition, we evaluated the time to electric breakdown for different voltages. The critical condition for electric breakdown



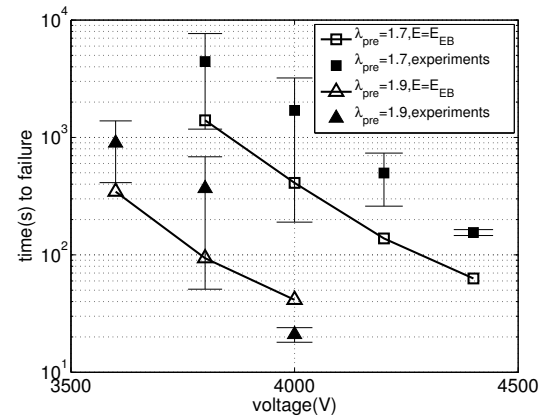
## CHAPTER 2. THE TEMPERATURE DEPENDENT VISCOELASTIC BEHAVIOR OF DIELECTRIC ELASTOMERS



(a)



(b)



(c)

**Figure 2.13:** (a) Relative stretch from constant voltage actuation as a function of time,  $\lambda^{\text{pre}} = 1.7$ ,  $V = 3.8, 4.0, 4.2, 4.4$  kV, (b) relative stretch from constant voltage actuation as a function of time,  $\lambda^{\text{pre}} = 1.9$ ,  $V = 3.6, 3.8, 4.0$  kV, (c) time to failure as a function of voltage

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was  $\lambda = \lambda_{\text{EB}}$ , where  $\lambda_{\text{EB}}$  is the breakdown stretch:

$$\lambda_{\text{EB}} = \sqrt{\frac{E_{\text{EB}} L_3}{V}}, \quad (2.21)$$

where  $L_3$  represents the initial thickness and  $E_{\text{EB}}$  is the breakdown electric field. Kofod et al. [8] and Plante et al. [97] have measured  $E_{\text{EB}}$  as a function of prestretch. From their measurements, we used  $E_{\text{EB}} = 40$  MV/m for a prestretch  $\lambda^{\text{pre}} = 1.7$  and  $E_{\text{EB}} = 48$  MV/m for  $\lambda^{\text{pre}} = 1.9$ . Fig. 2.13 (a)-(b) plotted the voltage-induced relative strain for  $\lambda^{\text{pre}} = 1.7$  and  $\lambda^{\text{pre}} = 1.9$ . The time to break-down were marked using crosses on the curves in the figure, and the unstable limit points predicted from  $\det \mathbf{H} = 0$  were marked using circles. In all cases breakdown happened before the pull-in instability which is coincident with our observations in the experiments that the stretch did not increase dramatically before electric breakdown occurred. Fig. 2.13(c) compared the time to failure measured from experiments and calculated from the electric breakdown criteria for the model. The experiments and modeling results showed the same trends. The time to electric breakdown decreased exponentially with voltage (linearly in a semi-log time). They also decreased with the prestretch.

## 2.4 Conclusions

We measured the viscoelastic relaxation spectrum of VHB 4905 and the temperature dependence of the relaxation times and applied the results to a discrete multi-process vis-

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coelastic model. We showed that the viscoelastic spectrum can be truncated systematically to describe the time-dependent behavior in a more narrow time range, though the truncation was shown to require multiple, and not a single nonequilibrium process in order to accurately capture the viscoelastic response. Moreover, the spectrum can be shifted using the temperature-dependent shift factor to describe the time-dependent behavior at higher temperatures. The model generally showed good quantitative agreement with experimental measurements of electromechanical behaviors. The model was able to qualitatively capture the dependence of the electric breakdown time with voltage and pre-stretch.

## **Chapter 3**

# **Bidirectional and Biaxial Curving of Thermoresponsive Bilayer Plates with Soft and Stiff Segments**

Curved bilayer plates with soft and stiff segments are widely observed in nature, such as plant cell walls, insect exoskeletons and reptile skins. We report an unusual biaxial and bidirectional bending of microfabricated bilayer plates composed of a swellable, photopolymerized poly(N-isopropylacrylamide-co-acrylic acid) (pNIPAM-AAc) layer and a regular array of SU-8, a stiff, non-swellable epoxy. Hydrogels such as pNIPAM-AAc exhibit large and reversible swelling in an aqueous solvent in response to a temperature change through the lower critical solution temperature (LCST). The stimuli responsive behavior was harnessed in the composite structure containing materials with mismatched

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swelling and elastic properties to produce actuation and mechanical motion. The structure undergoes reversible bending along two different axes in response to a temperature cycle through the LCST. Cooling the patterned bilayer structure leads to bending upwards about one axis, while heating leads to bending downwards about a different axis  $90^\circ$  from the first. To understand the mechanism of this biaxial bending behavior, we developed a finite element model of the patterned bilayer structure. A constitutive model that combined the hyperelastic and swelling behavior was used to describe the thermoresponsive hydrogel. The model was applied to investigate the effects of geometric factors of patterned bilayer on the bending behavior of the composite structure.

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### 3.1 Introduction

Bilayer plate structures with soft and stiff segments can be widely found in nature at many different length scales. The composite design allows the structure to be both stiff for structural support and flexible for motion. Plant cell walls are composed of stiff cellulose fibrils embedded in a compliant and highly swellable matrix consisting of structural proteins, pectin and/or lignin [128–130]. The cell wall architecture results in anisotropic deformation of the plant tissue upon influx and efflux of water, enabling the release of ripe seeds from conifer cones [131] and dispersal of spore capsules from mosses [132,133]. The skin of reptiles [134,135] and fish [136] are formed by overlapping stiff polygonal plates

### CHAPTER 3. BIDIRECTIONAL AND BIAXIAL CURVING OF THERMORESPONSIVE BILAYER PLATES WITH SOFT AND STIFF SEGMENTS

composed of structural proteins, such as keratin, or mineralized tissues, such as dentin and enamel, that lie on top of a flexible dermal layer composed mainly of collagen and elastin. The scaly structure provides the animals with armor-like protection without compromising agile motion. Inspired by these natural materials, we designed a thermoresponsive thin film composed of stiff segments embedded in a soft hydrogel matrix that can be actuated through self-folding.

Self-folding of thin film structures allows for transformation from two dimensional (2D) to three dimensional (3D) geometries [137]. The thin-film structures that are typically micrometers to millimeters thick, are less defect-sensitive than molecular self-assembled structures because of the inherently larger domain sizes [138, 139]. One major advantage of thin-film structure, is that it can be manufactured using conventional 2D lithography, a fabrication process with low cost, high resolution and high throughput. Fernandes and Gracias [140, 141] provided a review of the design strategies for achieving self-folding of polymeric thin films. The basic strategy involves introducing a strain gradient through the thickness, through the deposition of material layers with mismatched elastic properties and actuation strain [142–144] or by introducing a crosslinking gradient through the thickness of a hydrogel film to produce a swelling gradient [3, 145]. Numerous studies have shown that complex 3D configurations and motions [146–152] can be achieved by patterning.

A significant limitation of hydrogel self-folding structures is their low stiffness. For example, microgrippers composed solely of soft hydrogels are too floppy to securely grip objects. The overall stiffness of the active structure can be enhanced by a composite design

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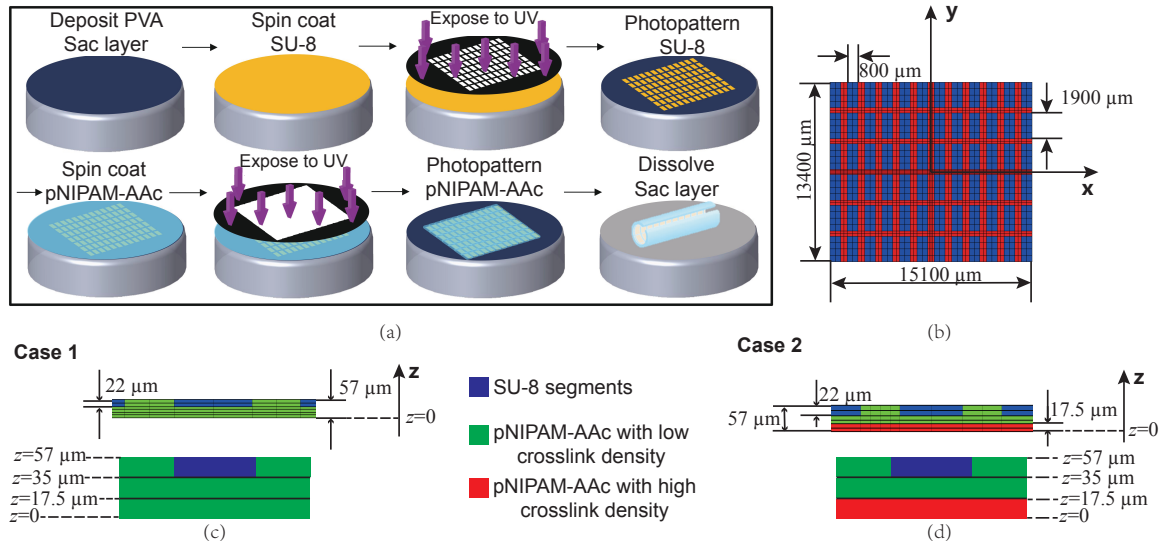
that embeds stiff non-swellable polymer segments into the compliant hydrogel material [153–155]. The arrangement of the stiff segments strongly influences the folded shape. The presence of stiff line reinforcements in a thin hydrogel strip can produce rolling, twisting, or expansion during swelling depending the orientation of reinforcements. [156]

Inspired by natural armor systems, such as crocodile skin, we designed a thermoresponsive self-folding composite structure composed of stiff, non swellable SU-8 rectangular patches arranged regularly in a poly(N-isopropylacrylamide-co-acrylic acid) (pNIPAM-AAc) matrix [157]. pNIPAM-AAc is a thermoresponsive hydrogel that undergoes a transition from a hydrophilic state to a hydrophobic state when the temperature increases above the lower critical solution temperature (LCST), resulting in a dramatic change in volume [10, 158–161]. While the composite design enhanced the overall stiffness of the plate, we found that the plate exhibited an unexpected bidirectional and biaxial bending behavior during temperature-induced swelling and deswelling. The goal of this chapter is to understand this unusual bending behavior and explore the design space of the composite structure using a combined experimental and computational modeling approach. We developed finite element models of the bilayer composite structure to simulate the self-folding behavior. A thermomechanical hyperelastic constitutive model was used to describe the equilibrium thermoresponsive swelling behavior of the pNIPAM-AAc hydrogel. We investigated the mechanism for biaxial bending by comparing the energy of the different equilibrium folded configurations. Similar energy minimization approaches have been applied in theoretical plate bending models [162–167] and finite element models [164, 165, 168–171]

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to examine the effects of geometry and material properties on the equilibrium folding behavior of self-folding structures. We also applied the finite element models to investigate the effects of geometric factors, such as film aspect ratio, segment aspect ratio and segment spacing on the bending behavior to guide the design and application of the active composite structure.

### 3.2 Method



**Figure 3.1:** (a) Schematic of the microfabrication process for the soft-stiff hydrogel composite structure. (b) Finite element model of the composite structure, where red signifies the soft pNIPAM-AAC material and blue signifies the stiff SU-8 segments. Schematic of cross-section of the finite element model of the composite structure with (c) uniform pNIPAM-AAC properties and (d) with varying properties through the thickness.



### 3.2.1 Materials and Specimen Preparation

The patterned bilayer structure was fabricated using 2D lithography (Fig. 3.1 (a)). The structure consisted of a regular grid of stiff SU-8 segments, a non-swelling photoresist with a stiffness of 2 GPa, embedded in a poly (*N*-isopropylacrylamide-co-acrylic acid) (pNIPAM-AAc) layer. An 80% hydrolyzed polyvinyl alcohol (PVA, Sigma Aldrich, 9000 Da molecular weight) sacrificial layer was spin coated onto a silicon (Si) wafer at 2000 rpm and then baked at 115°C for 5 minutes on a hot plate. SU-8 2025 (MicroChem) photoresist was spin coated on the PVA coated Si wafer at 3000 rpm and then baked at 65°C for 2 minutes, 95°C for 5 minutes, and 65°C for 2 minutes. The SU-8 film was photopatterned using a mask aligner (Quintel) and a segmented rectangular shaped photomask and was exposed to 500 mJ/cm<sup>2</sup> ultraviolet (UV) light (365 nm) to initiate crosslinking. Moreover, post-baking was conducted at 65°C for 1 minute, 95°C for 5 minutes and, 65°C for 1 minute. Uncrosslinked regions of the SU-8 were completely dissolved using an SU-8 developer (MicroChem) for 1 minute and rinsed with acetone and isopropyl alcohol (IPA) in order, and dried with compressed air to obtain only the crosslinked SU-8 rectangular segment features on the PVA covered Si wafer. The wafer was treated with oxygen plasma (Plasma Etch) for 480 seconds at 30 W (RF power) before aliquoting pNIPAM-AAc solution on the wafer. The pNIPAM-AAc stock solution was prepared by following a previous protocol [60]. In brief, a mixture of 3 g NIPAM monomer (Scientific Polymer Products Inc.), 0.4 g pNIPAM (300k MW, Scientific Polymer Products Inc.), 0.18 g N, N-Methylenebis-Acrylamide (BIS-acrylamide, Aldrich), 7.5 ml organic solvent of n-butanol (Sigma), 0.31

### CHAPTER 3. BIDIRECTIONAL AND BIAxIAL CURVING OF THERMORESPONSIVE BILAYER PLATES WITH SOFT AND STIFF SEGMENTS

ml acrylic acid (AAc, Aldrich) and 100 ml Irgacure 2100 (Ciba) were stirred overnight, and stored in room temperature until further use. In order to photopattern a thermally responsive pNIPAM-AAc layer on top of the SU-8 patterns, 1 ml of pNIPAM-AAc solution was cast onto the wafer and levelled for 1 minute. After aligning a second mask with the SU-8 patterns, the pNIPAM-AAc solution was exposed to  $60 \text{ mJ/cm}^2$  UV light through a second dark field mask on top in non-contact lithographic mode using spacers. In this crosslinking process, the UV intensity decays along the thickness direction, which leads to a gradient of crosslink density. The uncrosslinked pNIPAM-AAc was washed off using IPA and then dried gently using compressed air. The photopatterned SU-8/pNIPAM-AAc bilayers were allowed to bond for 4 hours. The substrate was submerged in DI water to dissolve the PVA sacrificial layer. This yielded free standing bilayer plates in a swollen equilibrium state at room temperature. The samples were stored in DI water for up to three days at room temperature before the actuation experiments.

For the actuation experiments, SU-8/pNIPAM-AAc bilayer plates were immersed in DI water in a glass Petri dish on a hot plate. The water was heated from room temperature to  $40^\circ\text{C}$ . Finally, the hot plate was removed to allow the system to cool down to room temperature at ambient conditions. The deformation of the plates in the heating-cooling cycle was captured by a multi-zoom microscope (NIKON AZ 100).

### 3.2.2 Finite element model

The finite element geometry was developed using the dimensions of the pattern used to fabricate the composite structure (Fig. 3.1 (b)). The model consisted of a rectangular thin plate divided into two material domains, the pNIPAM-AAc hydrogel and the SU-8 rectangular segments, which were embedded in the hydrogel up to a depth of  $22\ \mu\text{m}$ . The mesh was discretized using trilinear hexahedral elements with mesh sizes shown in Fig. 3.1 (b). The boundary conditions were set as  $u_x(0,0,0) = u_y(0,0,0) = u_z(0,0,0) = 0$ . We considered two cases. In case 1, the pNIPAM-AAc was assumed to be uniform throughout the plate (Fig. 3.1 (c)). In case 2, we examined the effects of a gradient in swelling and elastic modulus through the thickness caused by the gradient in the crosslink density. The gradient was approximated by dividing the pNIPAM-AAc into two layers (Fig. 3.1 (d)). The bottom layer, ( $0 < z < 17.5\ \mu\text{m}$ ), had higher crosslink density and thus was stiffer and swelled to a lesser extent than the upper layer.

We used a previously developed constitutive model [3, 155] to describe the thermally activated swelling behavior of hydrogels. We assumed that the free energy of the hydrogel system can be decomposed into a mechanical component for the stretching of the polymer chains and a chemical component for the mixing of the polymer and solvent,

$$\psi = \psi_e(\mathbf{F}, \varphi) + \psi_m(\varphi) \quad (3.1)$$

where  $\mathbf{F}$  is the deformation gradient and  $\varphi$  is the polymer volume fraction of the polymer-

### CHAPTER 3. BIDIRECTIONAL AND BIAXIAL CURVING OF THERMORESPONSIVE BILAYER PLATES WITH SOFT AND STIFF SEGMENTS

solute system. A quasi-incompressible model was used for the mechanical component of the free energy density,

$$\begin{aligned} \psi_e(\mathbf{F}, \varphi) = \frac{G}{2} \{ \lambda_1^2 + \lambda_2^2 + \lambda_3^2 - 3 - 2 \ln(\lambda_1 \lambda_2 \lambda_3) \} \\ + \frac{\kappa}{4} \{ (\varphi \lambda_1 \lambda_2 \lambda_3)^2 - 2 \ln(\varphi \lambda_1 \lambda_2 \lambda_3) - 1 \} \end{aligned} \quad (3.2)$$

where  $G$  and  $\kappa$  are shear and bulk moduli, and  $\lambda_1, \lambda_2, \lambda_3$  are the principle stretches. The free energy density of mixing was described using the Flory-Huggins theory [172],

$$\psi_m(\varphi) = \frac{RT}{v\varphi} \{ (1 - \varphi) \ln(1 - \varphi) + \chi \varphi (1 - \varphi) \}, \quad (3.3)$$

where  $v$  is the volume of a solute molecule and  $\chi(T)$  is the temperature-dependent Flory-Huggins interaction parameter [173],

$$\chi = \frac{1}{2}(\chi_L + \chi_H) + \frac{1}{2}(\chi_H - \chi_L) \tanh\left(\frac{T - T_{tran}}{\Delta T}\right). \quad (3.4)$$

The  $\chi_L$  and  $\chi_H$  are the Flory-Huggins interaction parameters at low and high temperature,  $T_{tran}$  represents the transition temperature and  $\Delta T$  is the width of the transition region. The principle Cauchy stresses  $\sigma_a$  and chemical potential  $\mu$  can be evaluated from the free energy density as,

$$\sigma_a = \frac{1}{\lambda_1 \lambda_2 \lambda_3} \lambda_a \frac{\partial \psi}{\partial \lambda_a}, a = 1, 2, 3, \quad (3.5)$$

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$$\mu = \frac{\partial \psi}{\partial c}, \quad (3.6)$$

where  $c$  represents the number of solute molecules per reference volume and is related to  $\phi$  through  $\phi = 1/(1 + \nu c)$ . The constitutive model was implemented in TAHOE (Sandia National Laboratories) for finite element simulation.

The simulation starts from a temperature of  $T_0 = 29^\circ\text{C}$  at which the plate is assumed to be in a flat stress-free state. The temperature was decreased to  $T_{low} = 22^\circ\text{C}$  or increased to  $T_{high} = 40^\circ\text{C}$  from  $T_0$  to produce swelling and deswelling of the hydrogel, respectively, thus introducing self-folding.

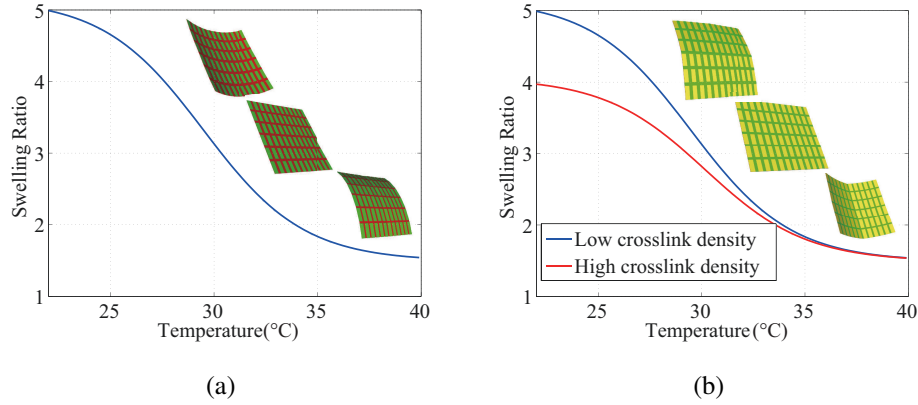
### 3.3 Results and Discussions

#### 3.3.1 Simulation Results

The model parameters used to simulate case 1, with the uniform low crosslinked pNIPAM-AAc layer, and case 2, with the two layer pNIPAM-AAc structure, are summarized in Table 3.1 [3].

The volumetric swelling ratio for free swelling is plotted as a function of temperature in Fig. 3.2(a) and Fig. 3.2(b) for case 1, with uniform properties, and case 2, with nonuniform properties in the pNIPAM-AAc, respectively along with the swollen and deswollen configurations. As shown in Fig. 3.2(a) for case 1, the plate bent along the long axis up-

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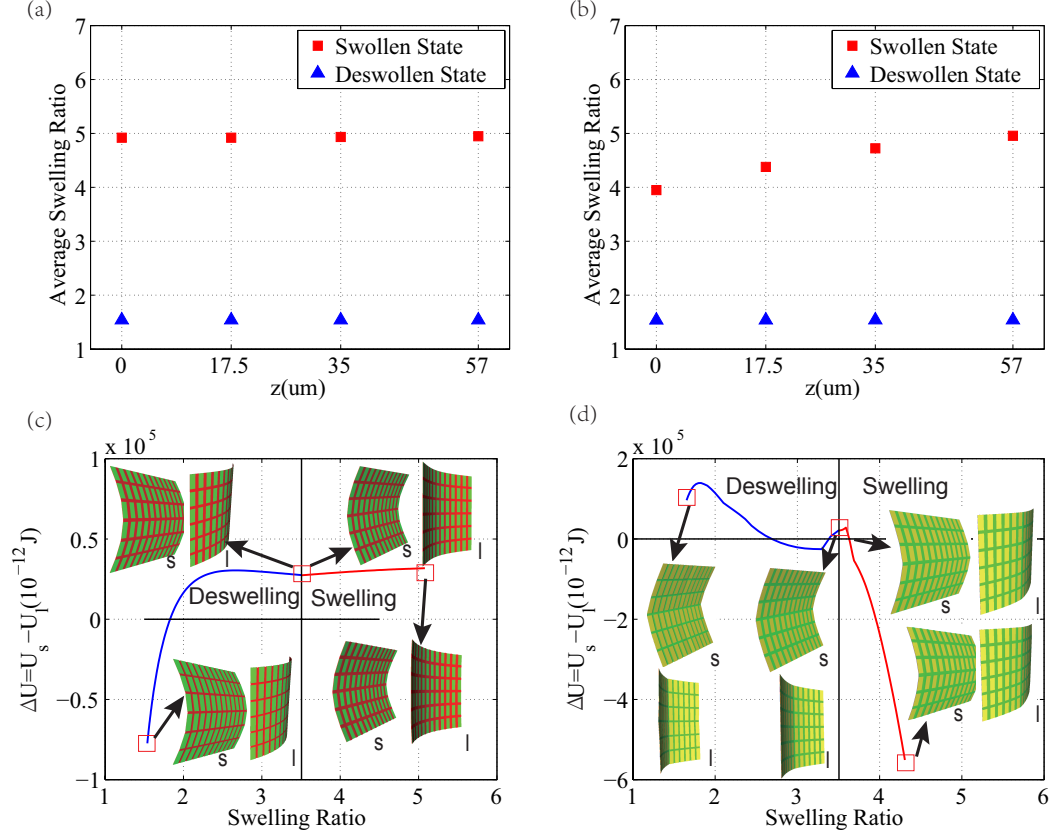
**Figure 3.2:** Swelling ratio plotted as a function of temperature (a) for case 1 with uniform properties in the pNIPAM-AAc material and (b) for case 2 with a through-thickness variation in the properties of the pNIPAM-AAc material. Also plotted are the deformed configuration at 3 different temperatures. In (a), the plate bent along the long axis up towards the positive  $z$ -direction when swollen and along the short axis down towards the negative  $z$ -direction when deswollen. The opposite occurred in (b), where the plate bent along the short axis down towards the negative  $z$ -direction when swollen and along the long axis up towards the positive  $z$ -direction when deswollen.

	$G$	$\chi_L$	$\chi_H$	$T_{tran}$	$\Delta T$
low crosslink density	74 KPa	0.57	0.98	307 °K	5 °K
high crosslink density	125 KPa	0.595	0.98	307 °K	5 °K

**Table 3.1:** The shear modulus ( $G$ ) and Flory-Huggins parameters for pNIPAM-AAc with low and high crosslink density obtained from Yoon et al. [3].

wards, towards the positive  $z$ -direction, when swollen and along the short axis downwards, towards the negative  $z$ -direction, when deswollen. In case 2, the system also exhibited bidirectional and biaxial bending, but in an opposite sequence than observed for case 1. In the swollen state the plate bent along the short axis, down towards negative  $z$ -direction, while in the deswollen state it bent along the long axis up, towards positive  $z$ -direction.

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**Figure 3.3:** The area average swelling ratio at different positions along  $z$  for (a) case 1 with uniform properties in the pNIPAM-AAC material and (b) case 2 with a through-thickness variation in the properties of the pNIPAM-AAC material, where  $z = 0$  corresponds to the bottom of the plate. The difference in the strain energy  $\Delta U = U_s - U_l$  between bending along the short axis and along the long axis for (c) case 1 and (d) case 2. The dark gridline marks the 3.5 swelling ratio of the pNIPAM-AAC material at the initial temperature  $T_0$ .

To understand the sequence of bending directions, we examined the variation in the swelling ratio through the thickness for case 1 with uniform pNIPAM-AAC properties and case 2 with a variation in the pNIPAM-AAC properties along the thickness direction. The swelling ratio at different  $z$ -positions of the plate ( $z = 0, 17.5, 35, 57 \mu\text{m}$ ) were averaged over all the nodes at that  $z$ -position (Fig. 3.3(a, b)). In case 2, the top surface ( $z = 57 \mu\text{m}$ ) swelled more than the bottom ( $z = 0$ ) because of the presence of the cross-linking gradient, which

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explained the bending towards negative  $z$  in the swollen state. In case 1, the swelling ratio showed little variation through the thickness. As a result, the plate bent upwards towards the positive  $z$ -direction in the swollen state because the non-swelling SU-8 segments restricted the deformation of the top surface.

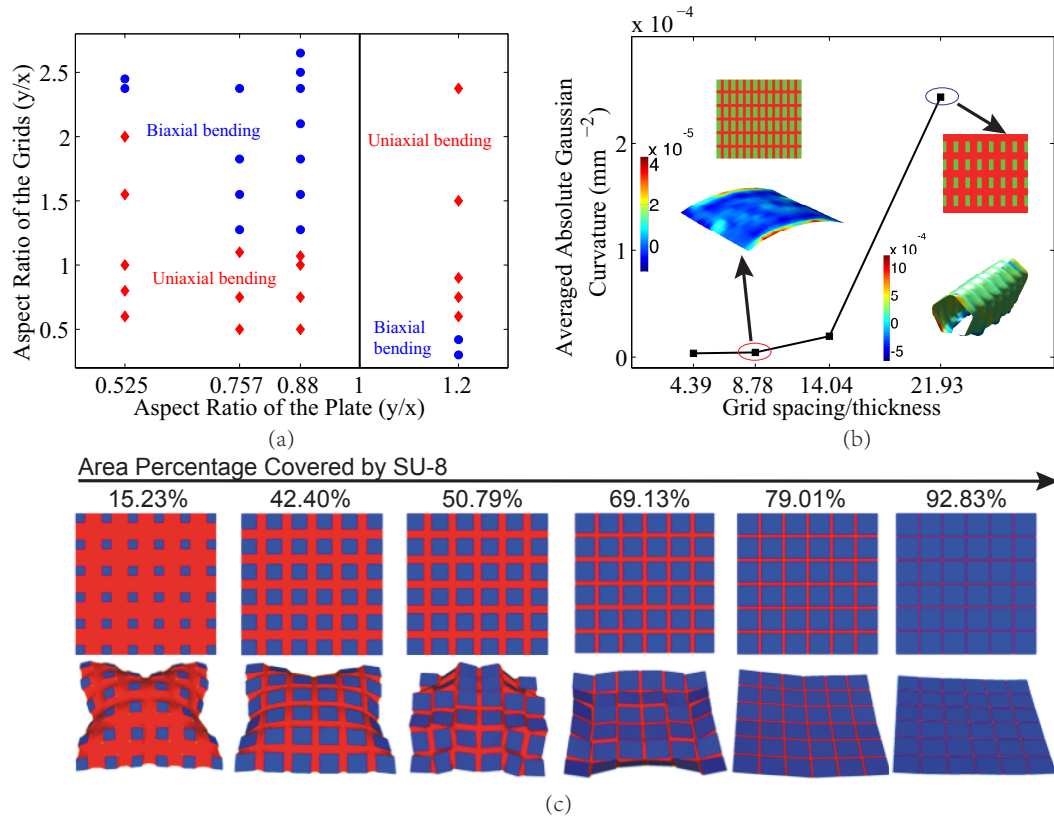
We also investigated the sequence of bending axes by applying finite element analysis to evaluate the difference in the strain energy for bending along the long axis compared to the short axis during swelling and deswelling (Fig. 3.3(c,d)). To force bending along either axis, we applied a cylindrical force field with a radius of  $4266 \mu\text{m}$  to the initially flat bilayer plate at the initial temperature  $T_0$ . The force field was aligned with either the long or short axis of the bilayer plate depending on the bending axis of case 1 and 2. The force field was placed in contact with the plate then moved by a distance of  $2133 \mu\text{m}$ , either in the positive or negative  $z$ -direction depending on the bending direction, to induce bending. The radius of the force field and its displacement were picked to reproduce the equilibrium curvature calculated in the finite element self-folding simulations at  $T_{low} = 22^\circ\text{C}$  and  $T_{high} = 40^\circ\text{C}$  for the swollen state and deswollen state respectively (Fig. 3.2). The mechanically bent plate was then subjected to a temperature change to allow the structure to swell or deswell under the constraint of the cylindrical force field. The strain energy density was integrated over the body to calculate the total strain energy  $U$  of the plate with subscript  $s$  referring to bending along the short axis and subscript  $l$  referring to bending along the long axis. The difference in  $U_s$  and  $U_l$  was plotted against the swelling ratio for case 1 in Fig. 3.3(c). A  $\Delta U = U_s - U_l > 0$ , signified that bending along the long axis was more energetically



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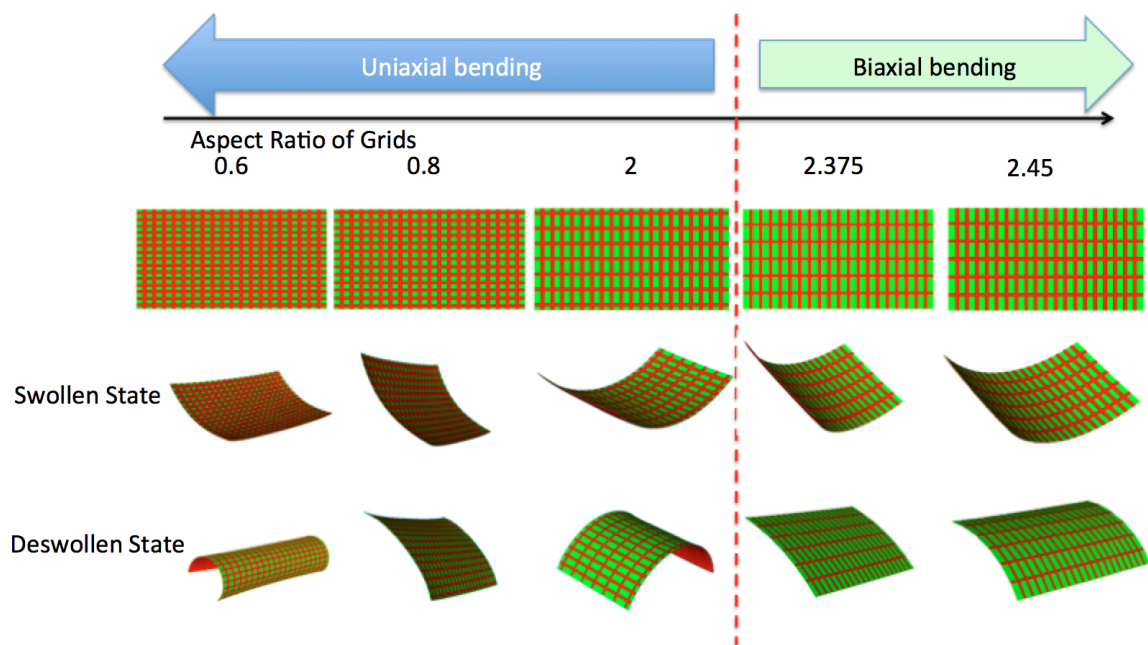
favorable, while  $\Delta U < 0$  indicated preferential bending along the short axis. For case 1,  $\Delta U > 0$  during mechanical bending by the cylindrical force field, signifying that bending along the long axis resulted in a lower strain energy than bending along the short axis. From this starting point, the temperature was increased for deswelling or decreased for swelling. The  $\Delta U$  was negative during deswelling and positive during swelling, which explained the sequence of bending axis shown in Fig. 3.2(a). Fig. 3.3(d) shows  $\Delta U$  as a function of the swelling ratio of the top layer with the lower crosslink density for case 2. The opposite result was found for case 2. The  $\Delta U$  was positive in the deswollen state and negative in the swollen state, which explained the bending sequence shown in Fig. 3.2(b).

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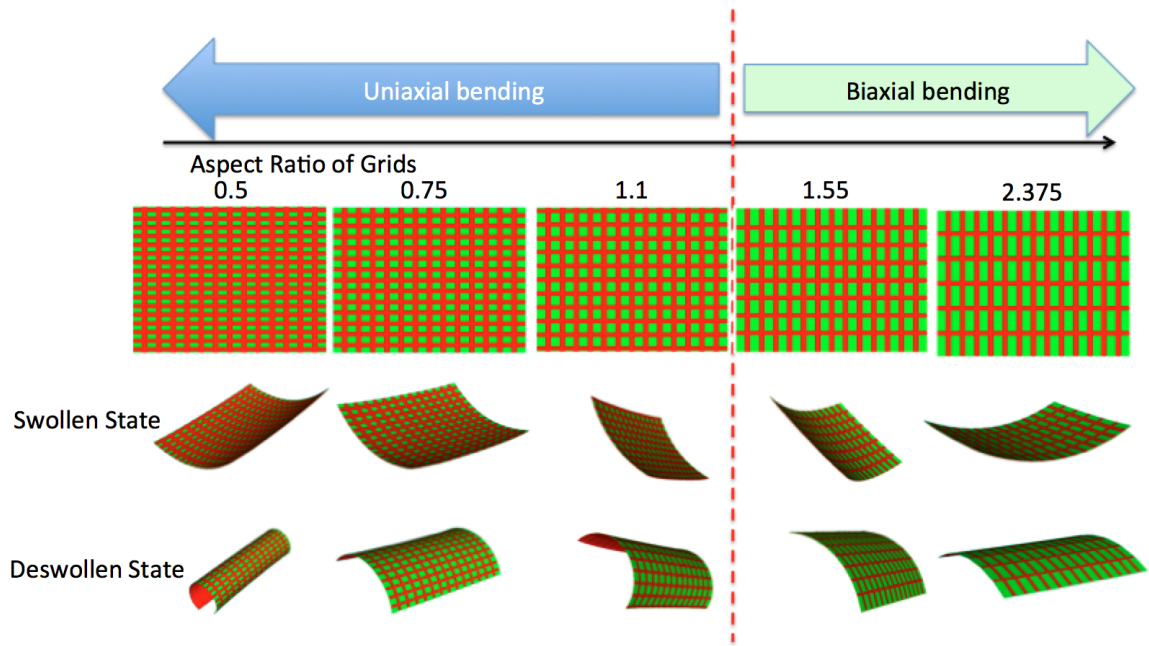
**Figure 3.4:** (a) A phase diagram for biaxial and uniaxial bending of the composite structure with different segment and plate aspect ratios. (b) The averaged absolute gaussian curvature, obtained by taking the average on the absolute value of the gaussian curvature over all the surface nodes, was plotted against the segment spacing normalized by the plate thickness. Contour plots of the gaussian curvature of the deswollen configurations are included for the normalized segment spacing of 8.78 and 21.93. (c) A schematic of the deswollen configurations of the square plates with square stiff segments comparing the effect of the edge-to-edge segment spacing.

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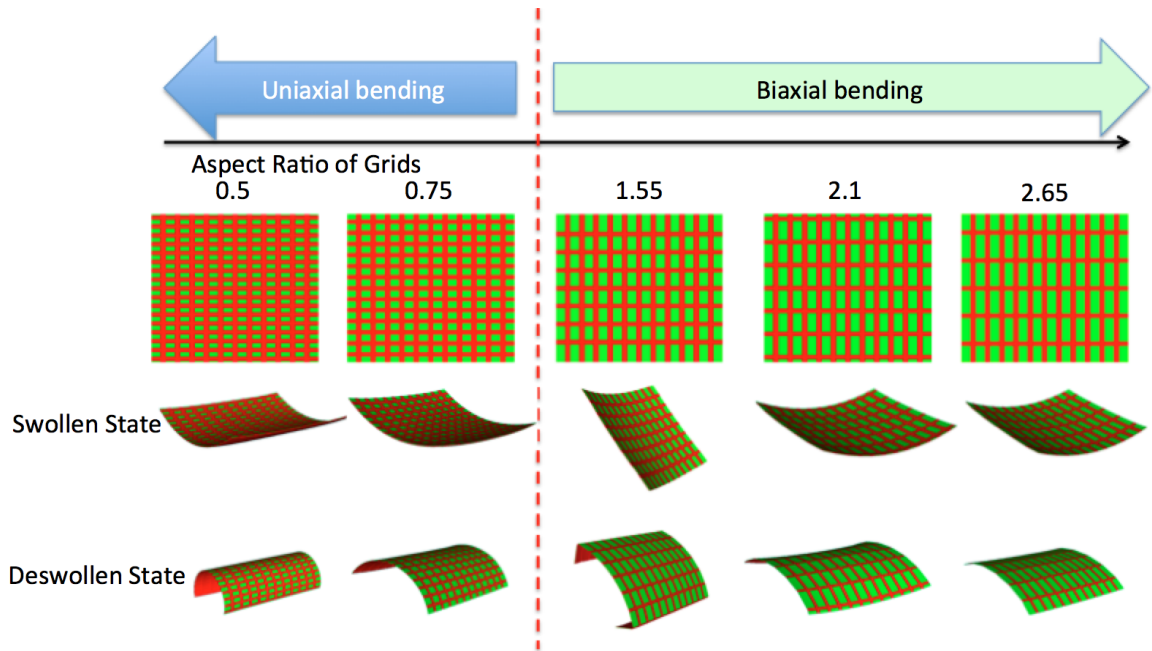


(a) Aspect ratio of the plate: 0.525

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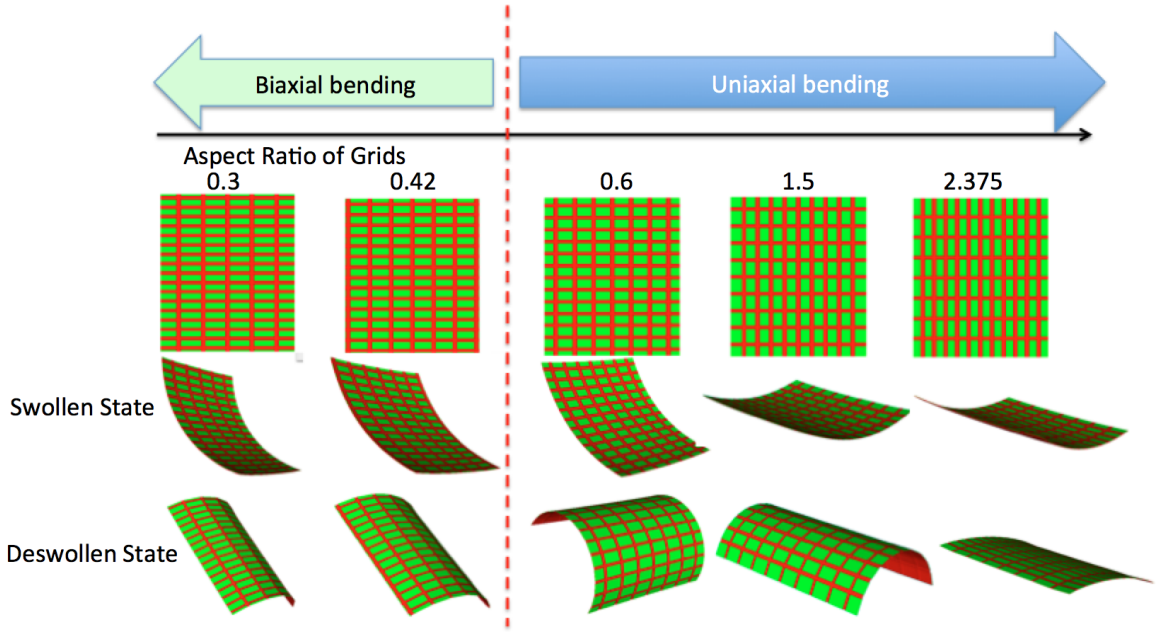


(b) Aspect ratio of the plate: 0.757



(c) Aspect ratio of the plate: 0.88

## CHAPTER 3. BIDIRECTIONAL AND BIAxIAL CURVING OF THERMORESPONSIVE BILAYER PLATES WITH SOFT AND STIFF SEGMENTS



(d) Aspect ratio of the plate: 1.2

**Figure 3.5:** Deformed configurations for cases with different plate aspect ratio (0.525, 0.757, 0.88 and 1.2) and segment aspect ratio (ranging from 0.3 to 2.65) as summarized in the phase diagram in Fig. 3.4(a). When the segment aspect ratio was close to 1 or when the aspect ratio of the segment was aligned with the plate (i.e., the long axis of the segments were aligned with the long axis of the plate), the plate bent along a single axis, specifically the short axis of the segments. When the segment was aligned perpendicular to the plate and the aspect ratio (long dimension over short dimension) was large, the plate exhibited biaxial bending.

### 3.3.2 Parametric Study

To explore the design space of the composite structure, we performed a parametric study to examine the effects of geometric factors, including the aspect ratios of the stiff SU-8 segments and of the plate, and the segment spacing, on the biaxial bending behavior. In this parametric study, we considered case 1 with uniform pNIPAM-AAc properties for

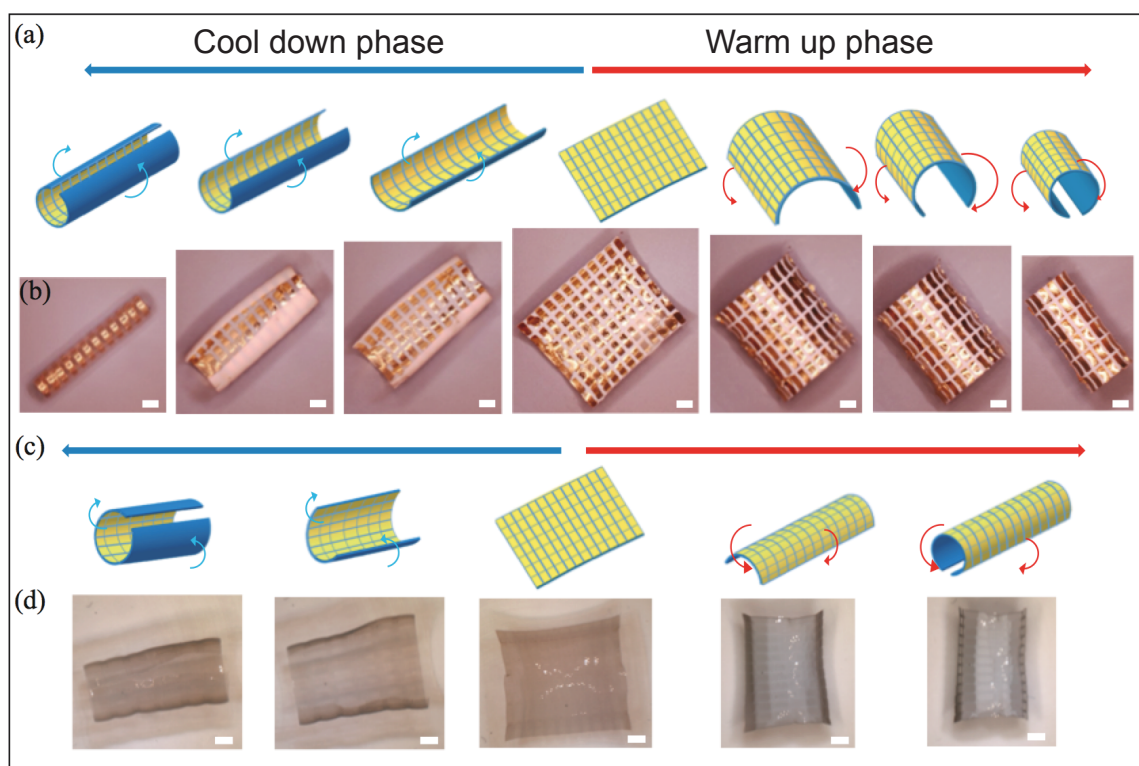
### CHAPTER 3. BIDIRECTIONAL AND BIAXIAL CURVING OF THERMORESPONSIVE BILAYER PLATES WITH SOFT AND STIFF SEGMENTS

simplicity and the thickness of the SU-8 and pNIPAM-AAc are  $22\text{ }\mu\text{m}$  and  $57\text{ }\mu\text{m}$  respectively, as shown in Fig. 3.1 (c). The aspect ratio of the stiff segments was varied from 0.3 to 2.9 while maintaining the segment spacing and the plate dimensions. This resulted in an irregular row of either shorter or longer SU-8 patches along the plate boundaries. Four sets of simulations were performed, with plate aspect ratio of 0.525, 0.757, 0.88 and 1.2 respectively. The results are summarized in the phase diagram plot of the segment aspect ratio and plate aspect ratio (Fig. 3.4(a)). The deformed configurations in the swollen and deswollen state for all cases are shown in Fig. 3.5. When the segment aspect ratio was close to 1 or when the aspect ratio of the segment was aligned with the plate (i.e., the long axis of the segments were aligned with the long axis of the plate), the plate bent along a single axis, specifically the short axis of the segments. When the segment was aligned perpendicular to the plate and the aspect ratio (long dimension over short dimension) was large, the plate exhibited biaxial bending. For the case with a plate aspect ratio of 0.88, the plate bent biaxially when the segment aspect ratio was greater than 1.2. Similarly a plate with aspect ratio of 1.2 exhibited biaxial bending when the aspect ratio of the SU-8 segments was smaller than 0.42. Recall that the row of stiff segments at the edges of the plate did not have the same aspect ratio as the more interior segments. The irregularly sized patches at the plate edges may have a significant influence on the bending axis near the phase boundary between biaxial and uniaxial bending.

We next varied the segment spacing from 4.4 to 21.9 times the plate thickness while maintaining the segment aspect ratio and plate dimensions. A larger segment spacing led to

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a larger curvature and a tighter fold (Fig. 3.4(b)). The plate exhibited wrinkling in addition to bending for large segment spacing. This is demonstrated in Fig. 3.4(c) for a square plate and square segments. The plate exhibited wrinkling when the area covered by stiff segments became less than 70% of the plate area. The same phenomenon was observed experimentally by Hayward et al. [146] for highly crosslinked dots embedded in a lightly crosslinked gel matrix.



**Figure 3.6:** Images from actuation experiments exhibiting biaxial bending along with schematic illustrations (a)&(b) First bending sequence; (c)&(d) Second bending sequence. The scale bar indicates 1 mm. A thin coating of gold is dusted on the exposed surface of the stiff SU8 segments in (b) to better visualize the bending axis.

### 3.3.3 Experiment Results

The results from the temperature-activated bending experiments are summarized in Fig. 3.6. The bilayer plates exhibited biaxial bending as predicted in the simulations. The plates bent up towards positive  $z$  during swelling and down, towards negative  $z$  during deswelling, which corresponded to case 1 of the simulations with a homogenous pNIPAM-AAc layer. We observed two sequences of biaxial bending in the experiments. One set of specimens bent along the short axis during swelling and the long axis during deswelling, which was in the opposite order of the simulations (Fig. 3.6(b)). The second, smaller set of specimens exhibited the opposite sequence, bending along the long axis during swelling and along the short axis during deswelling, as predicted by the simulations (Fig. 3.6(d)). The bending direction was repeatable in subsequent heating and cooling cycles. However, we observed in some specimens that the curvature progressively decreased with each temperature cycle after the fourth or fifth cycle. We attributed this to the delamination of the stiff SU-8 segments from the pNIPAM-AAc substrate.

While the second biaxial sequence resulted in a lower energy state than the opposite sequence in the modeling study, the energy difference for bending along the short and long axes was small, on the order of  $10^{-7}$  J (Fig. 3.3(c)) for both swelling and deswelling. Many experimental factors may have caused the specimen to exhibit the opposite bending sequence. In the experiments, the solution was heated by a hot plate from the bottom which resulted in a nonuniform temperature field. Heat conduction and solvent diffusion through the plate could cause a nonuniform distribution of swelling ratio within the pNIPAM-AAc,



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which may bias the bending direction and axis of the plate. These factors were not included in the simulation for the equilibrium behavior of the plate. In the simulation of case 2, we used two discrete layers with different moduli to approximate the continuous variation in the crosslink density through the plate thickness. This can affect the curvature of the bent composite plate, but should not affect the direction of bending. Furthermore, the simulation started from an idealized, flat, stress free state, while the plate tended to exhibit a saddle shape near the LCST in the experiments.

## 3.4 Conclusions

We designed a thermoresponsive self-folding system composed of soft swelling gels and stiff non-swelling segments arranged in a regular grid pattern. The self-folding behavior of the composite plate was investigated using experiments and finite element modeling. In both experiments and simulation, the system exhibited bidirectional and biaxial bending. The direction of bending was determined by the distribution of the swelling ratio through the thickness of the composite plate and can be altered by imparting a swelling gradient through the thickness. Biaxial bending occurred when the segments were arranged such that the long axis of the patch was perpendicular to the long axis of the plate. The curvature of the bent plate was controlled by the segment spacing, and a large segment spacing led to the development of wrinkles during bending. The composite structure can be found in many instances in nature, for example in turtle shells and snake skin. The mismatch

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strain in these living tissues is provided by growth of the underlying soft dermis. Studying the artificial composite structure will provide a greater understanding of the development of the biological structure as well as design rules for adaptive flexible armor systems.

## **Chapter 4**

# **An Effective Temperature Model for the Large Strain Hardening Behavior of Glassy Polymers**

Amorphous polymers exhibit a viscoplastic strain hardening behavior at large strain. To describe this hardening behavior, we extended the effective temperature theory proposed by Xiao and Nguyen [82] to large strains to incorporate two mechanisms: the stretching and orientation of the polymer network, which leads to the development of a backstress, and network relaxation, which accounts for the temperature and rate dependence of strain hardening. The model was applied to simulate the thermomechanical behavior of polycarbonate (PC) to determine the model parameters from standard thermomechanical tests. The simulation results showed that the model can quantitatively capture the dependence of

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the hardening modulus on strain, strain rate, and temperature, as well as the unloading and reloading behavior measured in uniaxial compression tests.

### 4.1 Introduction

Glassy polymers share an intrinsic stress response that is strongly dependent on temperature, strain rate, time, and deformation history. The material is elastic at small strains, with a Young's modulus that typically ranges between 1 to 3 GPa with little dependence on the temperature and strain rate at temperatures below the onset of the glass transition. At approximately 5% strain, the material yields and plastically deforms when the stress becomes large enough to spur local segmental rearrangements of the polymer chains. The yield stress increases with decreasing temperature, increasing strain rate and annealing time. The temperature-dependence and strain-rate dependence is commonly described using the Eyring model for stress-activated flow [174]. The model relates the stress and plastic strain rate through a nonlinear viscosity that decreases exponentially with temperature and stress when it exceeds an activation stress. Following yield, the stress may decrease with strain to a steady-state draw stress. The yield strength and post-yield stress drop is highly dependent on the thermomechanical history. Annealed specimens exhibit a higher yield strength and more softening than quenched specimens as a consequence of physical aging, while mechanical pre-deformation can mechanically rejuvenate the material and eliminate strain softening [175–178]. A number of phenomenological models have been

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developed to describe the physical aging [80, 179]. Xiao and Nguyen showed that the phenomena can be captured by coupling the evolution of the nonequilibrium structure with plastic deformation in an effective temperature thermodynamic framework [82]. In the Xiao and Nguyen theory, the evolution of the nonequilibrium configurational entropy to a more ordered state increases the resistance to plastic deformation, through the nonlinear Adam-Gibbs model [180], which increases the yield strength. Plastic work drives the configurational entropy to a more disordered state, which decreases the resistance to plastic flow and produces plastic strain softening. Following strain softening, the stress increases with strain as a result of long range molecular alignment of the polymer network. The degree of strain hardening relative to the post-yield softening determines the failure behavior of glassy polymers [80, 181]. Polymers with a high strain hardening modulus and small post yield stress drop tend to be ductile, because the strain localization caused by strain softening is suppressed by the increased resistance to plastic deformation caused by molecular orientation [182, 183]. In this work, we aim to extend the Xiao and Nguyen model to describe the temperature-dependent and rate-dependent strain hardening behavior of glassy polymers.

The modeling approach for the strain hardening behavior of glassy polymers can be traced back to the work of Haward and Thackray [184], who first used rubber elasticity theory to describe the post-draw strain hardening. They were inspired by experimental observations that almost all of the plastic deformation can be recovered by heating the material to temperatures above  $T_g$  [185–188]. This thermomechanical reversibility is the

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

underlying mechanism of the shape memory behavior of amorphous polymers. The hardening stress can be derived from the change in network entropy with deformation, which for a one-dimensional case  $\sigma = -\lambda T(\frac{\partial s}{\partial \lambda})_T$ , where  $\lambda$  is the stretch,  $s$  is the entropy per unit volume,  $\sigma$  is the true stress and  $T$  is the temperature. Assuming that the chains obey Gaussian statistics yields the Gaussian hardening model

$$\sigma(\lambda) = G_R(\lambda^2 - 1/\lambda), \quad (4.1)$$

where  $G_R$  is defined as the hardening modulus. When the end-to-end distance of a polymer chain is stretched near the contour length, a Langevin statistical model is used instead of the Gaussian approximation to describe the dramatic increase in the hardening modulus [189, 190]. The transition between Gaussian hardening and Langevin hardening strongly depends on entanglement length [191]. Boyce et al. [192, 193] extended the one dimensional constitutive equation proposed by Haward and Thackray to a three dimensional formulation known as the 'BPA-model'. The spatial distribution of molecular chains in the BPA-model was initially represented by the 'three-chain' model [194] and later the more predictive 'eight-chain' model [190] and the 'full-chain' model by Wu and Van Der Giessen [195].

While this approach can capture the hardening stress response under certain temperature and strain rate for various semi-crystalline polymers [196–198] and amorphous glasses [199, 200], significant inconsistencies have been revealed through experimental observa-

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

tions. Experiments show that the strain hardening modulus is smaller at higher temperatures [191, 201–206], while in the entropic model the hardening modulus increases with temperature. Moreover, the hardening modulus predicted from the entropic models is orders of magnitude smaller than that measured in experiments [184, 204, 207]. In addition, experiments [16, 208, 209] and molecular dynamics simulations [210–212] show that the internal energy contributes significantly to strain hardening, which is not included in entropic network models. Hoy and Robbins [191, 213] revealed that there is a dramatic increase in energetic stress as polymer chains are stretched taut between entanglements. Given these discrepancies, alternative approaches have been developed in recent years to model the strain hardening behavior. Chen and Schweizer [214] attributed the strain hardening to the strengthened activation barrier and an increase in viscosity as a result of the deformation induced anisotropy in chain conformations. This idea has been applied to the constitutive modeling by introducing a deformation dependence in the viscous flow stress [17, 18, 215–218]. Anand et al. [219, 220] applied the internal variable approach by introducing macroscopic internal variables to represent the microstructural resistance to plastic flow.

Hansen and Boyce [16] performed differential scanning calorimetric measurements of cold worked specimens and their observation of two distinct and separately evolving exothermic undershoots in the DSC curves suggest the presence of two independent relaxation mechanisms in glassy polymers. Dupaix and Boyce [221] developed a constitutive model that describes the amorphous polymers with two parallel Maxwell elements that

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

represent the resistance to local segmental motion and the resistance to long range network deformation. A molecular relaxation mechanism was incorporated into the network resistance to capture more accurately the temperature and strain rate dependence of strain hardening. Hempel [222] incorporated the molecular relaxation mechanism in the kinematic hardening approach. The network relaxation was assumed to be in series with the stretching and orientation of polymer chains, leading to temperature and strain rate dependent backstress. Other works incorporating molecular relaxation mechanism in the modeling of thermoplastics as well as rubbers include [223–226].

Xiao and Nguyen [82, 227] developed a coupled thermomechanical theory, based on the effective temperature thermodynamic framework introduced by Nieuwenhuizen et al. [228] to describe the thermomechanical coupled behavior of amorphous polymers. The theory assumes that the thermodynamic behavior of glassy material can be described by two weakly interacting material subsystems: the kinetic/vibrational subsystem, characterized by the kinetic entropy and temperature, and a configurational subsystem characterized by the configurational entropy and a higher effective temperature. The effective temperature, which represents the nonequilibrium configurational structure, evolves towards the equilibrium temperature and the resulting decrease in the configurational entropy increases the resistance to plastic deformation, which increases the yield stress. Plastic work increases the configurational entropy, which decreases the resistance to plastic deformation leading to strain-softening and the post-yield stress drop. The model was able to accurately describe the thermomechanical behavior of amorphous polymers at moderate strain levels



## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

after yield. To extend the Xiao and Nguyen theory to strain hardening, we incorporated a backstress to describe the energy stored by the long-range deformation of the polymer network and a temperature-dependent molecular relaxation mechanism to describe the rate-dependence and temperature-dependence of strain hardening [221, 222]. The constitutive model was applied to simulate the uniaxial compression of polycarbonate (PC). Results showed that the model was able to accurately capture the temperature-dependence and rate-dependence of strain hardening for polycarbonate.

## 4.2 Method

### 4.2.1 Experimental methods

#### 4.2.1.1 Materials and specimen preparation

Polycarbonate (PC) filaments with a molecular weight of  $M_n = 1.671 \times 10^4$  g/mol and a filament diameter of  $2.85 \pm 0.05$  mm were purchased from Ultimaker (Watermolenweg 2, 4191PN, Geldermalsen, The Netherlands). The filaments were cut into cylindrical specimens with an average length of 4 mm for uniaxial compression tests. The cut surfaces were polished with sandpaper to obtain a smooth surface. Thin film specimens used for dynamic frequency sweep tests were made by melting the PC filaments at 200°C and injecting the fluid into a square steel mold with a length of 50.0 mm and a depth of 2.0 mm and cooling down to room temperature. The film was cut into 20.0 mm  $\times$  5.0 mm  $\times$  2.0 mm specimens

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

with a band saw. Specimens were stored with desiccants to avoid absorption of water.

### 4.2.1.2 Dynamic frequency sweep tests

We used a method developed previously [82, 229, 230] to characterize the stress relaxation spectrum of the PC material. Dynamic frequency sweep tests were performed using a TA Q800 Dynamic Mechanical Analyzer (DMA). Thin film specimens with dimensions specified in Sec. 4.2.1.1 were mounted between the tensile grips with a gauge length of 10 mm. The specimens were subjected to stepwise temperature increase from 35°C to 143°C in 2°C increments. At each temperature step, a dynamic strain of 0.2% amplitude was applied at 0.32 Hz, 1.0 Hz, 3.2 Hz, 10.0 Hz, and 31.6 Hz frequencies to measure the storage modulus  $G(\omega, T)$ . Applying the time-temperature superposition (TTS) principle for thermorheologically simple materials, the storage moduli at different temperatures were shifted to the reference temperature  $T_0 = 143^\circ\text{C}$  to obtain the master curve of the frequency response [231]. The resulting temperature dependent shift factor  $a(T)$  in the glass transition temperature range was used to determine the parameters of the Williams-Landel-Ferry (WLF) equation,

$$\log a_T(T) = \frac{-C_1^0(T - T_0)}{C_2^0 + T - T_0}, \quad (4.2)$$

where  $C_1^0$  and  $C_2^0$  are the WLF constants at the reference temperature  $T_0$ . The WLF constants can be shifted to  $T_g$  as  $C_2^g = C_2^0 + T_g$  and  $C_1^g C_2^g = C_1^0 C_2^0$  [231].

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

### 4.2.1.3 Differential scanning calorimetry

DSC measurements were performed to determine the heat capacity of the rubbery and glassy material and the structural relaxation spectrum. Specimens weighing 8.9 mg were cut from the PC filament and put in a TA Q20 DSC. The specimens were equilibrated at 150°C for 30 mins to remove the thermal history then cooled to 88°C at 3°C/min and annealed for 24 hours. The annealed specimens were cooled to 20°C at 3°C/min and then heated to 250°C at 5°C/min to measure the heat flow rate.

### 4.2.1.4 Uniaxial compression

Uniaxial compression tests at constant temperatures were performed to measure the strain hardening behavior of polycarbonate. Cylindrical specimens with dimensions specified in Sec. 4.2.1.1 were equilibrated at 143°C and annealed 30 mins to erase the thermal history. The specimens were cooled at 3°C/min to the test temperatures, 95°C, 37°C, 27°C or 15°C and annealed at the test temperature for 30 mins in an incubator (Ecotherm, Hartkirchen, Austria). The annealed specimens were placed in an MTS Insight 5 electromechanical testing system (MTS, Eden Prairie, MN, USA) equipped with an environmental temperature chamber (Thermcraft, Inc. Winston Salem, NC, USA) set at the test temperature, and subjected to compressive loading to 100% true strain at true strain rates  $10^{-3}$ /s,  $10^{-4}$ /s,  $10^{-5}$ /s. Three tests were performed at each strain rate and temperature to ensure repeatability. To measure the material response to unloading and reloading, the specimens were first compressed at room temperature (27°C) to 60% true strain at an engineering

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strain rate of  $10^{-3}/\text{s}$  or  $10^{-4}/\text{s}$ , unloaded to zero force at the same strain rate and reloaded to 100% true strain at the same strain rate.

### 4.2.2 Modeling methods

#### 4.2.2.1 A one-dimensional small-deformation model

We consider a simple one-dimensional case with small deformation first. A linear rheological model is shown in Fig. 4.1(a) as a schematic representation. In the rheological model, the spring with shear modulus of  $\mu^{eq}$  describes the rubbery stress response at high temperatures. For thermoplastic materials  $\mu^{eq} = 0$  because of the absence of chemical crosslink. The total deformation was decomposed to an elastic component represented by a spring with shear modulus of  $\mu^{neq}$ , and a viscous component represented by a dashpot with characteristic relaxation time of  $\tau_s$ ,

$$\varepsilon = \varepsilon^e + \varepsilon^v. \quad (4.3)$$

The elastic component describes the resistance of local segmental interaction while the viscous component describes the segmental relaxation, as discussed in [122, 229, 230]. To model the temperature and rate dependent strain hardening behavior of glassy polymers, we adopted the same assumption as Hempel [222] that the inelastic deformation can be further decomposed to a network elastic component represented by a spring with shear modulus of  $\mu^{back}$ , and a network viscous component represented by a dashpot with characteristic

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relaxation time of  $\tau_N$ ,

$$\varepsilon^v = \varepsilon^{N,e} + \varepsilon^{N,v}. \quad (4.4)$$

The network elastic component describes the resistance to long-range network deformation coming from the stretching and orientation of polymer chains while the network viscous component describes the long-range molecular relaxation [221, 222]. Compared to a parallel arrangement between the resistance to local segmental motion and the resistance to long range network deformation that is numerically simple, this decomposition incorporates the molecular relaxation mechanism in the kinematic hardening approach. The kinematic hardening indicates a shift of the yield surface, which allows the model to capture phenomena related to unloading and reloading that were observed in experiments, such as the Bauschinger effect [17, 18] and the deformation induced anisotropy [19].

The free energy density can be additively split to an equilibrium component describing the rubbery response at high temperatures, a nonequilibrium component describing the stiff glassy response at low temperatures and a network component that represents the energy stored in the resistance to long-range network deformation,

$$\Psi = \frac{1}{2}E^{eq}\varepsilon^2 + \frac{1}{2}E^{neq}\varepsilon^e{}^2 + \frac{1}{2}E^{back}\varepsilon^{N,e^2}. \quad (4.5)$$

The stress is given by  $\sigma = \frac{\partial \Psi}{\partial \varepsilon}$  and can be expressed as the sum of equilibrium and nonequilibrium contributions,

$$\sigma = \underbrace{E^{eq}\varepsilon}_{\sigma^{eq}} + \underbrace{E^{neq}\varepsilon^e}_{\sigma^{neq}}, \quad (4.6)$$

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and the backstress is given by

$$\sigma^{back} = E^{back} \epsilon^{N,e}. \quad (4.7)$$

The viscous strains  $\epsilon^v$  and  $\epsilon^{N,v}$  evolve under their respective flow rules, which can be expressed as,

$$\dot{\epsilon}^v = \frac{1}{v^I} (\sigma^{neq} - \sigma^{back}), \quad (4.8)$$

$$\dot{\epsilon}^{N,v} = \frac{1}{v^N} \sigma^{back}, \quad (4.9)$$

where  $v^I$  and  $v^N$  are the flow resistances to segmental rearrangement and network deformation respectively.

### 4.2.2.2 Kinematics

The model in above section can be extended to three-dimensional finite-deformation. Consider a deformation map,  $\mathbf{x} = \phi(\mathbf{X})$ , which carries points  $\mathbf{X}$  in the reference configuration to points  $\mathbf{x}$  in the spatial configuration. The deformation gradient is defined as  $\mathbf{F} = \partial\phi/\partial\mathbf{X}$ . To account for the broad relaxation spectrum, the single-process model shown in Fig. 4.1(a) was extended to multi-process with  $P$  parallel components. Instead of an additive split in eq. (4.3) for one-dimensional model, the total deformation gradient  $\mathbf{F}$  was split multiplicatively into elastic and viscous components,

$$\mathbf{F} = \mathbf{F}_k^e \mathbf{F}_k^v, \quad \text{for } k = 1 \dots P. \quad (4.10)$$

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The effect of thermal deformation is not considered here for simplicity. The right and left Cauchy-Green deformation tensors for  $\mathbf{F}$  and its elastic components are defined as,

$$\begin{aligned}\mathbf{C} &= \mathbf{F}^T \mathbf{F}, \mathbf{b} = \mathbf{F} \mathbf{F}^T, \\ \mathbf{C}_k^e &= \mathbf{F}_k^{eT} \mathbf{F}_k^e, \mathbf{b}_k^e = \mathbf{F}_k^e \mathbf{F}_k^{eT}, \quad \text{for } k = 1 \dots P,\end{aligned}\tag{4.11}$$

and  $\mathbf{L} = \dot{\mathbf{F}} \mathbf{F}^{-1}$  and  $\mathbf{L}_k^v = \dot{\mathbf{F}}_k^v \mathbf{F}_k^{v-1}$  are the total and inelastic spatial velocity gradients. Analogous to eq. (4.4), the inelastic deformation gradient  $\mathbf{F}_k^v$  was decomposed into two components to describe the network deformation and relaxation as,

$$\mathbf{F}_k^v = \mathbf{F}_k^{N,e} \mathbf{F}_k^{N,v}, \quad \text{for } k = 1 \dots P.\tag{4.12}$$

where  $\mathbf{F}_k^{N,e}$  describes the orientation and stretching of the polymer chains and  $\mathbf{F}_k^{N,v}$  represents the molecular relaxation [221, 222]. The right and left Cauchy-Green deformation tensors for the network elastic component can be defined in a similar way,

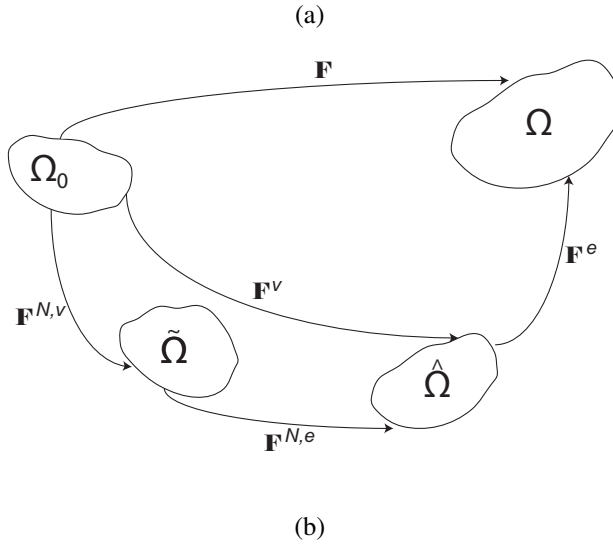
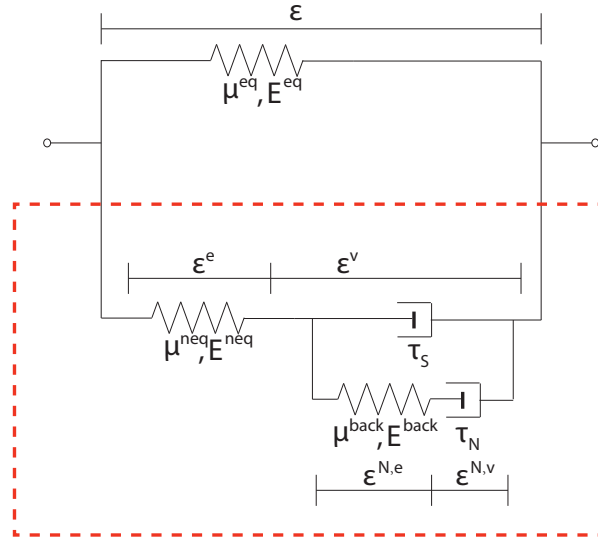
$$\mathbf{C}_k^{N,e} = \mathbf{F}_k^{N,eT} \mathbf{F}_k^{N,e}, \mathbf{b}_k^{N,e} = \mathbf{F}_k^{N,e} \mathbf{F}_k^{N,eT}, \quad \text{for } k = 1 \dots P,\tag{4.13}$$

and the network elastic and inelastic velocity gradient is define as  $\mathbf{L}_k^{N,e} = \dot{\mathbf{F}}_k^{N,e} \mathbf{F}_k^{N,e-1}$  and  $\mathbf{L}_k^{N,v} = \dot{\mathbf{F}}_k^{N,v} \mathbf{F}_k^{N,v-1}$ . Note that  $\mathbf{L}_k^v = \mathbf{L}_k^{N,e} + \mathbf{L}_k^{N,v}$ . The sequence of deformation maps generated from the successive decompositions of the deformation gradient is illustrated in Fig. 4.1(b). The decomposition of the inelastic deformation gradient in eq. (4.12)

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introduced an additional intermediate configuration  $\tilde{\Omega}$ , as shown in the deformation map in

Fig. 4.1(b).



**Figure 4.1:** (a) A single-process rheological representation of the model. The elements in the red dashed box were repeated for a multi-process model. (b) Decomposition scheme for the deformation gradient.



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### 4.2.2.3 Effective temperature nonequilibrium thermodynamic framework for viscoplasticity

In this section we derive the general effective temperature thermodynamic framework for the large deformation viscoplastic behavior of polymers. The basic idea of the effective temperature theory is that the behavior of amorphous polymers can be characterized by a kinetic process that equilibrates instantaneously and  $Q$  configurational modes that gradually evolve to equilibrium, characterized by a series of state variables  $T_{e_i}$  known as the spectrum of effective temperatures [82]. The first law of thermodynamics in the reference configuration can be written as,

$$\dot{e} = \mathbf{S} : \frac{1}{2} \dot{\mathbf{C}} - \nabla_{\mathbf{x}} \cdot \mathbf{Q}, \quad (4.14)$$

where  $\mathbf{S}$  is the second Piola-Kirchhoff stress tensor,  $e$  is the internal energy density and  $\mathbf{Q}$  is the heat flux. The second law of thermodynamics can be written in the reference configuration as,

$$\dot{\eta} + \nabla_{\mathbf{x}} \cdot \mathbf{H} \geq 0, \quad (4.15)$$

where  $\eta$  is the entropy density and  $\mathbf{H}$  is the entropy flux. We assume that the internal energy, total entropy, entropy flux and heat flux can be decomposed into the kinetic and

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configurational parts as,

$$\begin{aligned} e &= e^k + \sum_i^Q e_i^c, \eta = \eta^k + \sum_i^Q \eta_i^c, \\ \mathbf{H} &= \mathbf{H}^k + \sum_i^Q \mathbf{H}_i^c, \mathbf{Q} = \mathbf{Q}^k + \sum_i^Q \mathbf{Q}_i^c. \end{aligned} \quad (4.16)$$

The kinetic and configurational entropy flux are defined as [232],

$$\mathbf{H}^k = \frac{\mathbf{Q}^k}{T}, \mathbf{H}_i^c = \frac{\mathbf{Q}_i^c}{T_{e_i}}. \quad (4.17)$$

We expand the second law by applying the decompositions in eqs. (4.16) and (4.17),

$$\dot{\eta}^k + \sum_i^Q \dot{\eta}_i^c + \frac{\nabla_{\mathbf{x}} \cdot \mathbf{Q}^k}{T} + \sum_i^Q \frac{\nabla_{\mathbf{x}} \cdot \mathbf{Q}_i^c}{T_{e_i}} - \frac{1}{T^2} \mathbf{Q}^k \cdot \nabla_{\mathbf{x}} T - \sum_i^Q \frac{1}{T_{e_i}^2} \mathbf{Q}_i^c \cdot \nabla_{\mathbf{x}} T_{e_i} \geq 0. \quad (4.18)$$

The Helmholtz free energy density is defined as [228],

$$\Psi = \Psi^k + \sum_i^Q \Psi_i^c = e^k - T \eta^k + \sum_i^Q (e_i^c - T_{e_i} \eta_i^c). \quad (4.19)$$

The free energy density  $\Psi$  depends on independent state variables of temperature, effective temperatures, total deformation, and internal variables including the elastic deformation

tensors  $\mathbf{C}_k^e$  and the elastic network deformation tensors  $\mathbf{b}_k^{N,e}$  as  $\Psi(T, T_{e_1} \dots T_{e_Q}, \mathbf{C}, \mathbf{C}_1^e \dots \mathbf{C}_P^e, \mathbf{b}_1^{N,e} \dots \mathbf{b}_P^{N,e})$ .

Substituting eq. (4.19) in eq. (4.14) and rewriting the first law using the Helmholtz free

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energy density gives,

$$T \dot{\eta}^k + \sum_i^Q T_{e_i} \dot{\eta}_i^c + \nabla_{\mathbf{x}} \cdot \mathbf{Q}^k + \sum_i^Q \nabla_{\mathbf{x}} \cdot \mathbf{Q}_i^c = \mathbf{S} : \frac{1}{2} \dot{\mathbf{C}} - \dot{T} \eta^k - \sum_i^Q \dot{T}_{e_i} \eta_i^c - \dot{\Psi}. \quad (4.20)$$

The above equation is applied to eliminate the kinetic entropy and heat flux in eq. (4.18),

$$\begin{aligned} & \sum_i^Q (T - T_{e_i}) \dot{\eta}_i^c + \sum_i^Q (T - T_{e_i}) \frac{\nabla_{\mathbf{x}} \cdot \mathbf{Q}_i^c}{T_{e_i}} - \frac{1}{T} \mathbf{Q}^k \cdot \nabla_{\mathbf{x}} T - \sum_i^Q \frac{T}{T_{e_i}^2} \mathbf{Q}_i^c \cdot \nabla_{\mathbf{x}} T_{e_i} \\ & + \mathbf{S} : \frac{1}{2} \dot{\mathbf{C}} - \dot{T} \eta^k - \sum_i^Q \dot{T}_{e_i} \eta_i^c - \dot{\Psi} \geq 0. \end{aligned} \quad (4.21)$$

The rate of change of free energy density can be evaluated as,

$$\dot{\Psi} = \frac{\partial \Psi}{\partial T} \dot{T} + \sum_i^Q \frac{\partial \Psi}{\partial T_{e_i}} \dot{T}_{e_i} + 2 \frac{\partial \Psi}{\partial \mathbf{C}} : \frac{1}{2} \dot{\mathbf{C}} + \sum_j^P \frac{\partial \Psi}{\partial \mathbf{C}_j^e} : \dot{\mathbf{C}}_j^e + \sum_j^P \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} : \dot{\mathbf{b}}_j^{N,e}. \quad (4.22)$$

It can be shown that  $\frac{\partial \Psi}{\partial \mathbf{C}_j^e} : \dot{\mathbf{C}}_j^e = \frac{\partial \Psi}{\partial \mathbf{F}_j^e} : \dot{\mathbf{F}}_j^e = -2 \mathbf{C}_j^e \frac{\partial \Psi}{\partial \mathbf{C}_j^e} : \mathbf{L}_j^e$ . Substituting eq. (4.22) into the second law in eq. (4.21) gives,

$$\begin{aligned} & \sum_i^Q (T - T_{e_i}) \dot{\eta}_i^c + \sum_i^Q (T - T_{e_i}) \frac{\nabla_{\mathbf{x}} \cdot \mathbf{Q}_i^c}{T_{e_i}} - \frac{1}{T} \mathbf{Q}^k \cdot \nabla_{\mathbf{x}} T - \sum_i^Q \frac{T}{T_{e_i}^2} \mathbf{Q}_i^c \cdot \nabla_{\mathbf{x}} T_{e_i} \\ & + (\mathbf{S} - 2 \frac{\partial \Psi}{\partial \mathbf{C}}) : \frac{1}{2} \dot{\mathbf{C}} - (\eta^k + \frac{\partial \Psi}{\partial T}) \dot{T} - \sum_i^Q (\eta_i^c + \frac{\partial \Psi}{\partial T_{e_i}}) \dot{T}_{e_i} + 2 \underbrace{\sum_j^P \mathbf{C}_j^e \frac{\partial \Psi}{\partial \mathbf{C}_j^e} : \mathbf{L}_j^e}_{W^I} - \underbrace{\sum_j^P \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} : \dot{\mathbf{b}}_j^{N,e}}_{W^N} \geq 0, \end{aligned} \quad (4.23)$$

where  $W^I$  and  $W^N$  represents the internal dissipation from inelastic deformation from local segmental motions and network deformation respectively. Consider an arbitrary approach

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to equilibrium,  $\mathbf{S}$ ,  $\eta_k$  and  $\eta_i^c$  must equal the following to satisfy the above inequality [233],

$$\mathbf{S} = 2 \frac{\partial \Psi}{\partial \mathbf{C}}, \eta_k = -\frac{\partial \Psi}{\partial T}, \eta_i^c = -\frac{\partial \Psi}{\partial T_{e_i}}. \quad (4.24)$$

Applying the above constitutive relations to eq. (4.23) yields a reduced dissipation inequality,

$$\sum_i^Q (T - T_{e_i}) \dot{\eta}_i^c + \sum_i^Q (T - T_{e_i}) \frac{\nabla \mathbf{x} \cdot \mathbf{Q}_i^c}{T_{e_i}} - \frac{1}{T} \mathbf{Q}^k \cdot \nabla \mathbf{x} T - \sum_i^Q \frac{T}{T_{e_i}^2} \mathbf{Q}_i^c \cdot \nabla \mathbf{x} T_{e_i} + W^I - W^N \geq 0. \quad (4.25)$$

The above equation restricted the entropy production from inelastic deformation and heat conduction. Substituting eqs. (4.22) and (4.24) into the first law in eq. (4.20) gives,

$$T \dot{\eta}^k + \sum_i^Q T_{e_i} \dot{\eta}_i^c = -\nabla \mathbf{x} \cdot \mathbf{Q}^k - \sum_i^Q \nabla \mathbf{x} \cdot \mathbf{Q}_i^c + W^{I,k} + \sum_i^Q W_i^{I,c} - W^N, \quad (4.26)$$

where  $W^I$  was decomposed into kinetic and configurational contributions,  $W^{I,k} = 2 \sum_j^P \mathbf{C}_j^e \frac{\partial \Psi^k}{\partial \mathbf{C}_j^e} : \mathbf{L}_j^v$  and  $W_i^{I,c} = 2 \sum_j^P \mathbf{C}_j^e \frac{\partial \Psi_i^c}{\partial \mathbf{C}_j^e} : \mathbf{L}_j^v$  based on the decomposition of  $\Psi$  in eq. (4.19). We make

the same assumptions as [82] to simplify the model: (1) The interactions between  $Q$  configurational subsystems are negligible. (2) The configurational entropy of a certain subsystem only depends on the effective temperature of that specific subsystem, not on any other effective temperatures. Moreover, we assume that the contributions of the network resistance arise from the kinetic material subsystem. The last assumption is discussed further in Sec. 4.6. With these assumptions, we can decompose the first law into energy balances for

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each subsystem as,

$$\begin{aligned} T \dot{\eta}^k &= -\nabla_{\mathbf{x}} \cdot \mathbf{Q}^k - \sum_i^Q Q_i^{kc} + W^{I,k} - W^N, \\ T_{e_i} \dot{\eta}_i^c &= -\nabla_{\mathbf{x}} \cdot \mathbf{Q}_i^c + Q_i^{kc} + W_i^{I,c}, \text{ for } i = 1 \dots Q. \end{aligned} \quad (4.27)$$

In addition, the internal power from the network resistance can be evaluated as,

$$W_N = \sum_j^P \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} : \dot{\mathbf{b}}_j^{N,e} = \sum_j^P 2 \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} \mathbf{b}_j^{N,e} : \mathbf{L}_j^{N,e} = \sum_j^P 2 \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} \mathbf{b}_j^{N,e} : (\mathbf{L}_j^v - \mathbf{L}_j^{N,v}). \quad (4.28)$$

Substitute eqs. (4.27) and (4.28) in the second law (4.25) to eliminate the configurational heat flux gives,

$$\begin{aligned} &\sum_i^Q - (T_{e_i} - T) \frac{Q_i^{kc}}{T_{e_i}} - \frac{1}{T} \mathbf{Q}^k \cdot \nabla_{\mathbf{x}} T - \sum_i^Q \frac{T}{T_{e_i}^2} \mathbf{Q}_i^c \cdot \nabla_{\mathbf{x}} T_{e_i} \\ &+ \sum_j^P 2 \left( \sum_i^Q \frac{T}{T_{e_i}} \mathbf{C}_j^e \frac{\partial \Psi_i^c}{\partial \mathbf{C}_j^e} + \mathbf{C}_j^e \frac{\partial \Psi^k}{\partial \mathbf{C}_j^e} - \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} \mathbf{b}_j^{N,e} \right) : \mathbf{L}_j^v + \sum_j^P 2 \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} \mathbf{b}_j^{N,e} : \mathbf{L}_j^{N,v} \geq 0, \end{aligned} \quad (4.29)$$

For a special case where  $T_{e_i} = T$  and  $\mathbf{L}_j^v = \mathbf{L}_j^{N,v} = \mathbf{0}$ , only the heat conduction terms survive in the inequality (4.29). The inequalities of each subsystem,  $-\mathbf{Q}^k \cdot \nabla_{\mathbf{x}} T \geq 0$  and  $-\mathbf{Q}_i^c \cdot \nabla_{\mathbf{x}} T_{e_i} \geq 0$ , can be satisfied by a Fourier-type conduction law for each subsystem,

$$\mathbf{q}^k = -k^k \nabla_{\mathbf{x}} T, \quad \mathbf{q}_i^c = -k_i^c \nabla_{\mathbf{x}} T_{e_i}, \quad (4.30)$$

where  $\mathbf{q}^k = 1/\det(\mathbf{F}) \mathbf{F} \mathbf{Q}^k$  and  $\mathbf{q}_i^c = 1/\det(\mathbf{F}) \mathbf{F} \mathbf{Q}_i^c$  are the kinetic and configurational contributions to the spatial heat flux, and  $k^k \geq 0$  and  $k_i^c \geq 0$  are the thermal conductivity of

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each subsystem.

Given that the inequality in heat conduction has been satisfied, removing these terms from eq. (4.29) provides a stronger constraint for the entropy production from the internal power of inelastic deformation and network resistance, and from the inter-subsystem heat exchange. The constraint can be satisfied by requiring each term to be non-negative. For this purpose we define the following stress tensors,

$$\begin{aligned}\mathbf{M}_j &= 2\left(\sum_i \frac{Q}{T_{e_i}} \mathbf{C}_j^e \frac{\partial \Psi_i^c}{\partial \mathbf{C}_j^e} + \mathbf{C}_j^e \frac{\partial \Psi^k}{\partial \mathbf{C}_j^e}\right), \\ \mathbf{N}_j^{back} &= 2 \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} \mathbf{b}_j^{N,e}, \\ \zeta_j &= \mathbf{M}_j - \mathbf{N}_j^{back},\end{aligned}\tag{4.31}$$

where  $\mathbf{M}_j$  is the Mandel stress tensor.  $\mathbf{N}_j^{back}$  is the backstress generated from the network deformation and  $\zeta_j$ , represents the driving stress for viscoplastic deformation. It can be shown that for an isotropic material, where  $\Psi$  is a function of the invariants of the total and inelastic deformation tensors,  $\mathbf{M}_j$ ,  $\mathbf{N}_j^{back}$  and  $\zeta_j$  are symmetric, which allows the non-negative dissipation criteria for internal power and internal heat conduction to be written as,

$$\begin{aligned}\zeta_j : \mathbf{D}_j^v &\geq 0, \\ \mathbf{N}_j^{back} : \mathbf{D}_j^{N,v} &\geq 0, \\ -Q_i^{kc} (T_{e_i} - T) &\geq 0,\end{aligned}\tag{4.32}$$

where  $\mathbf{D}_j^v = \frac{1}{2}(\mathbf{L}_j^v + \mathbf{L}_j^{v^T})$  and  $\mathbf{D}_j^{N,v} = \frac{1}{2}(\mathbf{L}_j^{N,v} + \mathbf{L}_j^{N,v^T})$  represent the inelastic and the network relaxation rate of deformation tensor respectively. The following constitutive rela-

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tions satisfy above inequalities automatically:

$$\begin{aligned}\mathbf{D}_j^v &= \frac{1}{2v_j^I} \zeta_j \\ \mathbf{D}_j^{N,v} &= \frac{1}{2v_j^N} \mathbf{N}_j^{back}, \quad \text{for } j = 1 \dots P,\end{aligned}\tag{4.33}$$

$$Q_i^{kc} = -K_i(T_{e_i} - T), \quad \text{for } i = 1 \dots Q,\tag{4.34}$$

where  $v_j^I > 0$ ,  $v_j^N > 0$  and  $K_i > 0$ .

Substituting the constitutive relations in eqs. (4.30) and (4.34) in eq. (4.27) gives the evolution equations for the temperature and effective temperatures,

$$\begin{aligned}c_g \dot{T} &= \sum_i^Q K_i(T_{e_i} - T) + \sum_j^P 2\mathbf{C}_j^e \frac{\partial \Psi^k}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v - T 2 \frac{\partial \eta^k}{\partial \mathbf{C}} : \frac{1}{2} \dot{\mathbf{C}} \\ &+ T \sum_j^P \mathbf{C}_j^e \frac{\partial \eta^k}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v + k^k \nabla_{\mathbf{x}} \cdot (\mathbf{C}^{-1} \nabla_{\mathbf{x}} T) - \sum_j^P 2 \frac{\partial \Psi}{\partial \mathbf{b}_j^{N,e}} \mathbf{b}_j^{N,e} : \mathbf{D}_j^{N,e},\end{aligned}\tag{4.35}$$

$$\begin{aligned}\Delta c_i \dot{T}_{e_i} &= -K_i(T_{e_i} - T) + \sum_j^P 2\mathbf{C}_j^e \frac{\partial \Psi_i^c}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v - T_{e_i} 2 \frac{\partial \eta_i^c}{\partial \mathbf{C}} : \frac{1}{2} \dot{\mathbf{C}} \\ &+ T_{e_i} \sum_j^P \mathbf{C}_j^e \frac{\partial \eta_i^c}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v + k_i^c \nabla_{\mathbf{x}} \cdot (\mathbf{C}^{-1} \nabla_{\mathbf{x}} T_{e_i}),\end{aligned}\tag{4.36}$$

where  $c_g = -T \frac{\partial^2 \Psi}{\partial T^2}$  is the heat capacity of the kinetic subsystem and  $\Delta c_i = -T_{e_i} \frac{\partial^2 \Psi}{\partial T_{e_i}^2}$  are the heat capacities of the configurational subsystems. Dividing eq. (4.36) by  $\Delta c_i$  gives the

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evolution equation for effective temperatures,

$$\begin{aligned} \dot{T}_{e_i} = & -\frac{1}{\tau_{R_i}}(T_{e_i} - T) + \frac{1}{\Delta c_i} \sum_j^P 2\mathbf{C}_j^e \frac{\partial \Psi_i^c}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v - \frac{T_{e_i}}{\Delta c_i} 2 \frac{\partial \eta_i^c}{\partial \mathbf{C}} : \frac{1}{2} \dot{\mathbf{C}} \\ & + \frac{T_{e_i}}{\Delta c_i} \sum_j^P \mathbf{C}_j^e \frac{\partial \eta_i^c}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v + \frac{k_i^c}{\Delta c_i} \nabla_{\mathbf{x}} \cdot (\mathbf{C}^{-1} \nabla_{\mathbf{x}} T_{e_i}), \end{aligned} \quad (4.37)$$

where  $\tau_{R_i} = \frac{K_i}{\Delta c_i}$  is the structural relaxation time that characterize the rate of evolution towards the equilibrium state. Note that eq. (4.37) is identical to the evolution equation for  $T_{e_i}$  in the Xiao and Nguyen model [82] because of the assumption that the stored energy of network deformation is part of the kinetic subsystem and not the configurational subsystem. Xiao and Nguyen [82] discussed the physical significance of eq. (4.37) in detail. The first term on the right hand side describes structural relaxation which drives the configurational subsystems equilibrium. Conversely, the second term represents the mechanical rejuvenation that drives configurational subsystems away from equilibrium. The third and fourth term are thermomechanical coupling terms while the last term represents the diffusion of the effective temperatures.

### 4.2.2.4 Constitutive model

In this section we develop a constitutive model using the thermodynamic framework. The quasi-incompressible Neo-Hookean model is applied for the internal energy and entropy densities associated with local segmental motion and the Arruda-Boyce eight-chain model [190] is applied for the internal energy associated with network deformation to ac-



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count for the limiting stretch of the network structure:

$$\begin{aligned}\Psi^k &= \sum_j^P \frac{(1-a)\mu_j^{neq}}{2} (\text{tr}(\bar{\mathbf{C}}_j^e) - 3) + \frac{\kappa}{4} (J^2 - 2 \log J - 1) \\ &\quad + c_{g0}(T - T_0) - c_{g0}T \ln \frac{T}{T_0} - \frac{c_{g1}}{2} (T - T_0)^2 + \sum_j^P \xi(\bar{\mathbf{b}}_j^{N,e}), \\ \Psi_i^c &= \frac{T_{e_i}}{T_0} \frac{\mu_i^{eq}}{2} (\text{tr}(\bar{\mathbf{C}}) - 3) + \sum_j^P \frac{a_i \mu_j^{neq}}{2} (\text{tr}(\bar{\mathbf{C}}_j^e) - 3) + \Delta c_{0_i} (T_{e_i} - T_0) - \Delta c_{0_i} T_{e_i} \ln \frac{T_{e_i}}{T_2} - \frac{\Delta c_{1_i}}{2} (T_{e_i} - T_2)^2,\end{aligned}\tag{4.38}$$

$$\begin{aligned}\xi(\bar{\mathbf{b}}_j^{N,e}) &= \mu_j^{back} \lambda_L^2 \left[ \frac{\bar{\lambda}_{eff,j}}{\lambda_L} x_j + \ln \left( \frac{x_j}{\sinh x_j} \right) \right], \\ x_j &= \mathcal{L}^{-1} \left( \frac{\bar{\lambda}_{eff,j}}{\lambda_L} \right), \\ \bar{\lambda}_{eff,j} &= \sqrt{\frac{1}{3} \text{tr}(\bar{\mathbf{b}}_j^{N,e})},\end{aligned}\tag{4.39}$$

where  $J = \det \mathbf{F}$  is the volumetric deformation. The  $\bar{\mathbf{C}} = J^{-2/3} \mathbf{C}$ ,  $\bar{\mathbf{C}}_j^e = J_j^{e-2/3} \mathbf{C}_j^e$  and  $\bar{\mathbf{b}}_j^{N,e} = J_j^{N,e-2/3} \mathbf{b}_j^{N,e}$  where  $J_j^e = \det \mathbf{F}_j^e$  and  $J_j^{N,e} = \det \mathbf{F}_j^{N,e}$ , are the isochoric parts of the total, elastic and network elastic deformation tensors respectively. The parameter  $\kappa$  is the bulk modulus,  $T_2$  is the Kauzmann temperature,  $\lambda_L$  is the limiting stretch in the eight-chain model,  $\mu_j^{neq}$  and  $\mu_j^{back}$  are the shear moduli of the  $P$  inelastic and network resistant processes respectively.  $c_{g0}$  and  $c_{g1}$  are the coefficients of the heat capacity of the kinetic subsystem, where  $c_g = c_{g0} + c_{g1}T$ ;  $\Delta c_{0_i}$  and  $\Delta c_{1_i}$  are the coefficients of the heat capacity of the configurational subsystems, where  $\Delta c_i = \Delta c_{0_i} + \Delta c_{1_i}T_{e_i}$ . We split the inelastic internal energy using a fractional parameter  $0 \leq a \leq 1$ , where  $\sum_i^Q a_i = a$  is the total configurational contributions to the internal inelastic energy, and  $1 - a$  is the kinetic contribution. The configurational properties are assumed to be identically distributed over the  $Q$  configurational subsystems, therefore  $\mu_i^{eq} = \phi_i \mu^{eq}$ ,  $a_i = \phi_i a$ ,  $\Delta c_{0_i} = \phi_i \Delta c_0$ ,  $\Delta c_{1_i} = \phi_i \Delta c_1$ , where

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$0 < \phi_i < 1$  and  $\sum_i^Q \phi_i = 1$ . The  $\mu^{eq}$  is the equilibrium (rubbery) shear modulus of the material and  $\Delta c_0$  and  $\Delta c_1$  represent the difference between the rubbery and glassy heat capacity,  $c_r = (c_{g0} + \Delta c_0) + (c_{g1} + \Delta c_1)T$ .

According to eq. (4.24), the second Piola-Kirchhoff stress can be evaluated as  $\mathbf{S} = 2 \frac{\partial \Psi}{\partial \mathbf{C}}$  and the Cauchy stress,  $\boldsymbol{\sigma} = \frac{1}{J} \mathbf{F} \mathbf{S} \mathbf{F}^T$  is the push-forward of  $\mathbf{S}$ ,

$$\boldsymbol{\sigma} = \underbrace{\frac{1}{J} \left( \sum_i^Q \frac{T_{ei} \phi_i}{T_0} \right) \mu^{eq} (\bar{\mathbf{b}} - \frac{1}{3} \text{tr}(\bar{\mathbf{b}}) \mathbf{I})}_{\boldsymbol{\sigma}^{eq}} + \sum_j^P \underbrace{\left( \frac{1}{J} \mu_j^{neq} (\bar{\mathbf{b}}_j^e - \frac{1}{3} \text{tr}(\bar{\mathbf{b}}_j^e) \mathbf{I}) \right)}_{\boldsymbol{\sigma}_j^{neq}} + \underbrace{\frac{1}{2J} \kappa (J^2 - 1) \mathbf{I}}_p, \quad (4.40)$$

where  $\mathbf{I}$  represents the second-order identity tensor. The stress tensors  $\mathbf{M}_j$ ,  $\mathbf{N}_j^{back}$  and  $\zeta_j$  can be evaluated from eq. (4.31) as,

$$\begin{aligned} \mathbf{M}_j &= \sum_i^Q \phi_i (1 + a(\frac{T}{T_{ei}} - 1)) \mu_j^{neq} (\bar{\mathbf{C}}_j^e - \frac{1}{3} \text{tr}(\bar{\mathbf{C}}_j^e) \mathbf{I}), \\ \mathbf{N}_j^{back} &= \mu_j^{back} \frac{\lambda_L}{\lambda_{eff,j}} \mathcal{L}^{-1} \left( \frac{\lambda_{eff,j}}{\lambda_L} \right) (\bar{\mathbf{b}}_j^{N,e} - \frac{1}{3} \text{tr}(\bar{\mathbf{b}}_j^{N,e}) \mathbf{I}), \\ \zeta_j &= \mathbf{M}_j - \mathbf{N}_j^{back}. \end{aligned} \quad (4.41)$$

From eq. (4.33), the inelastic rate of deformation tensor  $\mathbf{D}_j^V$  is related to the flow stress  $\zeta_j$  through the viscous resistance to local segmental rearrangements  $v_j^I$  while the rate of deformation tensor for network relaxation  $\mathbf{D}_j^{N,v}$  is related to the backstress  $\mathbf{N}_j^{back}$  through the viscous resistance to network deformation  $v_j^N$ .  $v_j^I$  and  $v_j^N$  need to be constitutively prescribed. For the viscous resistance to local segmental rearrangements, we adopted the same form as [82], where the Adam-Gibbs model [180] and the Eyring model [174] were

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applied to describe the temperature and deformation dependence of viscosity,

$$\mathbf{D}_j^v = \frac{1}{2v_j^I} \zeta_j, v_j^I = v_j^{I,ref} \exp\left(\frac{B}{T \sum_i \eta_i^c}\right) \frac{V_S^I S}{RT} [\sinh\left(\frac{V_S^I S}{RT}\right)]^{-1}, \quad (4.42)$$

where  $B$  is the thermal activation energy,  $V_S^I$  is the activation volume for inelastic deformation and  $S = \sqrt{\frac{1}{2} \sum_j \zeta_j : \sum_j \zeta_j}$  is the total flow stress. For the viscous resistance to network deformation, we adopted a similar form as [221] to describe its dependence on temperature, strain state and strain rate,

$$\mathbf{D}_j^{N,v} = \frac{1}{2v_j^N} \mathbf{N}_j^{back}, v_j^N = v_j^{N,ref} \exp\left(\frac{A}{T}\right) \left(\frac{\alpha_0 - \alpha_c}{\alpha - \alpha_c}\right) \alpha^{-m} \frac{V_S^N S^{back}}{RT} [\sinh\left(\frac{V_S^N S^{back}}{RT}\right)]^{-1}, \quad (4.43)$$

where  $A$  is a temperature parameter that describes the temperature dependence of network relaxation,  $V_S^N$  is the activation volume for network relaxation and  $S^{back} = \sqrt{\frac{1}{2} \sum_j \mathbf{N}_j^{back} : \sum_j \mathbf{N}_j^{back}}$  is the total backstress.  $\alpha$  is a chain orientation parameter as defined by Dupaix and Boyce [221],  $\alpha = \pi/2 - \max\{\alpha_1, \alpha_2, \alpha_3\}$ , where  $(\alpha_1, \alpha_2, \alpha_3)$  are the angles between the end-to-end vector of a polymer chain and each of the three principal axes. The polymer chains rotate and stretch to align in the direction of deformation and  $\alpha$  describes the extent of chain alignment with a principle axis. The parameter  $m$  is a power-law exponent for  $\alpha$  and  $\alpha_0$  is the initial value of  $\alpha$ , which is 0.616 rad considering a unit cube in the eight-chain model. The parameter  $\alpha_c$  is the orientation level where the relaxation cutoff occurs, which was introduced to avoid underestimating strain hardening at very large strains [221].

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In the following sections, we neglected the term of effective temperature diffusion in eq. (4.37). For the free energy density shown in eq. (4.38), the evolution equation for effective temperatures eq. (4.37) reduces to,

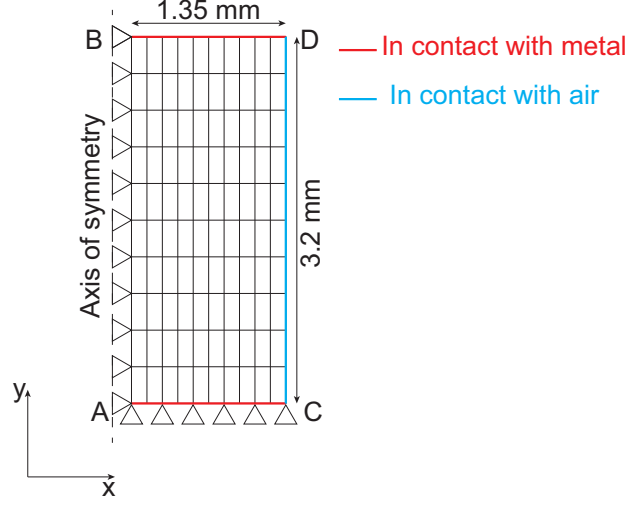
$$\begin{aligned}\dot{T}_{e_i} &= \frac{T - T_{e_i}}{\tau_{R_i}} + \frac{a}{\Delta c_0 + \Delta c_1 T_{e_i}} \sum_j^P \mu_j^{neq} (\bar{\mathbf{C}}_j^e - \frac{1}{3} \text{tr}(\bar{\mathbf{C}}_j^e) \mathbf{I}) : \mathbf{D}_j^v + \frac{J^{-2/3} T_{e_i} \mu^{eq}}{2(\Delta c_0 + \Delta c_1 T_{e_i}) T_0} (\mathbf{I} - \frac{1}{3} \text{tr}(\mathbf{C}) \mathbf{C}^{-1}) : \dot{\mathbf{C}}, \\ \tau_{R_i} &= \tau_{R_i}^{ref} \exp\left(\frac{B}{T \sum_i \eta_i^c}\right),\end{aligned}\tag{4.44}$$

where we have applied the Adam-Gibbs model [180] for the structural relaxation times and we assumed that the inelastic viscosities  $v_j^I$  and the structural relaxation times  $\tau_{R_i}$  share the same temperature and nonequilibrium structural dependence [82]. The entropy dependence in the Adam-Gibbs model is the key to capture the effects of physical aging and mechanical rejuvenation. The physical aging reduces the configurational entropy and therefore increases the deformation resistance, while the mechanical rejuvenation reverses this effect by increasing the configurational entropy.

### 4.2.3 Finite element simulation methods

The above constitutive model was implemented into an open source finite element package TAHOE (Sandia National Laboratories). To acquire the structural relaxation spectrum, we applied the model to simulate the DSC experiments. The temperature field and the displacement field were solved from the thermomechanical boundary value problems using a staggered scheme as described in [234].

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**Figure 4.2:** Finite element model of an axisymmetric cylindrical specimen.

Fig. 4.2 shows a schematic of an axisymmetric model, where the geometry was discretized using bilinear quadrilateral elements. The displacement boundary condition was  $u_x(AB) = u_y(AC) = 0$ . The remaining surfaces were assumed to be traction free. Convection boundary conditions were applied on surfaces  $BD, DC$  and  $AC$  for the thermal diffusion problem. Surfaces  $AC$  and  $BD$  were assumed to be in contact with the DSC aluminum pan and lid, and the heat transfer between the specimen and metal can be described by  $\mathbf{q} = h_1(T - T_{DSC})\mathbf{n}$ , where  $h_1$  is the heat transfer coefficient between polymer and metal,  $\mathbf{n}$  is the normal direction of the surface and  $T_{DSC}$  is the set temperature in the DSC experiments. Surface  $CD$  was assumed to be in contact with air, and the heat transfer between the specimen and air was described by  $\mathbf{q} = h_2(T - T_{DSC})\mathbf{n}$ , where  $h_2$  is the heat transfer coefficient between polymer and air. In the following simulations, we adopted  $h_1 = 7500\text{W}/(\text{m}^2\text{K})$  and  $h_2 = 10\text{W}/(\text{m}^2\text{K})$  [234,235]. The macroscopic specific heat flux

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can be calculated from eqs. (4.35) and (4.36) as,

$$\begin{aligned} \bar{Q} = \frac{1}{\rho V} \int \left( (c_{g0} + c_{g1}T) \dot{T} + \sum_i^Q \phi_i (\Delta c_0 + \Delta c_1 T_{e_i}) \dot{T}_{e_i} \right. \\ \left. - \sum_j^P \mu_j^{neq} (\bar{\mathbf{C}}_j^e - \frac{1}{3} \text{tr}(\bar{\mathbf{C}}_j^e) \mathbf{I}) : \mathbf{D}_j^v - J \sigma^{eq} : \mathbf{D} + \sum_j^P \mathbf{N}_j^{back} : \mathbf{D}_j^{N,e} \right) dV, \end{aligned} \quad (4.45)$$

where  $\mathbf{D} = \frac{1}{2}(\dot{\mathbf{F}}\mathbf{F}^{-1} + \mathbf{F}^{-T}\dot{\mathbf{F}}^T)$  is the total rate of deformation tensor,  $\rho = 1.2\text{g/cm}^3$  is the density of polymer and  $V$  is the volume of the specimen.

### 4.3 Model Parameters

Three relaxation spectra describe the time-dependent and rate-dependent behavior of the material, a structural relaxation spectrum  $(\tau_{R_i}, \phi_i)$ , a stress relaxation spectrum  $(\tau_{S_j}, \mu_j^{neq})$  for local segmental motion and a stress relaxation spectrum for the long range network deformation  $(\tau_{N_j}, \mu_j^{back})$ . Here we express the relaxation times using  $T_g$  as the reference temperature for the purpose of parameter determination. The configurational entropy can be evaluated from eq. (4.24) as,

$$\begin{aligned} \eta^c(T_{e_i}, \mathbf{C}) &= \sum_i^Q \eta_i^c \\ &= \sum_i^Q \left( \Delta c_0 \phi_i \log \frac{T_{e_i}}{T_2} + \Delta c_1 \phi_i (T_{e_i} - T_2) - \frac{\phi_i}{T_0} \frac{\mu^{eq}}{2} (\text{tr}(\bar{\mathbf{C}}) - 3) \right). \end{aligned} \quad (4.46)$$

The stress relaxation times are defined as  $\tau_{S_j} = v_j^I / \mu_j^{neq}$  and  $\tau_{N_j} = v_j^N / \mu_j^{back}$ . Thus, from eqs. (4.42), (4.43) and (4.44), the relaxation times at  $\mathbf{F} = \mathbf{I}$  and  $T = T_{e_i} = T_g$  can be evalu-

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ated as,

$$\begin{aligned}\tau_{R_i}^g &= \tau_{R_i}^{ref} \exp\left(\frac{B}{T_g \eta^c(T_g, \mathbf{I})}\right), i = 1 \dots Q, \\ \tau_{S_j}^g &= \tau_{S_j}^{ref} \exp\left(\frac{B}{T_g \eta^c(T_g, \mathbf{I})}\right), j = 1 \dots P, \\ \tau_{N_j}^g &= \tau_{N_j}^{ref} \exp\left(\frac{A}{T_g}\right) \alpha_0^{-m}, j = 1 \dots P.\end{aligned}\tag{4.47}$$

Substituting above equations to eqs. (4.42), (4.43) and (4.44) yields relaxation times at general state,

$$\begin{aligned}\tau_{R_i} &= \tau_{R_i}^g \exp\left(\frac{B}{T \eta^c(T_{e_i}, \mathbf{C})} - \frac{B}{T_g \eta^c(T_g, \mathbf{I})}\right), \\ \tau_{S_j} &= \tau_{S_j}^g \exp\left(\frac{B}{T \eta^c(T_{e_i}, \mathbf{C})} - \frac{B}{T_g \eta^c(T_g, \mathbf{I})}\right) \frac{V_S^I S}{RT} \left[\sinh\left(\frac{V_S^I S}{RT}\right)\right]^{-1}, \\ \tau_{N_j} &= \tau_{N_j}^g \exp\left(\frac{A}{T} - \frac{A}{T_g}\right) \left(\frac{\alpha_0 - \alpha_c}{\alpha - \alpha_c}\right) \left(\frac{\alpha}{\alpha_0}\right)^{-m} \frac{V_S^N S_{back}}{RT} \left[\sinh\left(\frac{V_S^N S_{back}}{RT}\right)\right]^{-1}.\end{aligned}\tag{4.48}$$

The details of the parameter determination process are discussed in the following sections. Table. 4.1 lists the parameters, their physical significance and values determined for polycarbonate.

### 4.3.1 Determining the stress relaxation spectrum

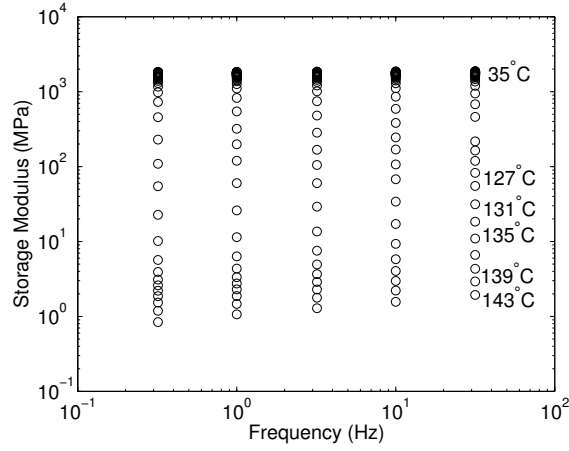
The master curve of storage modulus was applied to determine the stress relaxation spectrum. The storage modulus measured at different temperatures was plotted on a log-log scale in Fig. 4.3(a) and it was shifted horizontally to a reference temperature  $T_0 = 143^\circ\text{C}$  to form the master curve for the frequency-dependence of the storage modulus as shown in Fig. 4.3(b). The master curve does not have a plateau at low frequency (or high temperature) because of its thermoplastic nature, therefore the equilibrium modulus  $\mu^{eq}$  was

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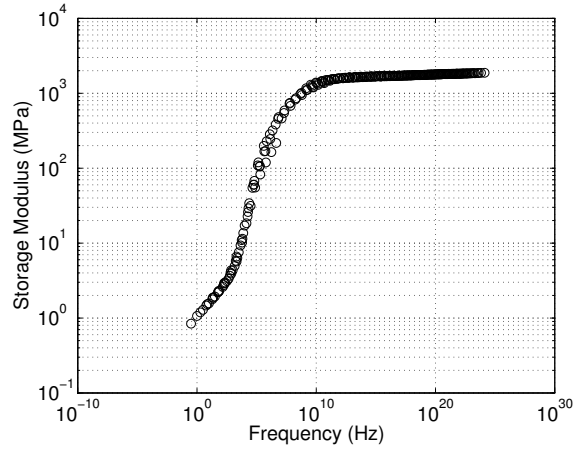
set to be zero. The temperature dependence of the shift factor in the glass transition region can be fitted by the WLF empirical relation as described in Sec. 4.2.1.2. Fitting the WLF relation to the shift factors gave  $C_1^0 = 9.7136$  and  $C_2^0 = 65.572\text{K}$ . The onset glass transition temperature  $T_g = 110^\circ\text{C}$  was determined from the starting temperature that the shift factors can be predicted by the WLF relation, as shown in Fig. 4.4. The nonequilibrium Young's modulus  $E^{neq} = 1876.8\text{MPa}$  was approximated by the maximum storage modulus of the master curve in Fig. 4.3(b) and the nonequilibrium shear modulus  $\mu^{neq} = 695.1\text{MPa}$  was obtained from the relation  $\mu^{neq} = E^{neq}/2(1 + \nu_g)$  assuming a glassy Poisson's ratio  $\nu_g = 0.35$ . The bulk modulus can be evaluated as  $\kappa = E^{neq}/(3(1 - 2\nu_g))$ .



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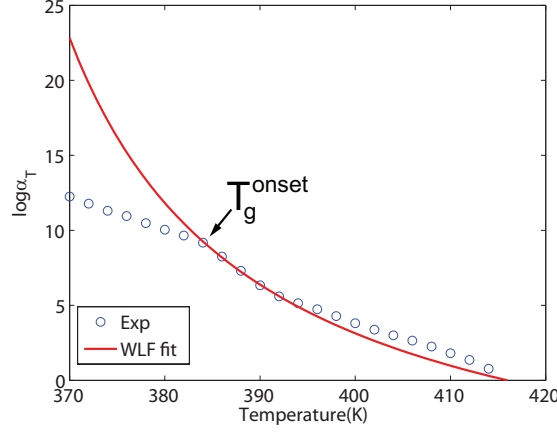
(a)



(b)

**Figure 4.3:** Storage modulus as a function of frequency: (a) measured for different temperature and (b) shifted to a reference temperature of  $T_0 = 143^\circ\text{C}$  to form a master curve.

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**Figure 4.4:** Shift factor as a function of temperature and the WLF fit

We developed a second-order approximation method based on Schwarzl and Staverman [121] to determine the discrete relaxation spectrum [83]. We first used a polynomial function denoted as  $\log G' = f_0(\log \omega)$  to fit the master curve in Fig. 4.3(b), where  $G'$  is the storage modulus and  $\omega$  represents the angular frequency. The relation between relaxation modulus and a continuous relaxation spectrum was defined as [231],

$$G(t) = \mu^{eq} + \int_0^\infty h(\nu) e^{-\nu t} d\nu, \quad (4.49)$$

where  $h(\nu)$  is the continuous relaxation spectrum. The cumulative relaxation spectrum is defined from  $h(\nu)$  as,

$$H(\nu) = \int_0^\nu h(z) dz. \quad (4.50)$$

Evaluating  $h(\nu)$  requires inverting the integral equation (4.49) which can be challenging.

A number of approximations have been developed. In particular, we used a second-order

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accurate approximation developed by Schwarzl and Staverman [121],

$$h(\nu) = \frac{\sqrt{2}}{\omega} G'(\omega) [d \log G' / d \log \omega - \frac{1}{2} (d \log G' / d \log \omega)^2 - \frac{1}{4.606} d^2 \log G' / d (\log \omega)^2] \Big|_{\omega=\sqrt{2}\nu}. \quad (4.51)$$

Applying the polynomial fit to eqs. (4.51) and (4.50), we can calculate the continuous relaxation spectrum and cumulative distribution from polynomial function  $f_0$  as,

$$h(\nu) = 10^{f_0(x)-x} \sqrt{2} (f_0'(x) - \frac{1}{2} (f_0'(x))^2 - \frac{1}{4.606} f_0''(x)) \Big|_{x=\log \sqrt{2}\nu}, \quad (4.52)$$

$$H(\nu) = 10^{f_0(x)} (1 - \frac{1}{2} f_0'(x)) \Big|_{x=\log \sqrt{2}\nu}. \quad (4.53)$$

The relaxation modulus of the discrete model can be written as,

$$G_{disc}(t) = \mu^{eq} + \sum_j^P \mu_j^{neq} \exp(-t\nu_j). \quad (4.54)$$

The discrete cumulative spectrum can be evaluated by combining eqs. (4.49), (4.50) and (4.54) as,

$$H_{disc}(\nu) = \sum_j^P \mu_j^{neq} \langle \nu - \nu_j \rangle^1 - \mu^{eq}, \quad (4.55)$$

where  $\langle \rangle^1$  denotes a step function. We then assume a power law distribution for the relaxation frequencies,

$$\nu_j^0 = \nu_{\min}^0 \left( \frac{\nu_{\max}^0}{\nu_{\min}^0} \right)^{(j-1)/(P-1)}, \quad (4.56)$$

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where  $\nu_{\max}^0$  and  $\nu_{\min}^0$  are the maximum and minimum of relaxation frequencies and are selected based on the frequency range of the master curve. Finally, the nonequilibrium moduli  $\mu_j^{neq}$  corresponding to the relaxation frequencies  $\nu_j^0$  were determined from the continuous cumulative distribution as follows [229, 236],

$$\begin{aligned}\mu_1^{neq} &= \frac{1}{2}(H(\nu_1^0) + H(\nu_2^0)), \\ \mu_j^{neq} &= \frac{1}{2}(H(\nu_{j+1}^0) - H(\nu_{j-1}^0)), 1 < j < P-1, \\ \mu_P^{neq} &= \mu^{neq} - \sum_j^{P-1} \mu_j^{neq}.\end{aligned}\tag{4.57}$$

The stress relaxation time  $\tau_{S_j}^g$  can be evaluated from  $\nu_j^0$ ,

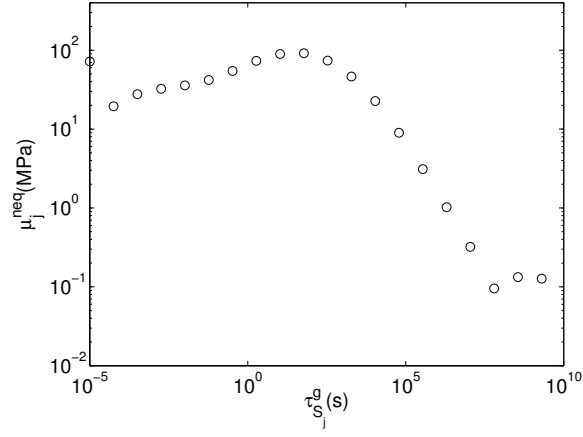
$$\tau_{S_j}^g = \frac{1}{\nu_j^0} \exp\left(\frac{B}{T_g \eta^c(T_g, \mathbf{I})} - \frac{B}{T_0 \eta^c(T_0, \mathbf{I})}\right).\tag{4.58}$$

Fig. 4.5(a) plots the stress relaxation spectrum  $(\tau_{S_j}^g, \mu_j^{neq})$  with  $P = 20$  processes determined as described above. The spectrum was applied to evaluate the storage modulus of the discrete model,

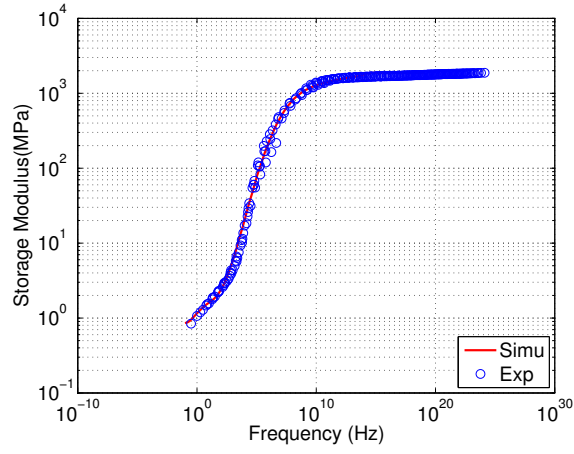
$$E'(\omega) = E^{eq} + \sum_j^P \frac{E_j^{neq} \omega^2 / (\nu_j^0)^2}{1 + \omega^2 / (\nu_j^0)^2}.\tag{4.59}$$

The results shown in Fig. 4.5(b) show excellent agreement with the measured master curve.

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(a)



(b)

**Figure 4.5:** (a) Distribution of the discrete stress relaxation spectrum  $(\tau_{S_j}^g, \mu_j^{neq})$ . (b) Comparison between master curves from experiments and discrete model.

### 4.3.2 Determining the structural relaxation spectrum and heat capacities

To determine the structural relaxation spectrum, we assumed that the structural relaxation spectrum can be described by the Kohlrausch-Williams-Watts (KWW) model [82, 230]. The continuous relaxation spectrum for the KWW model can be expressed as infinite series [237],

$$h_{KWW}(\tau) = -\frac{\chi}{\pi\tau^2} \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \sin(\pi\beta k) \Gamma(\beta k + 1) \left(\frac{\tau}{\chi}\right)^{\beta k + 1}, \quad (4.60)$$

where  $\chi$  is the characteristic structural relaxation time,  $0 \leq \beta \leq 1$  represents the breadth of the structural relaxation spectrum and  $\Gamma()$  is the gamma function. We also assumed a power law distribution for discrete structural relaxation times,

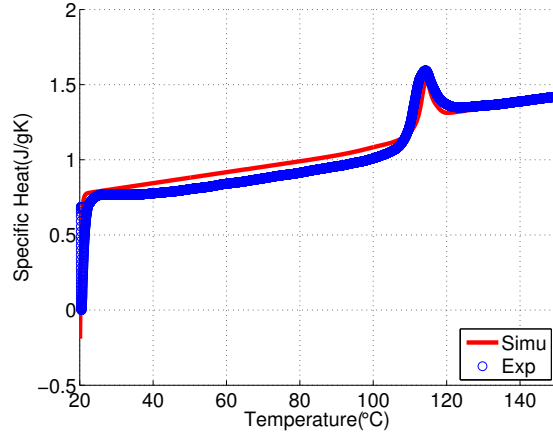
$$\tau_{R_i}^g = \tau_{\min} \left( \frac{\tau_{\max}}{\tau_{\min}} \right)^{(i-1)/(Q-1)}. \quad (4.61)$$

The discrete structural relaxation spectrum were determined by a stepwise approximation of the cumulative relaxation spectrum  $H_{KWW}(\tau) = \int_0^\tau h_{KWW}(t)dt$  as,

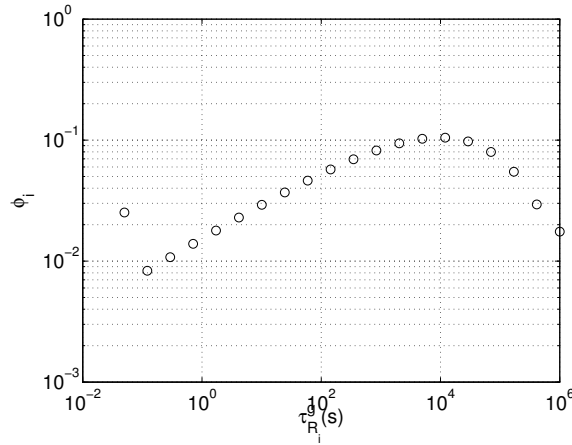
$$\begin{aligned} \phi_1 &= \frac{1}{2}(H_{KWW}(\tau_{R_1}^g) + H_{KWW}(\tau_{R_2}^g)), \\ \phi_i &= \frac{1}{2}(H_{KWW}(\tau_{R_{i+1}}^g) - H_{KWW}(\tau_{R_{i-1}}^g)), 1 < i < Q-1, \\ \phi_Q &= 1 - \sum_i^{Q-1} \phi_i. \end{aligned} \quad (4.62)$$

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

We simulated the DSC experiments using the method in Sec. 4.2.3 and tuned the characteristic time  $\chi = 5000\text{s}$  and breadth  $\beta = 0.30$  to fit the position, width and height of the DSC endothermic overshoot (Fig. 4.6(a)). The structural relaxation spectrum with  $Q = 20$  processes was plotted in Fig. 4.6(b).



(a)



(b)

**Figure 4.6:** (a) Comparison between the measured and model predicted DSC response. (b) Distribution of the discrete structural relaxation spectrum  $(\tau_{R_i}, \phi_i)$ .

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

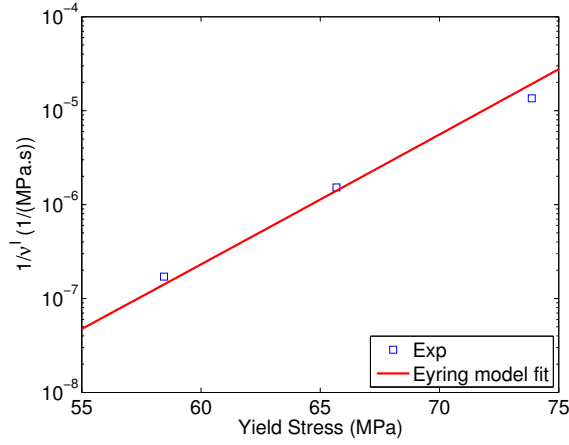
The coefficients for heat capacities can be obtained through linear fits of the DSC curve in different temperature ranges. Specifically, the kinetic components  $c_{g0}$  and  $c_{g1}$  were determined from a linear fit of the DSC curve from 40°C to 60°C, while the heat capacity for the whole system was determined from a linear fit of the DSC curve from 130°C to 150°C. Subtracting the kinetic components from the heat capacity of the whole system gives the configurational components of heat capacity  $\Delta c_0$  and  $\Delta c_1$ .

### 4.3.3 Determining the temperature-dependent and stress-dependent parameters

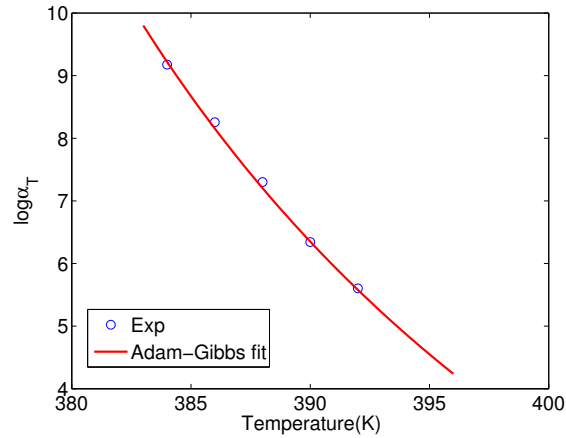
The activation volume for inelastic deformation  $V_S^I$  was obtained through fitting the yield stress in uniaxial compression at 37°C and three different strain rates  $10^{-3}/s$ ,  $10^{-4}/s$ ,  $10^{-5}/s$ . The viscous resistance to local segmental rearrangements  $v^I$  at the yield point was estimated as yield stress divided by strain rate. Therefore we can plot  $1/v^I$  against the yield stress and use the Eyring model to fit the experiment data, as shown in Fig. 4.7, from which  $V_S^I$  was determined. The parameters  $B$  and  $T_2$  were determined by fitting the Adam-Gibbs model  $\alpha(T) = \exp(\frac{B}{T\eta^c(T, \mathbf{I})} - \frac{B}{T_0\eta^c(T_0, \mathbf{I})})$  to the experiment measured shift factors in the glass transition region, as shown in Fig. 4.8. The fraction parameter  $0 < a < 1$  controls the rate of strain softening and was determined through fitting to the stress response of specimens deformed at 37°C and strain rate of  $10^{-4}/s$ .



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**Figure 4.7:** Inverse of viscosity versus yield stress, comparing the experiment data at 37°C and three different strain rates  $10^{-3}/s$ ,  $10^{-4}/s$ ,  $10^{-5}/s$  and the Eyring model fit.



**Figure 4.8:** Shift factors at the glass transition region and the Adam-Gibbs model fit

The activation volume for network relaxation  $V_S^N$  was determined in a similar way with  $V_S^I$ . Assuming that the network resistance is the dominant mechanism at large strain, we picked the stress at 60%, 70%, 80% and 90% true strain for specimens deformed at 37°C and strain rates of  $10^{-3}/s$ ,  $10^{-4}/s$ ,  $10^{-5}/s$  and estimated the viscous resistance to network deformation as stress divided by strain rates. The activation volume  $V_S^N$  can be obtained

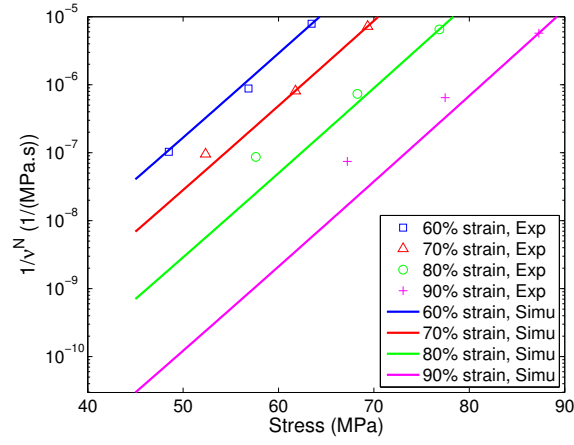
## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

through fitting the Eyring model to the experiment data in a  $1/v^N$  vs. stress plot, as shown in Fig. 4.9(a). From eq. (4.43), we can express the viscous resistance to network deformation as,

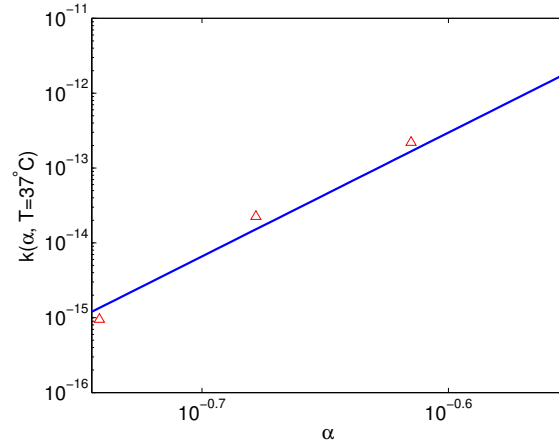
$$\frac{1}{v^N} = \frac{1}{v^{N,ref}} k(\alpha, T) \frac{RT}{V_S^N S^{back}} \left[ \sinh\left(\frac{V_S^N S^{back}}{RT}\right) \right], \quad (4.63)$$

where  $k(\alpha, T)$  is a function that depends on chain orientation and temperature and its value for  $T = 37^\circ\text{C}$  can be obtained from the curve fittings in Fig. 4.9(a). Therefore we can plot  $k(\alpha, 37^\circ\text{C})$  against  $\alpha$  at four different strain levels in log-log scale and the slope of a linear fit gives the power-law exponent  $m$ , as shown in Fig. 4.9(b). For the cessation parameter  $\alpha_c$ , we adopted the same value 0.05 as [221]. Repeating this process for  $T = 95^\circ\text{C}$  and comparing the values of  $k(\alpha, T)$  at same  $\alpha$  (strain level) but different temperature gives the value of the temperature parameter  $A = 6949.5\text{K}$ .

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(a)

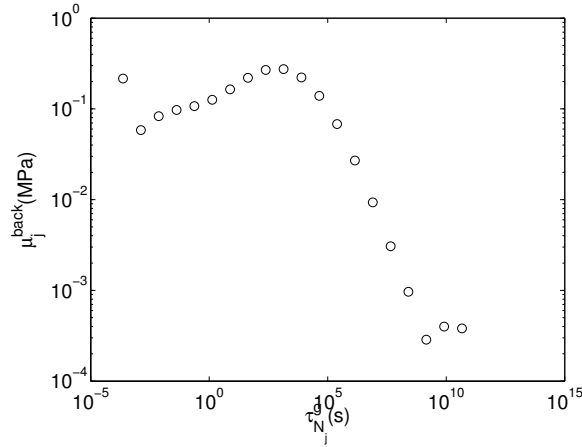


(b)

**Figure 4.9:** (a) Inverse of viscosity versus stress at four strain levels (60%, 70%, 80% and 90%), for specimens deformed at 37° and strain rates of  $10^{-3}/s$ ,  $10^{-4}/s$ ,  $10^{-5}/s$ , comparing the experiment data and the Eyring model fit. (b) Function  $k(\alpha, T)$  versus  $\alpha$  at 37°C.

### 4.3.4 Determining the stress relaxation spectrum for network deformation and the limiting stretch

We assumed that the network relaxation spectrum can be obtained through vertical and horizontal shifting of the stress relaxation spectrum, i.e.  $(\tau_{N_j}^g, \mu_j^{back}) = (t_x \tau_{S_j}^g, t_y \mu_j^{neq})$ . The shift factors  $t_x, t_y$  and the limiting stretch  $\lambda_L$  were determined through fitting the stress response of specimens deformed at 37°C and 10<sup>-4</sup>/s. Specifically, the shift factors  $t_x, t_y$  were determined by fitting the measured hardening modulus while the limiting stretch  $\lambda_L$  was determined from the transition strain level between Gaussian hardening and Langevin hardening. The discrete network relaxation spectrum with  $P = 20$  processes is shown in Fig. 4.10.



**Figure 4.10:** Discrete network relaxation spectrum  $(\tau_{N_j}^g, \mu_j^{back})$ , obtained through shifting of the stress relaxation spectrum  $(\tau_{S_j}^g, \mu_j^{neq})$ .

Parameter	Physical significance	Values
$T_g$ (K)	Glass transition temperature	383

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$\mu^{\text{eq}}$ (MPa)	Equilibrium shear modulus	0
$\kappa$ (MPa)	Bulk modulus	2085.3
$V_S^I$ (cm <sup>3</sup> /mol)	Activation volume for viscous flow	860.63
$B$ (J/g)	Thermal activation energy	357.0
$T_2$ (K)	Kauzmann temperature	350.428
$T_0$ (K)	Reference temperature	416
$c_{g0}$ (J/(gK))	Coefficient of heat capacity of kinetic subsystem	-0.3005
$c_{g1}$ (J/(gK))	Coefficient of heat capacity of kinetic subsystem	0.003665
$\Delta c_0$ (J/(gK))	Coefficient of excess heat capacity of configurational subsystems	0.2822
$\Delta c_1$ (J/(gK))	Coefficient of excess heat capacity of configurational subsystems	-0.0001112
$a$	Configurational contribution to the internal inelastic energy	0.5
$(\tau_{S_j}^g, \mu_j^{\text{neq}})$	Discrete stress relaxation spectrum	-
$(\tau_{R_i}^g, \phi_i)$	Discrete structural relaxation spectrum	-
$(\tau_{N_j}^g, \mu_j^{\text{back}})$	Discrete network relaxation spectrum	-
$A$ (K)	Temperature coefficient	6949.5
$V_S^N$ (cm <sup>3</sup> /mol)	Activation volume for network relaxation	782.2
$m$	Power of the orientation parameter	16.5418
$\alpha_c$	Cessation value of the orientation parameter for network relaxation	0.05
$\alpha_0$	Initial value of the orientation parameter	0.616
$\lambda_L$	Limiting stretch	2.80

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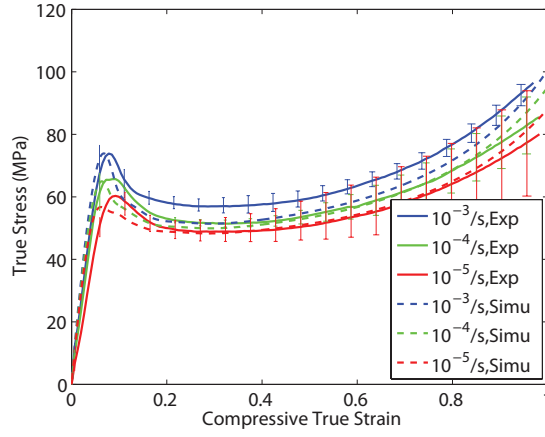
**Table 4.1:** Parameters of the constitutive model for polycarbonate.

## 4.4 Results

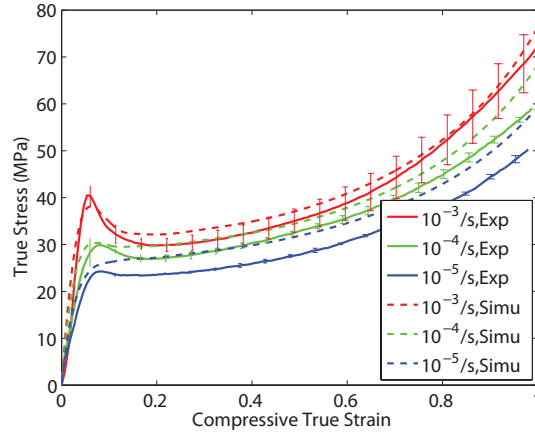
Eqs. (4.40)-(4.44) summarized the constitutive model and the parameters for polycarbonate were determined as described in Sec. 4.3. We applied the constitutive model to simulate the stress response in uniaxial compression experiments at different temperature and strain rates, as described in Sec. 4.2.1.4. The thermal history in simulations was exactly the same with that in experiments. Only the experimental results at 37°C and  $10^{-4}$ /s were used to determine the model parameters as described in Sec 4.3.4. The remaining experimental results were outside the range of model calibration.

Fig. 4.11 plots the uniaxial compression stress response at different true strain rates  $10^{-3}$ /s,  $10^{-4}$ /s and  $10^{-5}$ /s for 37°C and 95°C, comparing the experimental results and the model predictions. The experiment data shown in the plots were obtained through taking average over multiple specimens and the error-bars denote the variation between these specimens. The model was able to capture the major features of the stress response at different deformation level, including the yielding peak, the post-yielding softening and the strain hardening up to 100% true strain.

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(a)



(b)

**Figure 4.11:** Uniaxial compression response of polycarbonate at different true strain rates  $10^{-3}/s$ ,  $10^{-4}/s$  and  $10^{-5}/s$ . (a)  $37^{\circ}C$  and (b)  $95^{\circ}C$ .

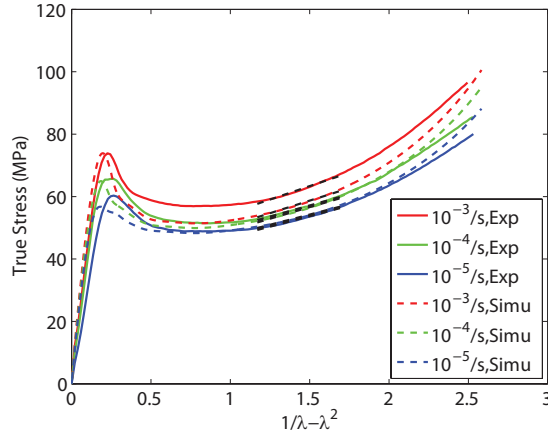
In order to quantitatively investigate the strain hardening behavior, we plotted the stress against the negative Gaussian hardening function  $g(\lambda) = -(\lambda^2 - 1/\lambda)$  as defined in eq. (4.1). As shown in Fig. 4.12, the material initially exhibits Gaussian hardening, where the stress is linear with  $g(\lambda)$ , while the behavior becomes highly nonlinear at large strain. This

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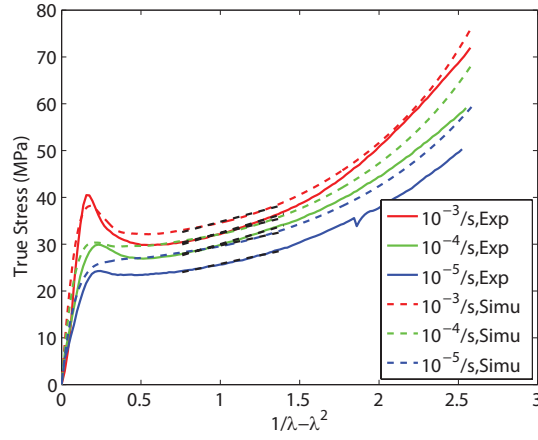
transition was well captured by the model predictions. We applied linear fits to the Gaussian hardening region to determine the hardening modulus  $G_R$ , as indicated by the black dash lines in Fig. 4.12 [191]. Fig. 4.13 plots the hardening modulus at different strain rates for 37°C and 95°C, from which the rate dependence of hardening can be concluded. In both experiments and simulation, the hardening modulus increases with strain rate in the range from  $10^{-5}/\text{s}$  to  $10^{-3}/\text{s}$ . The model was able to accurately capture this rate dependence, with the difference in hardening modulus less than 2 MPa between experiment results and model predictions.



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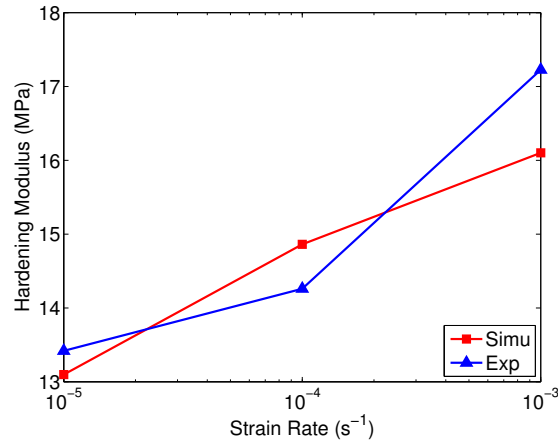
(a)



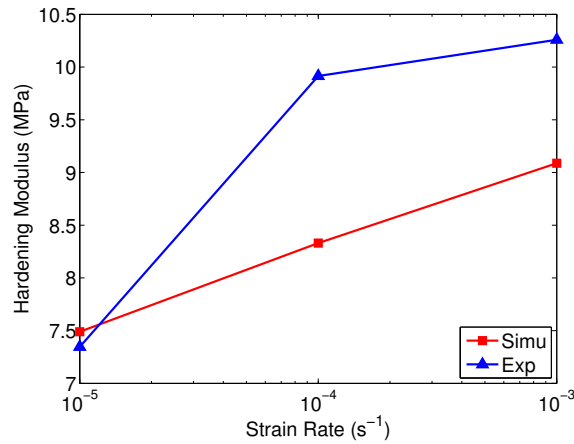
(b)

**Figure 4.12:** True stress versus the Gaussian hardening function for polycarbonate specimens deformed at different true strain rates  $10^{-3}/s$ ,  $10^{-4}/s$  and  $10^{-5}/s$ . (a)  $37^{\circ}C$  and (b)  $95^{\circ}C$ . Dashed black lines indicate linear fits used to determine hardening modulus.

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(a)



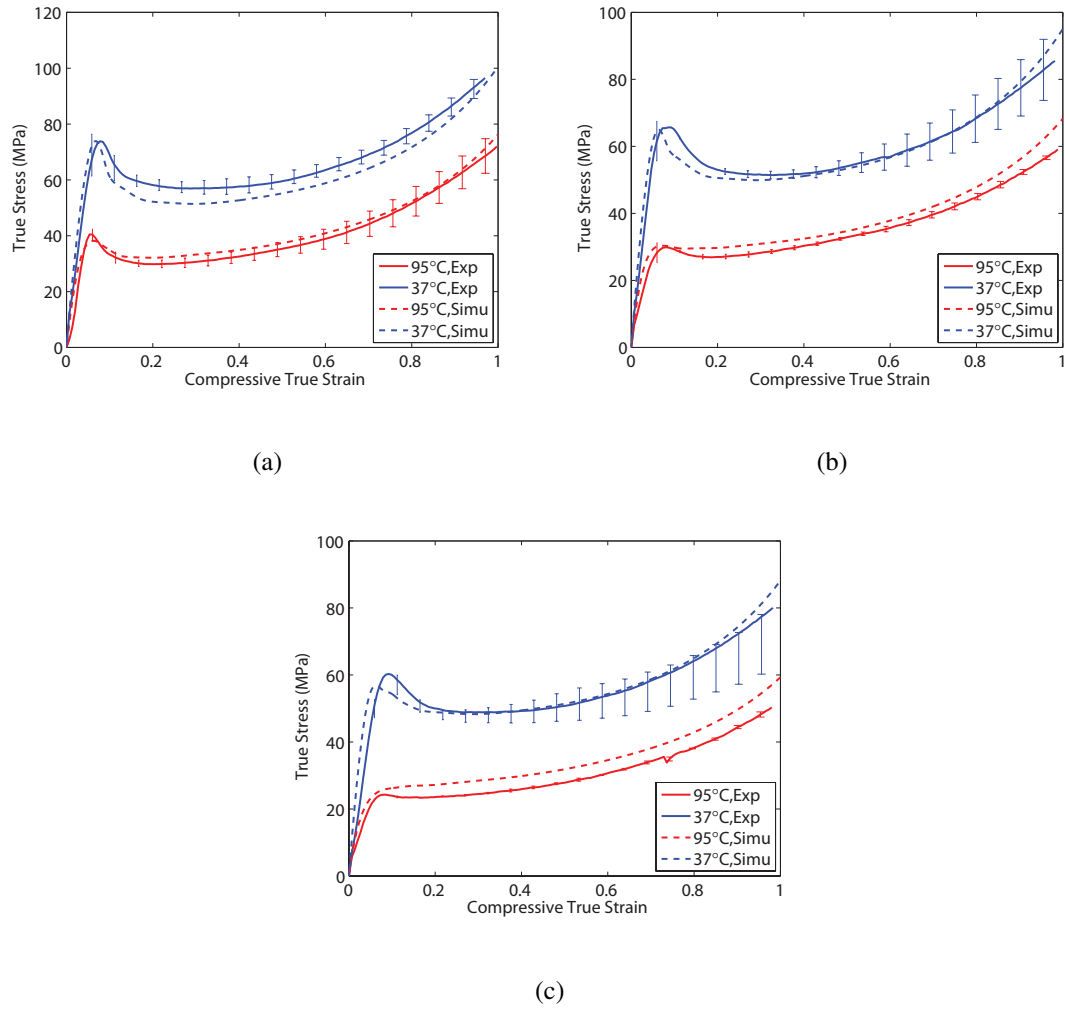
(b)

**Figure 4.13:** Hardening modulus for polycarbonate specimens deformed at different true strain rates  $10^{-3}/s$ ,  $10^{-4}/s$  and  $10^{-5}/s$ . (a)  $37^{\circ}C$  and (b)  $95^{\circ}C$ .

To investigate the temperature dependence of strain hardening, the experiment and simulation results in Fig. 4.11 were grouped by strain rates instead of temperature, as shown in Fig. 4.14, and the hardening modulus at different temperatures were plotted in Fig. 4.15. Compared to the rate dependence in Fig. 4.13, the hardening modulus exhibited stronger

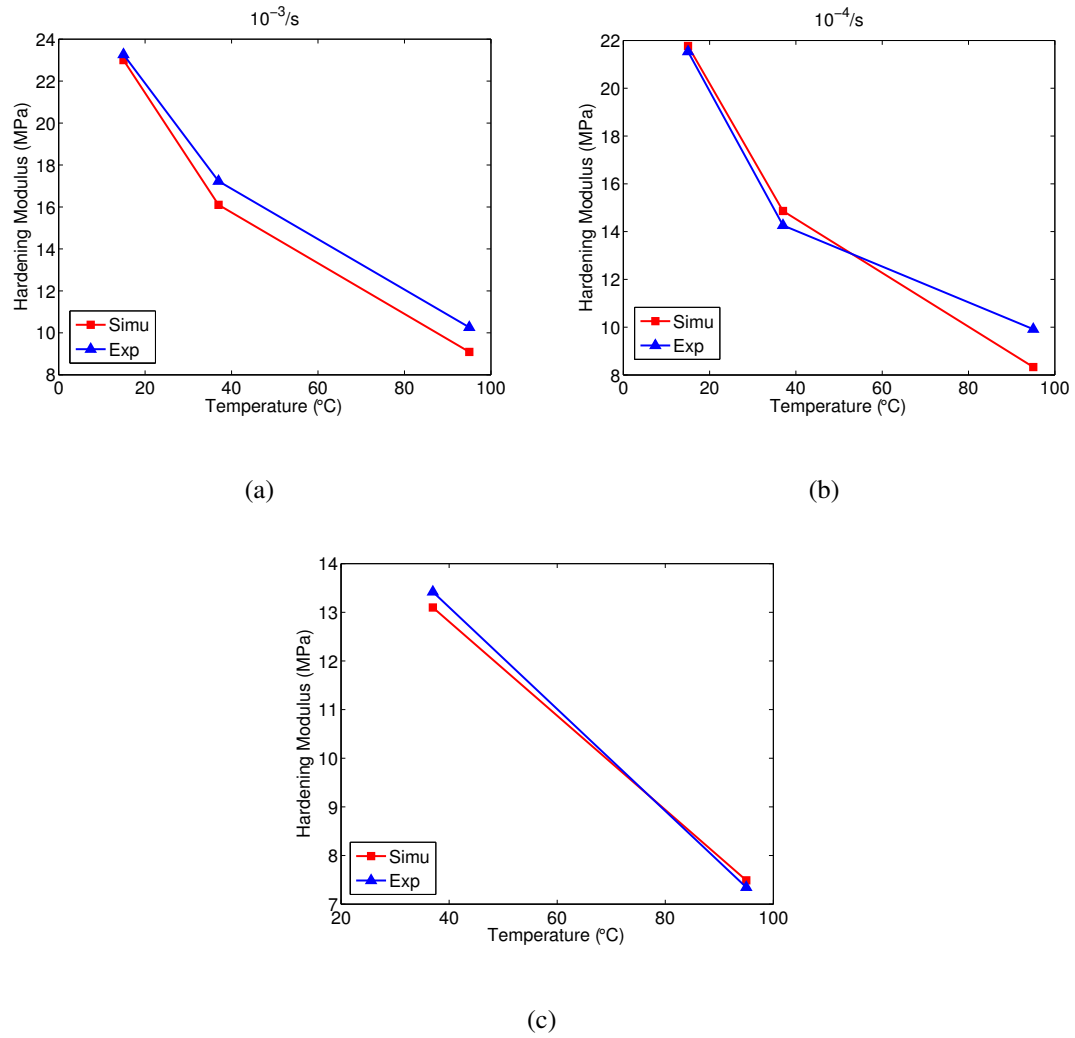
## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

temperature dependence. In both experiments and simulation, the hardening modulus decrease approximately linearly with temperature in the range from 15°C to 95°C. The results shown in Fig. 4.14 and Fig. 4.15 again demonstrated good agreement between experiments and simulation.



**Figure 4.14:** Uniaxial compression response of polycarbonate at 37°C and 95°C. (a)  $10^{-3}/s$  (b)  $10^{-4}/s$  and (c)  $10^{-5}/s$ .

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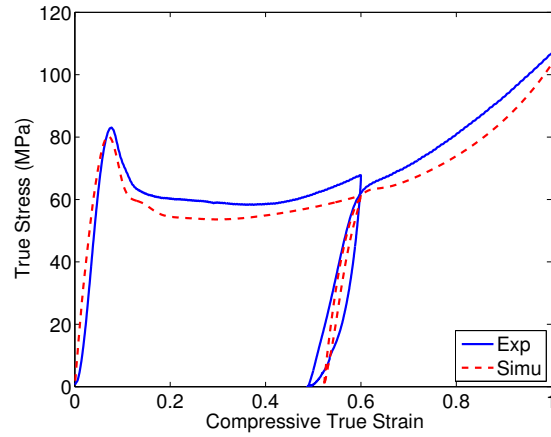


**Figure 4.15:** Hardening modulus for polycarbonate specimens deformed at different temperatures 15°C, 37°C and 95°C. (a)  $10^{-3}/s$ , (b)  $10^{-4}/s$  and (c)  $10^{-5}/s$ .

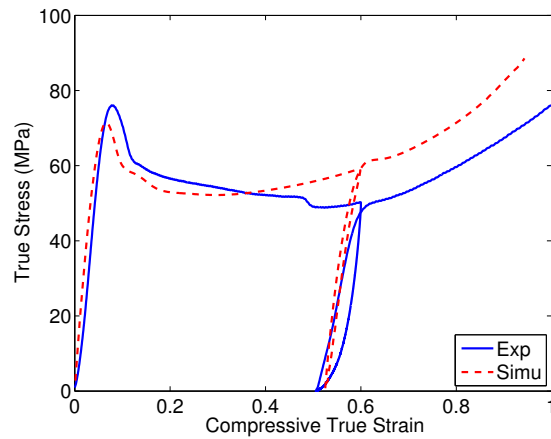
We also simulated the loading-unloading-reloading response of the specimens at room temperature and two strain rates  $10^{-3}/s$  and  $10^{-4}/s$ . As shown in Fig. 4.16, in both experiments and simulation, the strain softening was eliminated in the reloading phase because of the effects of mechanical rejuvenation, and the pre-deformed specimens follow the path of specimens without pre-deformation in terms of strain hardening, as discussed in [17]. We

## CHAPTER 4. AN EFFECTIVE TEMPERATURE MODEL FOR THE LARGE STRAIN HARDENING BEHAVIOR OF GLASSY POLYMERS

were able to get some hysteresis between unloading and reloading in the simulation, but the degree of it was underestimated when compared to experiments as shown in Fig. 4.16.



(a)



(b)

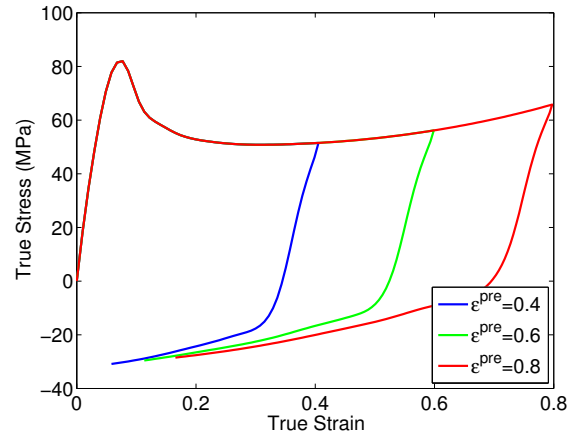
**Figure 4.16:** Loading-unloading-reloading response of polycarbonate specimens deformed at room temperature (27°C) and engineering strain rates of (a)  $10^{-3}/s$  and (b)  $10^{-4}/s$ .

The model was also applied to qualitatively simulate experiments reported in literatures and it was able to capture phenomena related to strain hardening, such as the Bauschinger

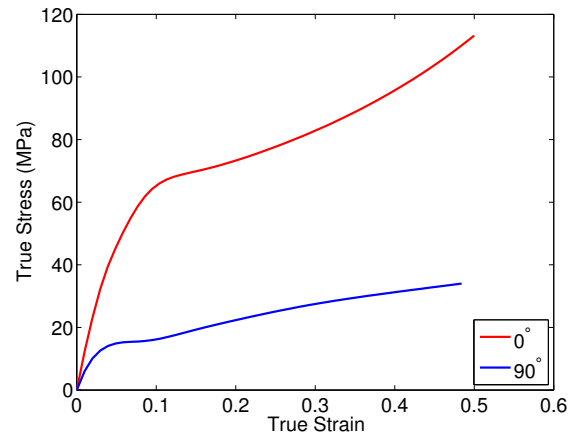
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effect [17, 18] and the deformation induced anisotropy [19, 238]. In these simulations, the parameters measured for PC in Sec. 4.3 were applied. In Fig. 4.17(a), the specimens were stretched to 40%, 60% and 80% true strain at 0.01/s and 37°C, unloaded to zero force and compressed at the same strain rate and temperature. The model was able to predict the Bauschinger effect, that is, the yield stress in compression is smaller in magnitude than that in tension. In Fig. 4.17(b), the specimens were stretched to 80% true strain along one axis at 0.01/s and 37°C, unloaded to zero force and further stretched along the original axis or 90° to the original axis at the same strain rate and temperature. The model was capable of describing the deformation induced anisotropy, that is, the yield stress and the hardening modulus are higher when deformed parallel to the pre-deformation, as a result of chain orientation.

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(a)



(b)

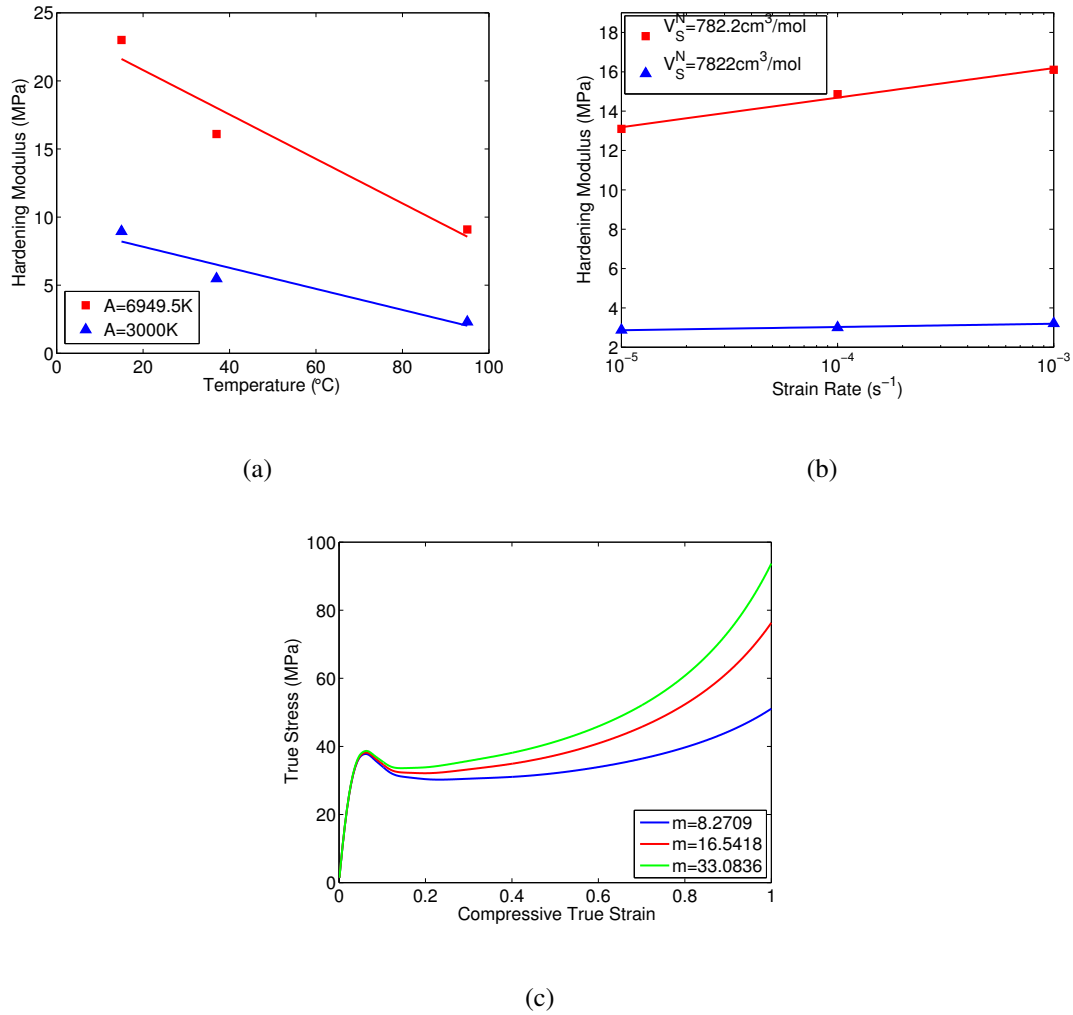
**Figure 4.17:** Qualitative simulations of experiments reported in literature (a) simulation of the Bauschinger effect [17, 18] and (b) simulation of the deformation induced anisotropy [19].

## 4.5 Parameter Study

In this section, we investigated the effects of some key parameters in the model that control the temperature dependence, strain rate dependence and strain dependence of hardening. These dependences were incorporated in the constitutive model through the viscous resistance to network deformation, as expressed in eq. (4.43). The activation temperature  $A$  controls the temperature dependence of hardening. To examine its effects, we ran simulations with  $A = 6949.5\text{K}$  and  $A = 3000\text{K}$  at three different temperatures and compared the temperature dependence of the hardening modulus. As shown in Fig. 4.18(a), a larger value of  $A$  results in a larger hardening modulus as well as a stronger temperature dependence. On the other hand, the strain rate dependence of hardening is affected by the activation volume for network relaxation  $V_S^N$ . This is demonstrated in Fig. 4.18(b), where we compared the rate dependence of hardening modulus for two different values of  $V_S^N$ . A smaller activation volume leads to a larger hardening modulus and stronger strain rate dependence. The parameter  $m$ , which is the power of the orientation parameter, describes the degree in which molecular orientation increases the resistance to network relaxation, hence has effects on the strain-dependence of hardening. These effects are shown in Fig. 4.18(c), where we plotted the stress response at same temperature  $95^\circ\text{C}$  and strain rate  $10^{-3}/\text{s}$ , but with three different values of  $m$ . A higher  $m$  produces a stiffer and more nonlinear hardening response.



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**Figure 4.18:** Effects of parameters that control the temperature, strain rate and strain state dependence of hardening (a) Hardening modulus at 15°C, 37°C and 95°C with strain rate of  $10^{-3}/s$ , for  $A = 6949.5K$  and  $A = 3000K$  respectively. (b) Hardening modulus at  $10^{-3}/s$ ,  $10^{-4}/s$  and  $10^{-5}/s$  with temperature of 37°C, for  $V_S^N = 782.2cm^3/mol$  and  $V_S^N = 7822cm^3/mol$  respectively. (c) Stress response at 95°C and  $10^{-3}/s$ , for  $m = 8.2709$ ,  $m = 16.5418$  and  $m = 33.0836$  respectively.

## 4.6 Discussion

Comparing eq. (4.42) and eq. (4.43), for the viscous resistance to local segmental rearrangements we applied the Adam-Gibbs model in which the viscous resistance to local segmental rearrangements depends on both of the temperature and configurational entropy (or effective temperatures), while for the viscous resistance to network deformation we assumed that it only depends on the temperature. In fact, we explored the possibility of incorporating the configurational entropy in the expression of viscous resistance to network deformation,

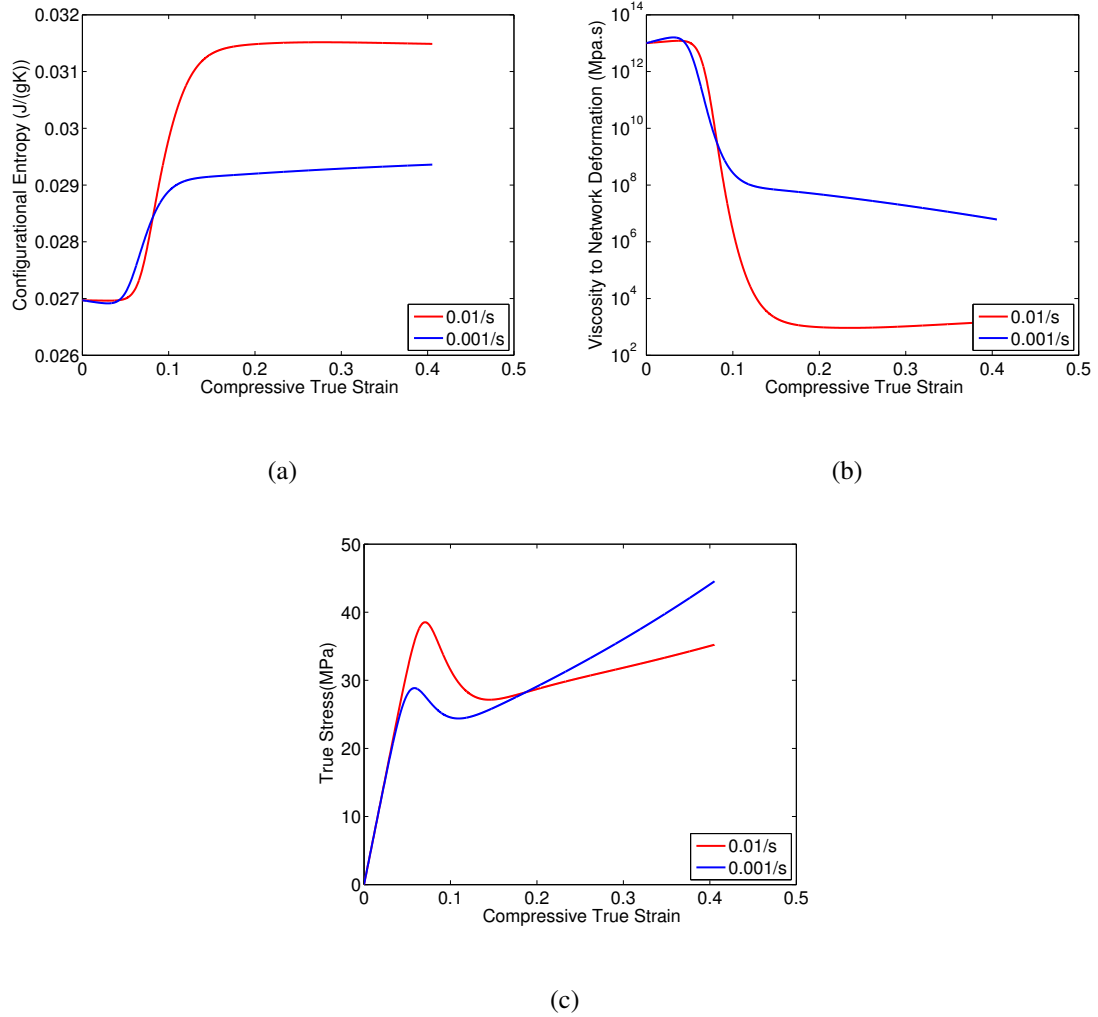
$$v_j^N = v_j^{N,ref} \exp\left(\frac{B^N}{T \sum_i \eta_i^c}\right) \left(\frac{\alpha_0 - \alpha_c}{\alpha - \alpha_c}\right) \alpha^{-m} \frac{V_S^N S_{back}}{RT} \left[\sinh\left(\frac{V_S^N S_{back}}{RT}\right)\right]^{-1}, \quad (4.64)$$

where  $B^N$  is a thermal activation energy in analog to  $B$  in eq. (4.42). We noticed that this expression gives opposite rate dependence of strain hardening, which means the hardening modulus decreases with strain rate. This is inconsistent with experiment observations and thus unphysical. The reason for this opposite rate dependence is that a higher strain rate drives the system to a more disordered state with higher configurational entropy, as shown in Fig. 4.19(a), and from eq. (4.64), this leads to a lower viscous resistance to network deformation, as shown in Fig. 4.19(b). As a result, the model exhibits smaller hardening modulus at higher strain rate, as shown in Fig. 4.19(c). For this reason, we excluded the entropy term in the expression of viscous resistance to network deformation. This makes the network relaxation mechanism and the backstress independent from the effective tem-

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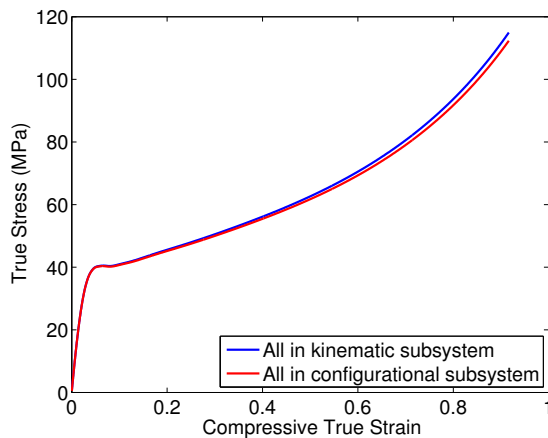
peratures. Therefore, configurational contributions of the network resistance can affect the evolution of effective temperatures, but have little effects on the hardening stress response. This is demonstrated in Fig. 4.20, where we simulated the uniaxial compression response at 95°C and engineering strain rate of  $3 \times 10^{-4}$ /s. The contributions of network resistance were either all assigned in the kinematic subsystem or all assigned in the configurational subsystems. The stress response exhibited little difference. In Sec. 4.2.2.3, we assumed pure kinematic contributions. One can also assume pure configurational contributions, or in general, split the contributions into kinematic and configurational subsystems with a fraction. The stress response is insensitive to these assumptions.

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**Figure 4.19:** Incorporating configurational entropy in the viscous resistance to network deformation leads to opposite rate dependence of strain hardening, comparing the (a) evolution of configurational entropy, (b) evolution of viscous resistance to network deformation and (c) stress vs. strain for two strain rates,  $10^{-2}/s$  and  $10^{-3}/s$ .

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**Figure 4.20:** Comparison of the stress response between pure kinematic and pure configurational contributions of network resistance.

### 4.7 Conclusions

In conclusion, we extended the effective temperature theory in [82] to large strain and developed a constitutive model in a thermodynamically consistent manner. The model incorporates two mechanisms: the stretching and orientation of the polymer network, which leads to the development of a backstress, and network relaxation, which accounts for the temperature and rate dependence of strain hardening. The model was applied to simulate the thermomechanical behavior of polycarbonate. All of the model parameters were determined through standard thermomechanical tests. The simulation results showed good agreements with experiments and the temperature dependence, strain rate dependence and strain-dependence of hardening were quantitatively captured. In both experiments and simulation, the hardening modulus decreases with temperature and increases with logarithm

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strain rate in an approximately linear manner. The model was also able to describe phenomena related to unloading and reloading, including the Bauschinger effect and the deformation induced anisotropy. In the constitutive model, the temperature parameter  $A$ , the activation volume for molecular relaxation  $V_S^N$  and the power of the orientation parameter  $m$  control the temperature dependence, the strain rate dependence and the strain dependence of hardening respectively.

## **Chapter 5**

### **Conclusions and Future Work**

This work has covered a wide range of active soft materials, from elastomers, hydrogels to glassy polymers. These materials are inherently different, in terms of both the mechanical properties and the stimuli they are responsive to. The Young's modulus varies from tens of kilopascals for hydrogels to 1-2 gigapascals for glassy polymers and the stimuli include temperature, solution and electric field etc. Despite these significant differences, however, this work has demonstrated that various kinds of soft materials can be studied with a similar set of methods. The developed methodology covers different stages of the study, from material characterization to constitutive modeling, from simulation to experiments.

Techniques including dynamic mechanical analysis (DMA) and differential scanning calorimetry (DSC) were applied in the material characterization. In Chapter 2 and Chapter 4, the viscoelasticity of dielectric elastomers and glassy polymers were characterized in a similar way. The stress relaxation spectrum was determined from either the master curve

## CHAPTER 5. CONCLUSIONS AND FUTURE WORK

of relaxation modulus versus time or the master curve of storage modulus versus frequency, both describing the viscoelasticity but from different aspects. The Time-Temperature Superposition (TTS) and the approximation to the continuous relaxation spectrum were utilized for both studies.

In terms of constitutive modeling, the state variable approach was applied for all studies in this work. The total free energy density was additively decomposed to a mechanical part and a part representing the contributions from physical stimuli. For dielectric elastomers, this part is the electrical energy; for hydrogels it's the mixing energy between polymer and solvent; for glassy polymers it's the thermal contributions in response to temperature change. Accordingly, state variables such as the electric field  $E$ , the solid fraction  $\phi$  and the effective temperatures  $T_{e_i}$  were introduced to characterize the thermodynamic state of the materials. The first two are equilibrium state variables while the effective temperature is a nonequilibrium state variable and its evolution to equilibrium is affected by multiple mechanisms as discussed in Chapter 4. The coupling between these state variables and the mechanical deformation allows the developed models to capture various stimuli responsive behavior. In addition, the mechanical contributions can be elastic, as in the case of hydrogels, or viscoelastic as in the cases of dielectric elastomers and glassy polymers. For viscoelastic modeling, the inelastic stretches (or the inelastic deformation gradients in general three-dimensional case) were also introduced as state variables and evolve following certain flow rule.

Finite element analysis was applied in Chapter 3 and Chapter 4. The simulation in-



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volves 3D or 2D axisymmetric geometries and boundary conditions in displacements or heat convection. Post-simulation analysis were addressed for both chapters. In Chapter 3, the strain energy was integrated over the body and the local Gaussian curvatures of deformed configurations were computed. In Chapter 4, the macroscopic heat flux was integrated and compared to experiment measurements.

Experiments were performed in all three chapters above. These include pure mechanical experiments, for example creep, stress relaxation and uniaxial compression, and also stimuli actuation experiments, as illustrated in Chapter 2 and Chapter 3. The experiment results were applied to calibrate the model as well as verify the model predictions.

### **5.1 Summary of this Work**

Chapter 2 investigated the temperature dependent viscoelastic behavior of dielectric elastomers and the effects of viscoelasticity on the electro-actuation behavior. We measured the viscoelastic relaxation spectrum of VHB 4905 and the temperature dependence of the relaxation times and applied the results to a discrete multi-process viscoelastic model. We performed uniaxial creep and stress relaxation experiments and electrical actuation experiments under different prestretch conditions. The measured spectrum was applied to predict the experimental results. Generally, the model produced good quantitative agreement with both the viscoelastic and electro-actuation experiments. We showed that the viscoelastic spectrum can be truncated systematically to describe the time-dependent behavior in a more

## CHAPTER 5. CONCLUSIONS AND FUTURE WORK

narrow time range, though the truncation was shown to require multiple, and not a single nonequilibrium process in order to accurately capture the viscoelastic response. Moreover, the spectrum can be shifted using the temperature-dependent shift factor to describe the time-dependent behavior at higher temperatures. We also investigated the failure of VHB membrane caused by viscoelastic creep when prestretched and subjected to constant voltage loading. The experimental time to failure for the specimens decreased exponentially with voltage, which agreed well with the predictions of the model.

In Chapter 3, we designed a thermoresponsive self-folding system composed of soft swelling gels and stiff non-swelling segments arranged in a regular grid pattern. The self-folding behavior of the composite plate was investigated using experiments and finite element modeling. In both experiments and simulation, the system exhibited bidirectional and biaxial bending. Cooling the patterned bilayer structure leads to bending upwards about one axis, while heating leads to bending downwards about a different axis  $90^\circ$  from the first. The direction of bending was determined by the distribution of the swelling ratio through the thickness of the composite plate and can be altered by imparting a swelling gradient through the thickness. The choice of bending axis was explained through comparing the energy of the different equilibrium folded configurations. Biaxial bending occurred when the segments were arranged such that the long axis of the patch was perpendicular to the long axis of the plate. The curvature of the bent plate was controlled by the segment spacing, and a large segment spacing led to the development of wrinkles during bending.

In Chapter 4, we developed a constitutive model for glassy polymers based on the ef-

## CHAPTER 5. CONCLUSIONS AND FUTURE WORK

fective temperature theory that is capable of describing the strain hardening behavior at large deformation. The model incorporates two mechanisms: one represents the stretching and orientation of the polymer network, which leads to the development of a backstress; the other one represents the network relaxation, which accounts for the temperature and rate dependence of strain hardening. The model was implemented into finite element programs and was applied to simulate the thermomechanical behavior of polycarbonate. All of the model parameters were determined through standard thermomechanical tests. The simulation results showed good agreements with experiments and the temperature dependence, strain rate dependence and strain state dependence of hardening were quantitatively captured.

### **5.2 Limitations of this Work**

Though the developed models have achieved considerable success in describing the coupled physical-mechanical behavior of active soft materials, some limitations of this work should be acknowledged. In Chapter. 2, the developed constitutive model assumed that the dielectric elastomer is ideal and its permittivity is constant. The performed simulations are material point simulations, in which the complex boundary conditions in actuation experiments were neglected. This led to the discrepancy in actuation stretch between experiments and simulation, as shown in Fig. 2.9. In Chapter. 3, the applied constitutive model for hydrogels is an equilibrium model, which is not capable of simulating the diffu-

## CHAPTER 5. CONCLUSIONS AND FUTURE WORK

sion process. The finite element simulations assumed a uniformly distributed temperature field, which neglected the heat conduction in experiments. The simulations also assumed an idealized, flat, and stress-free initial state, while the plates tended to exhibit a saddle shape near the LCST in the experiments. In Chapter 4, the developed constitutive model also made a number of assumptions. The theory neglects thermal interactions between the configurational subsystems and assumed that the relaxation time and the viscosity of each structural and stress relaxation process shared same dependence on the temperature and nonequilibrium structure. The model also assumed that the configurational properties are identically distributed over the configurational subsystems, thus can be described by the same structural relaxation spectrum. Moreover, we assumed that the network relaxation spectrum can be obtained through shifting of the stress relaxation spectrum. In addition, we neglected the effects of temperature changes  $\dot{T}$  in the simulations. This assumption may be valid for the low strain rates discussed in Chapter 4, but should be included for general cases.

### 5.3 Future Directions

Future directions include further improvements on current models and the applications of developed models in simulating new experimental observations.

The constitutive model developed for dielectric elastomers needs to be implemented into finite element programs. This will allow the model to simulate various kinds soft

## CHAPTER 5. CONCLUSIONS AND FUTURE WORK

robotic actuators made from dielectric elastomers. Also, novel actuator designs with backgrounds in industrial applications need be proposed and the corresponding actuation experiments need to be performed to further explore the design space of DE.

In Chapter 3, the applied equilibrium model for hydrogels can be improved by incorporating the diffusion mechanism. This will allow the model to predict the nonequilibrium configurations that evolve with time. The design space of the bilayer plates with soft and stiff segments can be further explored. For example, the shape of the plates and segments can be triangular or circular instead of being rectangular as discussed in Chapter 3. Also, the segments can be nonuniformly distributed over the plate and the pattern of distribution will have effects on deformation.

The polycarbonate filaments used in Chapter 4 are widely used as raw material for fused filament fabrication (FFF), which is a kind of rapidly growing additive manufacturing (AM) process. Therefore, the developed constitutive model and the measured material parameters can be applied to simulate the thermomechanical behavior of printed welds and other structures. The simulation results will play an important role in guiding the design and manufacturing process. The constitutive model based on effective temperature theory also needs to be further investigated, for example in terms of the ability to predict the heat generation at different strain rates. In addition, the model can be improved by incorporating the diffusion of effective temperatures to simulate the development of heterogeneous strain fields and strain localization.

# Appendix A

## Incorporating Thermal Deformation in the Effective Temperature Theory

In this appendix, we incorporate the thermal deformation into the constitutive model developed in Chapter 4. The method for determining additional parameters is also included.

### A.1 Kinematics

The total deformation gradient  $\mathbf{F}$  is split multiplicatively into a thermal component  $\mathbf{F}_T = J_T^{1/3} \mathbf{I}$  and a mechanical component  $\mathbf{F}_M$  [239, 240],

$$\mathbf{F} = J_T^{1/3} \mathbf{F}_M. \tag{A.1}$$

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To model the structural relaxation process, we decompose the thermal deformation into an instantaneous part given by  $(T - T_0)$  and a departure from the instantaneous response given by  $(\sum_i^Q \phi_i T_{e_i} - T_0)$ ,

$$J_T = \exp[\alpha_g(T - T_0)] \exp[\Delta\alpha(\sum_i^Q \phi_i T_{e_i} - T_0)], \quad (\text{A.2})$$

where  $\alpha_g$  is the glassy volumetric coefficient of thermal expansion (CTE),  $\alpha_r$  is the rubbery volumetric CTE, and  $\Delta\alpha = \alpha_r - \alpha_g$ . The mechanical component  $\mathbf{F}_M$  is split multiplicatively into  $P$  parallel elastic and inelastic components, as expressed in eq. (4.10),

$$\mathbf{F}_M = \mathbf{F}_k^e \mathbf{F}_k^v, \text{ for } k = 1 \dots P, \quad (\text{A.3})$$

and the inelastic deformation gradient  $\mathbf{F}_k^v$  is further decomposed into two parts representing the network deformation and relaxation components, same as eq. (4.12),

$$\mathbf{F}_k^v = \mathbf{F}_k^{N,e} \mathbf{F}_k^{N,v}, \text{ for } k = 1 \dots P. \quad (\text{A.4})$$

## A.2 Constitutive model

The constitutive model can be developed through the same thermodynamics derivation in Sec. 4.2.2.3. We apply the same form of Helmholtz free energy density as eq. (4.38),

$$\begin{aligned}\Psi^k &= \sum_j^P \frac{(1-a)\mu_j^{neq}}{2} (\text{tr}(\bar{\mathbf{C}}_j^e) - 3) + \frac{\kappa}{4} (J_M^2 - 2 \log J_M - 1) \\ &\quad + c_{g0}(T - T_0) - c_{g0}T \ln \frac{T}{T_0} - \frac{c_{g1}}{2} (T - T_0)^2 + \sum_j^P \xi(\bar{\mathbf{b}}_j^{N,e}), \\ \Psi_i^c &= \frac{T_{ei}}{T_0} \frac{\mu_i^{eq}}{2} (\text{tr}(\bar{\mathbf{C}}_M) - 3) + \sum_j^P \frac{a_i \mu_j^{neq}}{2} (\text{tr}(\bar{\mathbf{C}}_j^e) - 3) + \Delta c_{0i} (T_{ei} - T_0) - \Delta c_{0i} T_{ei} \ln \frac{T_{ei}}{T_2} - \frac{\Delta c_{1i}}{2} (T_{ei} - T_2)^2,\end{aligned}\tag{A.5}$$

where  $J_M = \det \mathbf{F}_M$  is the mechanical part of volumetric deformation and  $\bar{\mathbf{C}}_M = J_M^{-2/3} \mathbf{C}_M = J_M^{-2/3} (\mathbf{F}_M^T \mathbf{F}_M)$  is the isochoric part of the mechanical deformation tensor. The second Piola-Kirchhoff stress can be evaluated as  $\mathbf{S} = 2 \frac{\partial \Psi}{\partial \mathbf{C}}$  and the Cauchy stress,  $\boldsymbol{\sigma} = \frac{1}{J} \mathbf{F} \mathbf{S} \mathbf{F}^T$  is the push-forward of  $\mathbf{S}$ ,

$$\boldsymbol{\sigma} = \underbrace{\frac{1}{J} \left( \sum_i^Q \frac{T_{ei} \phi_i}{T_0} \right) \mu^{eq} (\bar{\mathbf{b}}_M - \frac{1}{3} \text{tr}(\bar{\mathbf{b}}_M) \mathbf{I})}_{\boldsymbol{\sigma}^{eq}} + \sum_j^P \underbrace{\left( \frac{1}{J} \mu_j^{neq} (\bar{\mathbf{b}}_j^e - \frac{1}{3} \text{tr}(\bar{\mathbf{b}}_j^e) \mathbf{I}) \right)}_{\boldsymbol{\sigma}_j^{neq}} + \underbrace{\frac{1}{2J} \kappa (J_M^2 - 1) \mathbf{I}}_p.\tag{A.6}$$

The expressions for stress tensors  $\mathbf{M}_j$ ,  $\mathbf{N}_j^{back}$  and  $\zeta_j$  and the flow rules remain unchanged,

$$\begin{aligned}\mathbf{M}_j &= \sum_i^Q \phi_i (1 + a(\frac{T}{T_{ei}} - 1)) \mu_j^{neq} (\bar{\mathbf{C}}_j^e - \frac{1}{3} \text{tr}(\bar{\mathbf{C}}_j^e) \mathbf{I}), \\ \mathbf{N}_j^{back} &= \mu_j^{back} \frac{\lambda_L}{\bar{\lambda}_{eff,j}} \mathcal{L}^{-1} \left( \frac{\bar{\lambda}_{eff,j}}{\lambda_L} \right) (\bar{\mathbf{b}}_j^{N,e} - \frac{1}{3} \text{tr}(\bar{\mathbf{b}}_j^{N,e}) \mathbf{I}), \\ \zeta_j &= \mathbf{M}_j - \mathbf{N}_j^{back}.\end{aligned}\tag{A.7}$$



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$$\mathbf{D}_j^v = \frac{1}{2v_j^I} \zeta_j, v_j^I = v_j^{I,ref} \exp\left(-\frac{B}{T \sum_i \eta_i^c}\right) \frac{V_S^I S}{RT} [\sinh\left(\frac{V_S^I S}{RT}\right)]^{-1}, \quad (\text{A.8})$$

$$\mathbf{D}_j^{N,v} = \frac{1}{2v_j^N} \mathbf{N}_j^{back}, v_j^N = v_j^{N,ref} \exp\left(\frac{A}{T}\right) \left(\frac{\alpha_0 - \alpha_c}{\alpha - \alpha_c}\right) \alpha^{-m} \frac{V_S^N S^{back}}{RT} [\sinh\left(\frac{V_S^N S^{back}}{RT}\right)]^{-1}. \quad (\text{A.9})$$

The configurational entropy can be evaluated from eq. (4.24),

$$\begin{aligned} \eta_i^c &= \Delta c_0 \phi_i \log \frac{T_{e_i}}{T_2} + \Delta c_1 \phi_i (T_{e_i} - T_2) \\ &\quad - \frac{\mu^{eq} \phi_i}{2T_0} (\text{tr}(\bar{\mathbf{C}}_M) - 3) + \frac{\kappa}{2} (J_M^2 - 1) \Delta \alpha \phi_i. \end{aligned} \quad (\text{A.10})$$

Substituting eq. (A.10) into eq.(4.37) and neglecting the diffusion term gives the evolution equation for effective temperatures,

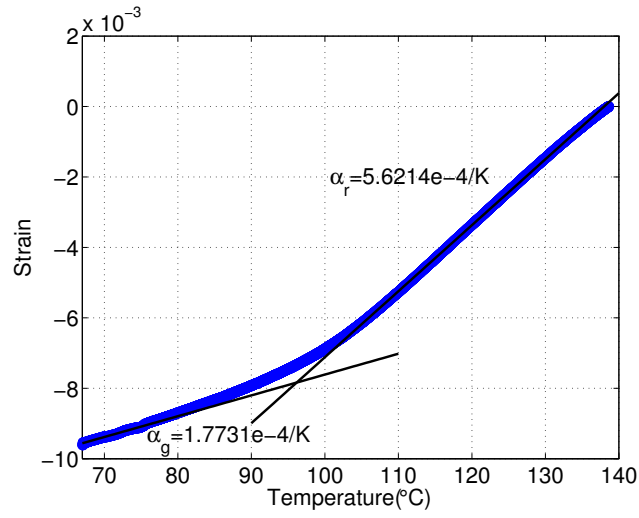
$$\begin{aligned} \dot{T}_{e_i} &= \frac{T - T_{e_i}}{\tau_{R_i}} + \frac{a}{\Delta c_0 + \Delta c_1 T_{e_i}} \sum_j^P \mu_j^{neq} (\bar{\mathbf{C}}_j^e - \frac{1}{3} \text{tr}(\bar{\mathbf{C}}_j^e) \mathbf{I}) : \mathbf{D}_j^v \\ &\quad + \frac{J^{-2/3} T_{e_i} \mu^{eq}}{2(\Delta c_0 + \Delta c_1 T_{e_i}) T_0} (\mathbf{I} - \frac{1}{3} \text{tr}(\mathbf{C}_M) \mathbf{C}_M^{-1}) : \dot{\mathbf{C}} - \frac{T_{e_i} \kappa \Delta \alpha J_M^2}{\Delta c_0 + \Delta c_1 T_{e_i}} \frac{j_M}{J_M}. \end{aligned} \quad (\text{A.11})$$

The last terms in eq. (A.10) and eq. (A.11) are additional terms that come from contributions of the thermal deformation.

### A.3 Measurement of the CTEs for polycarbonate

The constitutive model in Sec. A.2 has two additional parameters compared to the original model in Chapter 4, which are the glassy volumetric CTE  $\alpha_g$  and the rubbery volumetric CTE  $\alpha_r$ . To measure these two parameters for the polycarbonate, constant cooling rate tests were performed on the film specimens under uniaxial tension using a TA Q800 Dynamic Mechanical Analyzer (DMA) set to zero force mode. The specimens were equilibrated at 140°C and cooled to 60°C at 1°C/min. The experiments measured the thermal contraction in length, defined as the change in length over the original length, as a function of temperature. The rubbery volumetric CTE  $\alpha_r$  was determined as three times the slope of the curve between 106°C and 139°C, while the glassy volumetric CTE  $\alpha_g$  was determined as three times the slope of the curve between 67°C and 75°C, as shown in Fig. A.1 [229, 230]. The measured values are  $\alpha_g = 1.7731 \times 10^{-4}/\text{K}$  and  $\alpha_r = 5.6214 \times 10^{-4}/\text{K}$ .

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**Figure A.1:** Experimental data of the thermal strain for cooling rate of 1°C/min. Solid black lines indicate linear fits used to determine the CTEs.

## **Appendix B**

# **Finite Element Implementation of the Constitutive Model Based on Effective Temperature Theory**

In this appendix, the constitutive model introduced in Chapter 4 is implemented as a user-defined material subroutine into finite element code. The algorithm is summarized first and the C++ program is attached.

## B.1 Algorithm

### B.1.1 Solving the Internal Variables

#### B.1.1.1 Evolution of the Effective Temperatures

From eq. (4.37), the evolution equation for effective temperatures can be written as

$$\dot{T}_{e_i} = -\frac{1}{\tau_{R_i}}(T_{e_i} - T) + \frac{1}{\Delta c_i} \sum_j^N 2\mathbf{C}_j^e \frac{\partial \Psi_i^c}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v - \frac{T_{e_i}}{\Delta c_i} 2 \frac{\partial \eta_i^c}{\partial \mathbf{C}} : \frac{1}{2} \dot{\mathbf{C}}, \quad (\text{B.1})$$

We define the following coefficients,

$$\begin{aligned} \theta_i^1 &= \frac{1}{\Delta c_i}, \\ \theta_i^2 &= \frac{a}{\Delta c_i}. \end{aligned} \quad (\text{B.2})$$

The plastic work and latent heat are defined as,

$$W^{plastic} = \frac{\Delta t}{a_i} \sum_j^P 2\mathbf{C}_j^e \frac{\partial \Psi_i^c}{\partial \mathbf{C}_j^e} : \mathbf{D}_j^v = \sum_j^P \mathbf{C}_j^e \mathbf{S}_j^{neq} : (\mathbf{D}_j^v \Delta t), \quad (\text{B.3})$$

$$\begin{aligned} W_i^{latent} &= -\frac{T_{e_i} \Delta t}{\phi_i} 2 \frac{\partial \eta_i^c}{\partial \mathbf{C}} : \frac{1}{2} \dot{\mathbf{C}} = \frac{T_{e_i} \Delta t}{\phi_i} 2 \frac{\partial^2 \Psi}{\partial T_{e_i} \partial \mathbf{C}} : \frac{1}{2} \dot{\mathbf{C}} = \frac{T_{e_i} \Delta t}{\phi_i} 2 \frac{\partial \mathbf{S}}{\partial T_{e_i}} : \frac{1}{2} \dot{\mathbf{C}} \\ &= \frac{T_{e_i} \Delta t}{\phi_i} 2 \frac{\partial \mathbf{S}^{eq}}{\partial T_{e_i}} : \frac{1}{2} \dot{\mathbf{C}} = \frac{T_{e_i}}{\sum_i T_{e_i} \phi_i} J \sigma^{eq} : \mathbf{D} \Delta t. \end{aligned} \quad (\text{B.4})$$

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

With eqs. (B.2), (B.3) and (B.4), the evolution equation (B.1) can be discretized as,

$$\frac{T_{e_i}^n - T_{e_i}^p}{\Delta t} = \frac{T - T_{e_i}^n}{\tau_{R_i}} + \theta_i^2 \frac{W^{plastic}}{\Delta t} + \theta_i^1 \frac{W_i^{latent}}{\Delta t}, \quad (\text{B.5})$$

where  $T_{e_i}^n$  and  $T_{e_i}^p$  are the effective temperatures at current time step and previous time step respectively. Define the residual as,

$$r_{T_{e_i}} = T_{e_i}^n + \frac{\Delta t}{\tau_{R_i}} (T_{e_i}^n - T) - \theta_i^2 W^{plastic} - \theta_i^1 W_i^{latent} - T_{e_i}^p. \quad (\text{B.6})$$

We can organize the residuals of  $Q$  processes into a vector,  $(\mathbf{r}_{T_e})_i = r_{T_{e_i}}$ , for  $i = 1 \dots Q$ .

### B.1.1.2 Evolution of the Network Elastic Strain

From Chapter 4, the flow rule for network relaxation can be written as,

$$\mathbf{D}_j^{N,v} = \frac{1}{2v_j^N} \mathbf{N}_j^{back}. \quad (\text{B.7})$$

Following [120], express the above equation in principle coordinates,

$$\epsilon_{i,j}^{N,e} - \epsilon_{i,j(tr)}^{N,e} = -\frac{\Delta t}{2v_j^N} N_{i,j}^{back}, \quad \text{for } i = 1, 2, 3 \quad \text{and} \quad j = 1 \dots P, \quad (\text{B.8})$$

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

where  $\boldsymbol{\varepsilon}_{i,j}^{N,e}$  and  $\boldsymbol{\varepsilon}_{i,j(tr)}^{N,e}$  are the principle network elastic strains in current state and trial state, and  $N_{i,j}^{back}$  are the principle back stresses. Define the residuals as

$$r_{\boldsymbol{\varepsilon}_{i,j}}^N = \boldsymbol{\varepsilon}_{i,j}^{N,e} + \frac{\Delta t}{2\nu_j^N} N_{i,j}^{back} - \boldsymbol{\varepsilon}_{i,j(tr)}^{N,e}. \quad (\text{B.9})$$

Organize the residuals into a vector with  $3P$  elements,

$$\mathbf{r}_{\boldsymbol{\varepsilon}}^N = \begin{pmatrix} r_{\boldsymbol{\varepsilon}_{1,1}}^N \\ r_{\boldsymbol{\varepsilon}_{2,1}}^N \\ r_{\boldsymbol{\varepsilon}_{3,1}}^N \\ \vdots \\ r_{\boldsymbol{\varepsilon}_{1,P}}^N \\ r_{\boldsymbol{\varepsilon}_{2,P}}^N \\ r_{\boldsymbol{\varepsilon}_{3,P}}^N \end{pmatrix}. \quad (\text{B.10})$$

### B.1.1.3 Evolution of the Elastic Strain

From Chapter 4, the flow rule for inelastic deformation can be written as,

$$\mathbf{D}_j^v = \frac{1}{2\nu_j^I} (\mathbf{M}_j - \mathbf{N}_j^{back}). \quad (\text{B.11})$$

In order to express the above equation in principle coordinates, we need the Mandel stress  $\mathbf{M}_j$  and the back stress  $\mathbf{N}_j^{back}$  to be coaxial. We prove here  $\mathbf{M}_j$  and  $\mathbf{N}_j^{back}$  are coaxial if all

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

the rotation effects are lumped into the inelastic and network inelastic deformation.

From polar decomposition, the kinematics law (4.10) can be further decomposed into

$$\mathbf{F} = \mathbf{F}^e \mathbf{F}^v = \mathbf{V}^e \mathbf{R}^e \mathbf{R}^v \mathbf{U}^v = \mathbf{V}^e \mathbf{R} \mathbf{U}^v, \quad (\text{B.12})$$

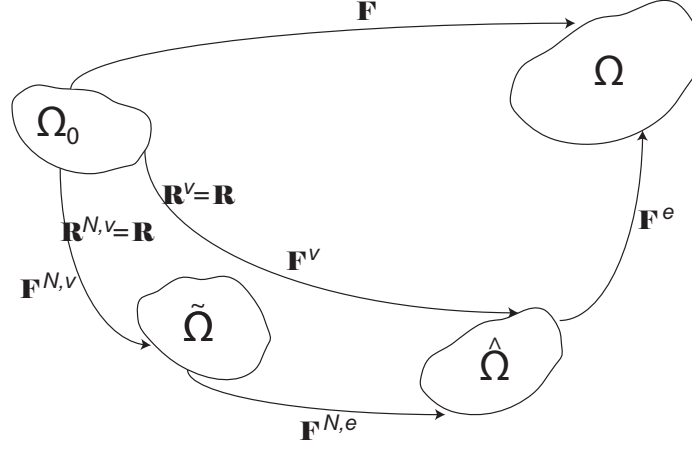
where we neglected the subscripts notating different processes for simplicity. As shown in eq. (B.12), the total rotation tensor  $\mathbf{R}$  can be decomposed into the product of elastic and plastic rotation tensors  $\mathbf{R} = \mathbf{R}^e \mathbf{R}^v$ . However, this decomposition is indeterminate and different choices can be made without affecting the material response, as discussed by Boyce et al. [241] Therefore, we can assign all the rotation effects in the plastic deformation, i.e.  $\mathbf{R}^v = \mathbf{R}$  and  $\mathbf{R}^e = \mathbf{I}$ . This results in a symmetric and therefore, unique elastic deformation gradient,  $\mathbf{F}^{e^T} = \mathbf{F}^e$ . The same idea can be applied to the decomposition of the inelastic deformation gradient,

$$\mathbf{F}^v = \mathbf{F}^{N,e} \mathbf{F}^{N,v} = \mathbf{V}^{N,e} \mathbf{R}^{N,e} \mathbf{R}^{N,v} \mathbf{U}^{N,v} = \mathbf{V}^{N,e} \mathbf{R}^v \mathbf{U}^{N,v}. \quad (\text{B.13})$$

Again, we assign all the rotation effects in the network inelastic deformation, such that  $\mathbf{R}^{N,v} = \mathbf{R}^v = \mathbf{R}$  and  $\mathbf{R}^{N,e} = \mathbf{I}$ . With this assignment, the network elastic deformation gradient is also symmetric and unique,  $\mathbf{F}^{N,e^T} = \mathbf{F}^{N,e}$ . The sequence of deformation maps produced by the successive decompositions of the deformation gradient and the assignment of the rotation tensor are illustrated in Fig. B.1.



APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY



**Figure B.1:** Sequence of deformation maps produced by the successive decompositions of the deformation gradient and the assignment of the rotation tensor.

From Fig. B.1, it's straightforward to see that the product of  $\mathbf{F}^e$  and  $\mathbf{F}^{N,e}$  is also symmetric and unique,  $(\mathbf{F}^{N,e}\mathbf{F}^e)^T = \mathbf{F}^{N,e}\mathbf{F}^e$ , because all the rotation effects are assigned in the network inelastic deformation  $\mathbf{R}^{N,v} = \mathbf{R}$ . Therefore,

$$\begin{aligned}\mathbf{F}^{N,e}\mathbf{F}^e &= \mathbf{F}^{N,eT}\mathbf{F}^{eT} = (\mathbf{F}^e\mathbf{F}^{N,e})^T = (\mathbf{F}^{N,e}\mathbf{F}^e)^T \\ \Rightarrow \mathbf{F}^{N,e}\mathbf{F}^e &= \mathbf{F}^e\mathbf{F}^{N,e},\end{aligned}\tag{B.14}$$

which is equivalent to the coaxiality between symmetric tensors  $\mathbf{F}^e$  and  $\mathbf{F}^{N,e}$ . For isotropic material, this leads to the conclusion that  $\mathbf{M}_j$  and  $\mathbf{N}_j^{back}$  are coaxial. Given this, we can express eq. (B.11) in principle coordinates in a similar way with Sec. B.1.1.2,

$$\varepsilon_{i,j}^e - \varepsilon_{i,j(tr)}^e = -\frac{\Delta t}{2\nu_j^I}(M_{i,j} - N_{i,j}^{back}), \quad \text{for } i = 1, 2, 3 \quad \text{and} \quad j = 1 \dots P, \tag{B.15}$$

where  $\varepsilon_{i,j}^e$  and  $\varepsilon_{i,j(tr)}^e$  are the principle elastic strains in current state and trial state, and  $M_{i,j}$

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

are the principle Mandel stresses. Define the residuals as

$$r_{\varepsilon_{i,j}}^I = \varepsilon_{i,j}^e + \frac{\Delta t}{2\nu_j^I} (M_{i,j} - N_{i,j}^{back}) - \varepsilon_{i,j(tr)}^e. \quad (\text{B.16})$$

Organize the residuals into a vector with  $3P$  elements,

$$\mathbf{r}_{\varepsilon}^I = \begin{pmatrix} r_{\varepsilon_{1,1}}^I \\ r_{\varepsilon_{2,1}}^I \\ r_{\varepsilon_{3,1}}^I \\ \vdots \\ r_{\varepsilon_{1,P}}^I \\ r_{\varepsilon_{2,P}}^I \\ r_{\varepsilon_{3,P}}^I \end{pmatrix}. \quad (\text{B.17})$$

### B.1.1.4 Numerical Solution Procedure

There are three groups of independent internal variables that need to be solved from eqs. (B.5), (B.8) and (B.15): the effective temperatures, the network elastic strains and the

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

elastic strains. The vector form of these variables are defined as,

$$\mathbf{T}_e = \begin{pmatrix} T_{e_1} \\ T_{e_2} \\ \vdots \\ T_{e_{Q-1}} \\ T_{e_Q} \end{pmatrix}, \boldsymbol{\varepsilon}_e^N = \begin{pmatrix} \varepsilon_{1,1}^{N,e} \\ \varepsilon_{2,1}^{N,e} \\ \varepsilon_{3,1}^{N,e} \\ \vdots \\ \varepsilon_{1,P}^{N,e} \\ \varepsilon_{2,P}^{N,e} \\ \varepsilon_{3,P}^{N,e} \end{pmatrix}, \boldsymbol{\varepsilon}_e^I = \begin{pmatrix} \varepsilon_{1,1}^e \\ \varepsilon_{2,1}^e \\ \varepsilon_{3,1}^e \\ \vdots \\ \varepsilon_{1,P}^e \\ \varepsilon_{2,P}^e \\ \varepsilon_{3,P}^e \end{pmatrix}. \quad (\text{B.18})$$

Define the following tangent matrices,

$$\begin{aligned} \mathbf{K}_{T_e} &= \frac{\partial \mathbf{r}_{T_e}}{\partial T_e}, \mathbf{K}_{T_e a} = \frac{\partial \mathbf{r}_{T_e}}{\partial \varepsilon_e^N}, \mathbf{K}_{T_e r} = \frac{\partial \mathbf{r}_{T_e}}{\partial \varepsilon_e^I}; \\ \mathbf{K}_{a T_e} &= \frac{\partial \mathbf{r}_\varepsilon^N}{\partial T_e}, \mathbf{K}_a = \frac{\partial \mathbf{r}_\varepsilon^N}{\partial \varepsilon_e^N}, \mathbf{K}_{a r} = \frac{\partial \mathbf{r}_\varepsilon^N}{\partial \varepsilon_e^I}; \\ \mathbf{K}_{r T_e} &= \frac{\partial \mathbf{r}_\varepsilon^I}{\partial T_e}, \mathbf{K}_{r a} = \frac{\partial \mathbf{r}_\varepsilon^I}{\partial \varepsilon_e^N}, \mathbf{K}_r = \frac{\partial \mathbf{r}_\varepsilon^I}{\partial \varepsilon_e^I}, \end{aligned} \quad (\text{B.19})$$

and

$$\begin{aligned} \mathbf{K}_1 &= \mathbf{K}_a - \mathbf{K}_{a T_e} \mathbf{K}_{T_e}^{-1} \mathbf{K}_{T_e a}, \\ \mathbf{K}_2 &= \mathbf{K}_{a r} - \mathbf{K}_{a T_e} \mathbf{K}_{T_e}^{-1} \mathbf{K}_{T_e r}, \\ \mathbf{K}_3 &= \mathbf{K}_{T_e r} - \mathbf{K}_{T_e a} \mathbf{K}_a^{-1} \mathbf{K}_{a r}, \\ \mathbf{K}_4 &= \mathbf{K}_{T_e} - \mathbf{K}_{T_e a} \mathbf{K}_a^{-1} \mathbf{K}_{a T_e}. \end{aligned} \quad (\text{B.20})$$

The internal variables were solved by the Newton-Raphson method with a staggered scheme.

The algorithm is summarized below.

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1. Iterate the effective temperatures  $\mathbf{T}_e$  with

$$\begin{aligned}\Delta \mathbf{T}_e|_i &= -\mathbf{K}_{\mathbf{T}_e}^{-1}|_i \mathbf{r}_{\mathbf{T}_e}|_i, \\ \mathbf{T}_e|_{i+1} &= \mathbf{T}_e|_i + \Delta \mathbf{T}_e|_i,\end{aligned}\tag{B.21}$$

until  $\|\mathbf{r}_{\mathbf{T}_e}\| < tol$ .

2. Update the network elastic strain  $\boldsymbol{\varepsilon}_e^N$  with

$$\begin{aligned}\Delta \boldsymbol{\varepsilon}_e^N|_i &= -\mathbf{K}_1^{-1}|_i \mathbf{r}_\varepsilon^N|_i, \\ \boldsymbol{\varepsilon}_e^N|_{i+1} &= \boldsymbol{\varepsilon}_e^N|_i + \Delta \boldsymbol{\varepsilon}_e^N|_i.\end{aligned}\tag{B.22}$$

3. Repeat step 1 with updated  $\boldsymbol{\varepsilon}_e^N$ .

4. Repeat step 2 and step 3 until both  $\|\mathbf{r}_{\mathbf{T}_e}\| < tol$  and  $\|\mathbf{r}_\varepsilon^N\| < tol$ .

5. Update the elastic strain  $\boldsymbol{\varepsilon}_e^I$  with

$$\begin{aligned}\Delta \boldsymbol{\varepsilon}_e^I|_i &= -(\mathbf{K}_r - \mathbf{K}_{ra}\mathbf{K}_1^{-1}\mathbf{K}_2 - \mathbf{K}_{r\mathbf{T}_e}\mathbf{K}_4^{-1}\mathbf{K}_3)^{-1}|_i \mathbf{r}_\varepsilon^I|_i, \\ \boldsymbol{\varepsilon}_e^I|_{i+1} &= \boldsymbol{\varepsilon}_e^I|_i + \Delta \boldsymbol{\varepsilon}_e^I|_i.\end{aligned}\tag{B.23}$$

6. Repeat step 1-4 with updated  $\boldsymbol{\varepsilon}_e^I$ .

7. Repeat step 5 and step 6 until  $\|\mathbf{r}_{\mathbf{T}_e}\| < tol$ ,  $\|\mathbf{r}_\varepsilon^N\| < tol$  and  $\|\mathbf{r}_\varepsilon^I\| < tol$ .

In the program presented in Sec. B.2, step 1 was performed by the function *Compute\_Tei*, step 2-4 were performed by the function *Compute\_a* and step 5-7 were performed by the

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function *Compute\_le*.

### B.1.2 Finite Element Implementation

The strong form of the balance of momentum can be written as,

$$\begin{aligned}\text{Div}(\mathbf{FS}) + \mathbf{B} &= \mathbf{0}, \quad \text{in } \Omega, \\ \mathbf{U} &= \hat{\mathbf{U}}, \quad \text{on } \Omega_u, \\ \mathbf{T} = \mathbf{FS} \cdot \mathbf{N} &= \hat{\mathbf{T}}, \quad \text{on } \Omega_t.\end{aligned}\tag{B.24}$$

With the weighting function defined as  $\mathbf{W}$ , the corresponding weak form of the above equation is

$$\int \nabla \mathbf{W} : (\mathbf{FS}) dV = \int \mathbf{W} \cdot \mathbf{B} dV + \int \mathbf{W} \cdot \mathbf{T}_n dS.\tag{B.25}$$

The following shape functions and trial functions are defined,

$$\mathbf{W} = \sum N_A \mathbf{W}_A, \mathbf{U} = \sum N_A \mathbf{U}_A\tag{B.26}$$

Substituting eq. (B.26) into the weak form yields,

$$\int (\mathbf{FS}) \frac{\partial N_A}{\partial \mathbf{X}} dV = \int N_A \mathbf{B} dV + \int N_A \mathbf{T}_n dS.\tag{B.27}$$

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Define the residual as,

$$\mathbf{R}_A^U = \int (\mathbf{F}\mathbf{S}) \frac{\partial N_A}{\partial \mathbf{X}} dV - \int N_A \mathbf{B} dV - \int N_A \mathbf{T}_n dS. \quad (\text{B.28})$$

The tangent can be obtained through

$$\mathbf{K}_{AB}^{UU} = \frac{\partial \mathbf{R}_A^U}{\partial \mathbf{U}_B}. \quad (\text{B.29})$$

Note that the residual in eq. (B.28) can be written in the index form,

$$R_A^{U_i} = \int F_{ij} S_{jk} \frac{\partial N_A}{\partial X_k} dV - \int N_A B_i dV - \int N_A T_{ni} dS. \quad (\text{B.30})$$

Therefore the elements of  $\mathbf{K}_{AB}^{UU}$  can be evaluated as,

$$K_{AB}^{U_i U_n} = \int \frac{\partial N_A}{\partial X_k} \frac{\partial N_B}{\partial X_j} S_{jk} \delta_{in} dV + \int \frac{\partial N_A}{\partial X_k} \frac{\partial N_B}{\partial X_m} F_{ij} F_{nl} \mathbb{C}_{jkml} dV, \quad (\text{B.31})$$

where  $\mathbb{C}_{jkml} = \frac{\partial S_{jk}}{\partial \mathbf{C}_{ml}}$ . Several numerical methods have been developed to calculate the tangent moduli  $\mathbb{C}_{jkml}$ . In this implementation, we adopted the method developed by Reese and Govindjee (RG split method) [120]. The  $\mathbb{C}_{jkml}$  is calculated in the function *c\_ijkl* in the program in Sec. B.2.

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### B.2 Programs in C++

The model was implemented in an open-source finite element program Tahoe©(Sandia National Laboratories)<sup>2</sup>. The complete codes in C++ are attached below.

Head file: ET-multi.h

```
1 #ifndef _ET_multi_
2 #define _ET_multi_
3
4 /* base class */
5 #include "LAdMatrixT.h"
6 #include "RGSplitT2.h"
7 #include "InvLangevin.h"
8 #include "Gamma.h"
9
10 namespace Tahoe {
11
12     class ET_multi: public RGSplitT2
13     {
14     public:
15
16         /* constructor/destructor */
17         ET_multi(void);
18
19         /*Bookkeeping: initialize , update/reset internal variables and
20         the beginning and end of each time step */
21         virtual void PointInitialize(void);
22         virtual void UpdateHistory(void); // element at a time
23         virtual void ResetHistory(void); // element at a time
24
25         /** \name Input/Output:
26         Defines the model parameters
27         Reads in model parameters input file
28         Overloads ParameterInterfaceT interface */
29         /**@{ */
30         /** information about subordinate parameter lists */
31         virtual void DefineSubs(SubListT& sub_list) const;
32         /** a pointer to the ParameterInterfaceT of the given
33         subordinate */
34         virtual ParameterInterfaceT* NewSub(const StringT& name) const;
35         /** describe the parameters needed by the interface */
36         virtual void DefineParameters(ParameterListT& list) const;
37         /** accept parameter list */
38         virtual void TakeParameterList(const ParameterListT& list);
39
40         /*These are the main functions*/
41         /*stress response*/
42         /*returns the spatial tangent modulus in reduced matrix form*/
```

---

<sup>2</sup><http://sourceforge.net/projects/tahoe/>

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```

42     virtual const dMatrixT& c_ijkl(void);
43     /*returns the symmetric Cauchy stress tensor*/
44     virtual const dSymMatrixT& s_ij(void);
45     /*returns inverse(F_T)*/
46     virtual const dMatrixT& ThermalDeformationInverse(void);
47     virtual bool Need_F_last(void) const;
48
49     /*Heat generation*/
50     /** incremental heat generation */
51     virtual double IncrementalHeat(void);
52
53     /** this model does generate heat */
54     virtual bool HasIncrementalHeat(void) const { return true; };
55
56
57
58 private:
59     /*relaxation spectrum*/
60     /*returns tau_R/tauR0, the normalized strutral relaxation time
61     */
62     double StructuralRelaxationFunc(const double Temperature, const
63     double Sc);
64     /*returns tau_S/tauS0, normalized stress relaxation time*/
65     double StressRelaxationFunc(const double Temperature, const
66     double smag);
67
68     double ReptationRelaxationFuncT(const double Temperature);
69     double ReptationRelaxationFuncS(const double Temperature, const
70     double smag);
71
72     double capacityk(const double Temperature);
73     double capacityc(const double aTe0);
74     double falpha(const double ax);
75     double alphakf(const double lk, const double lmc);
76
77     /*Numerical integration of the evolution equations*/
78     void Compute_Tei(const dArrayT& eigs_n, const dArrayT& eigs,
79     const dArrayT& eigs_tr, const dArrayT& DScDe, const dArrayT&
80     stretche, const dArrayT& stressk, const dArrayT& backstressk
81     , const dArrayT& Tfk_n, dArrayT& Tfk, const dArrayT& avec,
82     const dArrayT& avec_tr);
83     void Compute_a(const dArrayT& eigs_n, const dArrayT& eigs, const
84     dArrayT& eigs_tr, const dArrayT& DScDe, const dArrayT&
85     stretche, const dArrayT& stressk, dArrayT& backstressk, const
86     dArrayT& Tfk_n, dArrayT& Tfk, dArrayT& avec, const dArrayT&
87     avec_tr);
88     void Compute_le(const ArrayT<dSymMatrixT>& C_vn, ArrayT<
89     dSymMatrixT>& C_v, const dArrayT& Tfk_n, dArrayT& Tfk, const
90     ArrayT<dSymMatrixT>& C_Nvn, ArrayT<dSymMatrixT>& C_Nv,
91     double& heat);
92     void Compute_Kneq(dMatrixT& Modulus1, dMatrixT& Modulus2);
93     int Reorder(dArrayT& EigValue, dArrayT RefValue, dMatrixT& EigVec
94     , dMatrixT& RefVec);
95
96
97 protected:
98     /*Gamma Function*/

```



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```

82      Gamma fGamma;
83
84      /*Reference Temperature*/
85      double fT0; /*Initial temperature*/
86      double fTg; /* glass transition temperature*/
87      double fC1, fC2; /*WLF constants*/
88
89
90      /*excess heat capacity cr-cg*/
91      double fdeltac0, fdeltac1;
92      double fcg0, fcg1;
93      /*shear moduli*/
94      double fmur; /*the rubbery, high temperature shear modulus*/
95      double fmug; /*the glassy, low temperature shear modulus*/
96
97      double fafrac, fBB, fT2;
98
99      /*low temp viscous flow*/
100     double fQS; /*activation volume for viscoplastic flow*/
101
102     /*discrete structural relaxation spectrum*/
103     int fNumR;
104     dArrayT ftimesR, fdalpha;
105     StringT fInputR;
106
107     /*discrete stress relaxation spectrum*/
108     int fNumS;
109     dArrayT ftimesS, fdmu;
110     StringT fInputS;
111
112     /*accessors for internal variables*/
113     dArrayT fTfk;
114     dArrayT fTfk_n;
115     double* fHeat;
116     double* fHeat_n;
117
118     /*workspaces*/
119     dArrayT fl_tr;
120     dArrayT fle;
121     dArrayT ftau;
122     dArrayT fstressk;
123
124     LAdMatrixT fKdel;
125     dArrayT fRdel;
126
127     LAdMatrixT fKAB;
128     LAdMatrixT fKAB2;
129     dArrayT fRes;
130
131     dArrayT fGA0;
132     dArrayT fGA1;
133     dArrayT fGA2;
134     dMatrixT fDAB;
135     dMatrixT fMat;
136
137     //new spectral decomp

```

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```

138 SpectralDecompT fSpectralDecompb;
139 SpectralDecompT fSpectralDecompRef;
140 SpectralDecompT fSpectralDecompTest;
141
142 /*new*/
143 dArrayT fEigs_last, fEigs_e_last, flatenth, fGB0, fGB1, fGB2,
    fG10, fG11, fG12, fG20, fG21, fG22;
144 dMatrixT fF3D_last, fDAB2;
145 dSymMatrixT fb_last;
146 LAdMatrixT fKrTe, fKTer, fInverse1, fInverse2, fInverse3, fKdel2
    , fK1, fK2;
147
148 /*hardening*/
149 dArrayT fEigs_a, fEigs_a_last, fEigs_a_dev, fbackstressk, fsback
    , ftau_BACK, fResa, favec, favec_tr, fflowstressk, fzeta,
    ftau_FLOW, fGC0, fGC1, fGC2, fG10temp, fG11temp, fG12temp,
    fG20temp, fG21temp, fG22temp;
150 double fHNratio, fbfrac;
151 LAdMatrixT fKaTe, fKTea, fKaAB, fKaAB2, fKar, fKra, fK11, fK12,
    fK21, fK22, fK11temp, fK12temp, fK21temp, fK22temp, fK3, fK4,
    fKrTe2, fKTer2, fK3temp, fK4temp, fInverse4, fInverse5;
152 dSymMatrixT fDtauDe_BACK;
153
154 double fshift, fBN, fQSb, falphac, falpha0, fmpower;
155 dArrayT ffrac1, ffrac2, ffrac3, ffrac4, fdeltac, fvolc,
    fNstressk, fNstress, ftau_N, fepseN, fepseNp, fEigs_v;
156 ArrayT<dSymMatrixT> fC_Nv;
157 ArrayT<dSymMatrixT> fC_Nvn;
158 dMatrixT fR;
159 dSymMatrixT fU, fUv, fa;
160 dArrayT fEigs_cvn;
161 dMatrixT fFv3D;
162 dMatrixT fEigvec1, fEigvec2, fEigMatb;
163 };
164 inline bool ET_multi::Need_F_last(void) const { return true; }
165 }
166 #endif

```

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Main file: ET-multi.cpp

```

1 #include "ET_multi.h"
2
3 #include "PotentialT.h"
4 #include "NeoHookean.h"
5 #include "ArrudaBoyce.h"
6
7 #include "ifstreamT.h"
8 #include "ExceptionT.h"
9 #include <cmath>
10 #include "ofstreamT.h"
11 #include <cstdlib>
12 #include "ParameterContainerT.h"
13
14 using namespace Tahoe;
15
16 const double loge = log10(exp(1.0));
17 const double pi = 2.0*acos(0);
18 const double third = 1.0/3.0;
19 const double small = 1.0e-7;
20 const double small2 = 1.0e-5;
21
22 /* *****
23  * Public
24  * *****
25  */
26
27 /* constructors */
28 ET_multi::ET_multi(void):
29 fSpectralDecomp(3),
30 fSpectralDecompRef(3),
31 fSpectralDecompTest(3),
32 ParameterInterfaceT("ET_multi")
33 {
34 }
35
36 double ET_multi::StructuralRelaxationFunc(const double Temperature,
37 const double Sc)
38 {
39     /* calculate entropy at Tg*/
40     double ScTg=fBB/fTg/( fdeltac0*log(fTg/fT2)+fdeltac1*(fTg-fT2));
41     double coeff = (fBB/Temperature/Sc-ScTg);
42     double itauR = exp(-coeff);
43     return(itauR);
44 }
45
46 double ET_multi::ReptationRelaxationFuncT(const double Temperature)
47 {
48     double coeff = fBN/Temperature;
49     double itauR = exp(-coeff);
50     return(itauR);
51 }
52
53 double ET_multi::ReptationRelaxationFuncS(const double Temperature,
54 const double smag)

```

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```

52 {
53     double itauS = 1.0;
54     if (smag > kSmall)
55     {
56         itauS *= sinh(fQSb/Temperature * smag);
57         itauS *= Temperature/(fQSb*smag);
58     }
59 }
60
61 return(itauS);
62 }
63
64 double ET_multi::StressRelaxationFunc(const double Temperature, const
    double smag)
65 {
66     double itauS = 1.0;
67     if (smag > kSmall)
68     {
69         itauS *= sinh(fQS/Temperature * smag);
70         itauS *= Temperature/(fQS*smag);
71     }
72 }
73
74 return(itauS);
75 }
76
77 double ET_multi::capacityk(const double Temperature)
78 {
79     double fcgT=fcg0+fcg1*Temperature;
80     return fcgT;
81 }
82
83 double ET_multi::capacityc(const double aTe0)
84 {
85     double fdeltacTf=fdeltac0+fdeltac1*aTe0;
86     return fdeltacTf;
87 }
88
89 double ET_multi::falpha(const double ax)
90 {
91     double alphafunc=(falpha0-falphac)/(ax-falphac)*pow(ax, -fmpower);
92     return alphafunc;
93 }
94
95 double ET_multi::alphakf(const double lk, const double lmc)
96 {
97     double alphak=acos(lk/lmc);
98     return alphak;
99 }
100
101 /* incremental heat generation */
102 double ET_multi::IncrementalHeat(void)
103 {
104     /* trust the "current" element is already loaded */
105     ElementCardT& element = CurrentElement();
106 }

```

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```

107 Load(element, CurrIP());
108     return(*fHeat);
109 }
110
111 const dMatrixT& ET_multi::ThermalDeformation_Inverse(void)
112 {
113     fF_T_inv.Identity(1.0);
114     return(fF_T_inv);
115 }
116
117 /* stresses */
118 const dSymMatrixT& ET_multi::s_ij(void)
119 {
120     double Tn = Compute_Temperature();
121     /*load the viscoelastic principal stretches from state variable
122     arraysand calculate NEQ part*/
122     ElementCardT& element = CurrentElement();
123     Load(element, CurrIP());
124     if (fFSMatSupport->RunState() == GlobalT::kFormRHS)
125     {
126         /*compute evolution equations for updated effective temperature
127         and viscous deformation tensor*/
127         Compute_le(fC_vn, fC_v, fTfk_n, fTfk, fC_Nvn, fC_Nv, *fHeat);
128         Store(element, CurrIP());
129     }
130
131     const dMatrixT& F = F_total();
132     if (NumSD() == 2)
133     {
134         fF3D[0] = F[0];
135         fF3D[1] = F[1];
136         fF3D[2] = 0.0;
137
138         fF3D[3] = F[2];
139         fF3D[4] = F[3];
140         fF3D[5] = 0.0;
141
142         fF3D[6] = 0.0;
143         fF3D[7] = 0.0;
144         fF3D[8] = 1.0;
145     }
146     else fF3D = F;
147
148     /*calculate EQ part of the stress*/
149     fb.MultAAT(fF3D);
150     fSpectralDecompSpat.SpectralDecomp_Jacobi(fb, false);
151     fEigs = fSpectralDecompSpat.Eigenvalues();
152     double J = sqrt(fEigs.Product());
153
154     fEigs_dev = fEigs;
155     fEigs_dev *= pow(J, -2.0*third);
156     fPot[0]->DevStress(fEigs_dev, ftau_EQ);
157
158     double precoeff=0.0;
159     for(int k=0; k<fNumR; k++)
160     {

```

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```

161     double phi=fdalpha[k];
162     precoeff += (fTfk[k]*phi);
163 }
164
165 precoeff /= fT0;
166 ftau_EQ *= precoeff;
167 ftau_EQ += fPot[0]->MeanStress(J);
168 fStress3D = fSpectralDecompSpat.EigsToRank2(ftau_EQ);
169
170 /* calc NEQ component of stress and moduli*/
171 for (int i = 0; i < fNumS; i++)
172 {
173     /* calc elastic stretch*/
174     fInverse.Inverse(fC_v[i]);
175     fbe.MultQBQT(fF3D, fInverse);
176     fSpectralDecompSpat.SpectralDecomp_Jacobi(fbe, false);
177     fEigs_e = fSpectralDecompSpat.Eigenvalues();
178
179     double Je = sqrt(fEigs_e.Product());
180     fEigs_dev = fEigs_e;
181     fEigs_dev *= pow(Je, -2.0*third);
182
183     fPot[1]->DevStress(fEigs_dev, ftau_NEQ);
184     ftau_NEQ *=fdmu[i];
185     fStress3D += fSpectralDecompSpat.EigsToRank2(ftau_NEQ);
186 }
187
188 if (NumSD() == 2)
189 {
190     fStress[0] = fStress3D[0];
191     fStress[1] = fStress3D[1];
192     fStress[2] = fStress3D[5];
193 }
194 else fStress = fStress3D;
195 const dMatrixT& Ftotal = F_total();
196 fStress *= 1.0/Ftotal.Det();
197
198 return fStress;
199 }
200 }
201
202 /* modulus */
203 const dMatrixT& ET_multi::c_ijkl(void)
204 {
205     double Tn = Compute_Temperature();
206
207     ElementCardT& element = CurrentElement();
208     Load(element, CurrIP());
209
210     Compute_Kneq(fDAB, fDAB2);
211
212     const dMatrixT& F = F_total();
213     if (NumSD() == 2)
214     {
215         fF3D[0] = F[0];
216         fF3D[1] = F[1];

```

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```

217     fF3D[2] = 0.0;
218
219     fF3D[3] = F[2];
220     fF3D[4] = F[3];
221     fF3D[5] = 0.0;
222
223     fF3D[6] = 0.0;
224     fF3D[7] = 0.0;
225     fF3D[8] = 1.0;
226 }
227 else fF3D = F;
228
229
230     fb.MultAAT(fF3D);
231     fSpectralDecompSpat.SpectralDecomp_Jacobi(fb, false);
232     fEigs = fSpectralDecompSpat.Eigenvalues();
233     const ArrayT<dArrayT>& eigenvectors=fSpectralDecompSpat.Eigenvectors
        ();
234
235
236     double J = sqrt(fEigs.Product());
237     fEigs_dev = fEigs;
238     fEigs_dev *= pow(J, -2.0*third);
239
240     double precoeff=0.0;
241     for(int k=0; k<fNumR; k++)
242     {
243         double phi=fdalpha[k];
244         precoeff += (fTfk[k]*phi);
245     }
246
247     precoeff /= fT0;
248
249     fPot[0]->DevStress(fEigs_dev, ftau_EQ);
250     double tau0 = ftau_EQ[0];
251     double tau1 = ftau_EQ[1];
252     double tau2 = ftau_EQ[2];
253
254     ftau_EQ *= precoeff;
255     ftau_EQ += fPot[0]->MeanStress(J);
256
257     fPot[0]->DevMod(fEigs_dev, fDtauDe_EQ);
258     fDtauDe_EQ *= precoeff;
259     fDtauDe_EQ += fPot[0]->MeanMod(J);
260
261     dSymMatrixT& Gamma = fDtauDe_EQ;
262     Gamma(0,0) -= 2.0*ftau_EQ[0];
263     Gamma(1,1) -= 2.0*ftau_EQ[1];
264     Gamma(2,2) -= 2.0*ftau_EQ[2];
265
266     for (int i = 0; i < fNumR; i++)
267     {
268         double phi=fdalpha[i];
269         double kneq10 = tau0/fT0*phi;
270         double kneq11 = tau1/fT0*phi;
271         double kneq12 = tau2/fT0*phi;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

272         Gamma(0,0) += kneq10*fDAB(i,0);
273         Gamma(0,1) += kneq10*fDAB(i,1);
274         Gamma(0,2) += kneq10*fDAB(i,2);
275         Gamma(1,0) += kneq11*fDAB(i,0);
276         Gamma(1,1) += kneq11*fDAB(i,1);
277         Gamma(1,2) += kneq11*fDAB(i,2);
278         Gamma(2,0) += kneq12*fDAB(i,0);
279         Gamma(2,1) += kneq12*fDAB(i,1);
280         Gamma(2,2) += kneq12*fDAB(i,2);
281     }
282
283     fModulus3D = fSpectralDecompSpat.EigsToRank4(Gamma);
284     double dl, coeff;
285
286     double l0 = fEigs[0];
287     double l1 = fEigs[1];
288     double l2 = fEigs[2];
289
290     dl = l0 - l1;
291     if (fabs(dl) > kSmall)
292         coeff = (ftau_EQ[0]*l1 - ftau_EQ[1]*l0)/dl;
293     else
294         coeff = 0.5*(Gamma(0,0)-Gamma(0,1))-ftau_EQ[0];
295     MixedRank4_3D(eigenvectors[0], eigenvectors[1], fModMat);
296     fModulus3D.AddScaled(2.0*coeff, fModMat);
297
298     dl = l0 - l2;
299     if (fabs(dl) > kSmall)
300         coeff = (ftau_EQ[0]*l2 - ftau_EQ[2]*l0)/dl;
301     else
302         coeff = 0.5*(Gamma(0,0)-Gamma(0,2))-ftau_EQ[2];
303     MixedRank4_3D(eigenvectors[0], eigenvectors[2], fModMat);
304     fModulus3D.AddScaled(2.0*coeff, fModMat);
305
306     dl = l1 - l2;
307     if (fabs(dl) > kSmall)
308         coeff = (ftau_EQ[1]*l2 - ftau_EQ[2]*l1)/dl;
309     else
310         coeff = 0.5*(Gamma(1,1)-Gamma(1,2))-ftau_EQ[1];
311     MixedRank4_3D(eigenvectors[1], eigenvectors[2], fModMat);
312     fModulus3D.AddScaled(2.0*coeff, fModMat);
313
314     for (int i = 0; i < fNumS; i++)
315     {
316
317         fInverse.Inverse(fC_v[i]);
318         fbe.MultQBQT(fF3D, fInverse);
319         fSpectralDecompSpat.SpectralDecomp_Jacobi(fbe, false);
320         fEigs_e = fSpectralDecompSpat.Eigenvalues();
321         double Je = sqrt(fEigs_e.Product());
322         fEigs_dev = fEigs_e;
323         fEigs_dev *= pow(Je, -2.0*third);
324
325         fInverse.Inverse(fC_vn[i]);
326         fb_tr.MultQBQT(fF3D, fInverse);
327

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

328 fSpectralDecompSpat.SpectralDecomp_Jacobi(fb_tr , false);
329 fEigs_tr = fSpectralDecompSpat.Eigenvalues();
330 const ArrayT<dArrayT>& eigenvectors_tr=fSpectralDecompSpat.
    Eigenvectors();
331
332 double mu = fdmu[i];
333 fPot[1]->DevStress(fEigs_dev , ftau_NEQ);
334 ftau_NEQ *= mu;
335
336 fPot[1]->DevMod(fEigs_dev , fDtauDe_NEQ);
337 fDtauDe_NEQ *=mu;
338
339 double a = 3*i;
340 fMat(0,0) = fDAB2(a,0);
341 fMat(0,1) = fDAB2(a,1);
342 fMat(0,2) = fDAB2(a,2);
343 fMat(1,0) = fDAB2(a+1,0);
344 fMat(1,1) = fDAB2(a+1,1);
345 fMat(1,2) = fDAB2(a+1,2);
346 fMat(2,0) = fDAB2(a+2,0);
347 fMat(2,1) = fDAB2(a+2,1);
348 fMat(2,2) = fDAB2(a+2,2);
349
350 fCalg(0,0) = fDtauDe_NEQ(0,0)*fMat(0,0) + fDtauDe_NEQ(0,1)*fMat
    (1,0) + fDtauDe_NEQ(0,2)*fMat(2,0);
351 fCalg(0,1) = fDtauDe_NEQ(0,0)*fMat(0,1) + fDtauDe_NEQ(0,1)*fMat
    (1,1) + fDtauDe_NEQ(0,2)*fMat(2,1);
352 fCalg(0,2) = fDtauDe_NEQ(0,0)*fMat(0,2) + fDtauDe_NEQ(0,1)*fMat
    (1,2) + fDtauDe_NEQ(0,2)*fMat(2,2);
353
354 fCalg(1,0) = fDtauDe_NEQ(1,0)*fMat(0,0) + fDtauDe_NEQ(1,1)*fMat
    (1,0) + fDtauDe_NEQ(1,2)*fMat(2,0);
355 fCalg(1,1) = fDtauDe_NEQ(1,0)*fMat(0,1) + fDtauDe_NEQ(1,1)*fMat
    (1,1) + fDtauDe_NEQ(1,2)*fMat(2,1);
356 fCalg(1,2) = fDtauDe_NEQ(1,0)*fMat(0,2) + fDtauDe_NEQ(1,1)*fMat
    (1,2) + fDtauDe_NEQ(1,2)*fMat(2,2);
357
358 fCalg(2,0) = fDtauDe_NEQ(2,0)*fMat(0,0) + fDtauDe_NEQ(2,1)*fMat
    (1,0) + fDtauDe_NEQ(2,2)*fMat(2,0);
359 fCalg(2,1) = fDtauDe_NEQ(2,0)*fMat(0,1) + fDtauDe_NEQ(2,1)*fMat
    (1,1) + fDtauDe_NEQ(2,2)*fMat(2,1);
360 fCalg(2,2) = fDtauDe_NEQ(2,0)*fMat(0,2) + fDtauDe_NEQ(2,1)*fMat
    (1,2) + fDtauDe_NEQ(2,2)*fMat(2,2);
361
362 fCalg(0,0) -= 2.0*ftau_NEQ[0];
363 fCalg(1,1) -= 2.0*ftau_NEQ[1];
364 fCalg(2,2) -= 2.0*ftau_NEQ[2];
365
366 fModulus3D += fSpectralDecompSpat.NonSymEigsToRank4(fCalg);
367
368
369 double dl_tr;
370
371 double l0_tr = fEigs_tr[0];
372 double l1_tr = fEigs_tr[1];
373 double l2_tr = fEigs_tr[2];

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

374
375
376     dl_tr = l0_tr - l1_tr;
377     if (fabs(dl_tr) > kSmall)
378         coeff = (ftau_NEQ[0]*l1_tr -ftau_NEQ[1]*l0_tr)/dl_tr;
379     else
380         coeff = 0.5*(fCAlg(0,0)-fCAlg(0,1))-ftau_NEQ[0];
381     MixedRank4_3D(eigenvectors_tr[0], eigenvectors_tr[1], fModMat);
382     fModulus3D.AddScaled(2.0*coeff, fModMat);
383
384     dl_tr = l0_tr - l2_tr;
385     if (fabs(dl_tr) > kSmall)
386         coeff =(ftau_NEQ[0]*l2_tr -ftau_NEQ[2]*l0_tr)/dl_tr;
387     else
388         coeff = 0.5*(fCAlg(0,0)-fCAlg(0,2))-ftau_NEQ[2];
389     MixedRank4_3D(eigenvectors_tr[0], eigenvectors_tr[2], fModMat);
390     fModulus3D.AddScaled(2.0*coeff, fModMat);
391
392     dl_tr = l1_tr - l2_tr;
393     if (fabs(dl_tr) > kSmall)
394         coeff  = (ftau_NEQ[1]*l2_tr - ftau_NEQ[2]*l1_tr)/dl_tr;
395     else
396         coeff = 0.5*(fCAlg(1,1)-fCAlg(1,2))-ftau_NEQ[1];
397     MixedRank4_3D(eigenvectors_tr[1], eigenvectors_tr[2], fModMat);
398     fModulus3D.AddScaled(2.0*coeff, fModMat);
399 }
400 if (NumSD() == 2)
401 {
402     fModulus[0] = fModulus3D[0];
403     fModulus[1] = fModulus3D[1];
404     fModulus[2] = fModulus3D[5];
405
406     fModulus[3] = fModulus3D[6];
407     fModulus[4] = fModulus3D[7];
408     fModulus[5] = fModulus3D[11];
409     fModulus[6] = fModulus3D[30];
410     fModulus[7] = fModulus3D[31];
411     fModulus[8] = fModulus3D[35];
412 }
413 else fModulus = fModulus3D;
414
415 const dMatrixT& Ftotal = F_total();
416 fModulus *= 1.0/Ftotal.Det();
417
418     return fModulus;
419 }
420
421 /* describe the parameters needed by the interface */
422 void ET_multi::DefineParameters(ParameterListT& list) const
423 {
424     /* inherited */
425     RGViscoelasticityT::DefineParameters(list);
426
427     /* common limit */
428     LimitT positive(0.0, LimitT::LowerInclusive);
429     LimitT zero(0.0, LimitT::Lower);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

430
431 ParameterT inittemp (ParameterT :: Double , "initial_temperature-T0");
432 ParameterT glasstemp (ParameterT :: Double , "glass_trans_temp-Tg");
433 ParameterT C1 (ParameterT :: Double , "WLF_C1");
434 ParameterT C2 (ParameterT :: Double , "WLF_C2");
435
436 ParameterT afrac (ParameterT :: Double , "fraction_a");
437 ParameterT BB (ParameterT :: Double , "B");
438 ParameterT deltac0 (ParameterT :: Double , "delta_c0");
439 ParameterT deltac1 (ParameterT :: Double , "delta_c1");
440 ParameterT cg0 (ParameterT :: Double , "c_g0");
441 ParameterT cg1 (ParameterT :: Double , "c_g1");
442 ParameterT T2 (ParameterT :: Double , "T2");
443
444 ParameterT bfrac (ParameterT :: Double , "fraction_b");
445 ParameterT HNratio (ParameterT :: Double , "HardeningMod_NeqMod");
446 ParameterT shift (ParameterT :: Double , "rep_relaxation_time_shift");
447
448 ParameterT BN (ParameterT :: Double , "temp_coeff_for_rep");
449 ParameterT QSb (ParameterT :: Double , "activation_vol_for_rep");
450 ParameterT alphac (ParameterT :: Double , "alphac");
451 ParameterT alpha0 (ParameterT :: Double , "alpha0");
452 ParameterT mpower (ParameterT :: Double , "mpower");
453
454 inittemp.AddLimit(positive);
455 glasstemp.AddLimit(positive);
456 C1.AddLimit(positive);
457 C2.AddLimit(positive);
458
459 afrac.AddLimit(positive);
460 BB.AddLimit(positive);
461 T2.AddLimit(positive);
462
463 bfrac.AddLimit(positive);
464 shift.AddLimit(positive);
465 HNratio.AddLimit(positive);
466
467 BN.AddLimit(positive);
468 QSb.AddLimit(positive);
469 alphac.AddLimit(positive);
470 alpha0.AddLimit(positive);
471 mpower.AddLimit(positive);
472
473 list.AddParameter(inittemp);
474 list.AddParameter(glasstemp);
475 list.AddParameter(C1);
476 list.AddParameter(C2);
477
478 list.AddParameter(afrac);
479 list.AddParameter(BB);
480 list.AddParameter(deltac0);
481 list.AddParameter(deltac1);
482 list.AddParameter(cg0);
483 list.AddParameter(cg1);
484 list.AddParameter(T2);
485

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

486     list.AddParameter(bfrac);
487     list.AddParameter(shift);
488     list.AddParameter(HNratio);
489
490     list.AddParameter(BN);
491     list.AddParameter(QSb);
492     list.AddParameter(alphac);
493     list.AddParameter(alpha0);
494     list.AddParameter(mpower);
495 }
496
497 /* information about subordinate parameter lists */
498 void ET_multi::DefineSubs(SubListT& sub_list) const
499 {
500     /* inherited */
501     FSSolidMatT::DefineSubs(sub_list);
502
503     sub_list.AddSub("ET_multi_eq_potential", ParameterListT::Once);
504     sub_list.AddSub("ET_multi_neq_potential", ParameterListT::Once);
505     sub_list.AddSub("ET_multi_backstress_potential", ParameterListT::
506         Once);
507
508     /* choice of viscosity */
509     sub_list.AddSub("ET_multi_structural_spectrum", ParameterListT::Once);
510     sub_list.AddSub("ET_multi_stress_spectrum", ParameterListT::Once);
511 }
512
513 /* a pointer to the ParameterInterfaceT of the given subordinate */
514 ParameterInterfaceT* ET_multi::NewSub(const StringT& name) const
515 {
516     LimitT zero(0.0, LimitT::Lower);
517     LimitT one(1.0, LimitT::Upper);
518     LimitT two(2, LimitT::LowerInclusive);
519     LimitT positive(0.0, LimitT::LowerInclusive);
520
521     PotentialT* pot = NULL;
522     if (name == "neo-hookean")
523         pot = new NeoHookean;
524     else if (name == "arruda-boyce")
525         pot = new ArrudaBoyce;
526     if (pot)
527         return pot;
528
529     /* inherited */
530     ParameterInterfaceT* sub = RGViscoelasticityT::NewSub(name);
531     if (sub)
532     {
533         return sub;
534     }
535     else if (name == "ET_multi_eq_potential")
536     {
537         ParameterContainerT* choice = new ParameterContainerT(name);
538         choice->SetListOrder(ParameterListT::Choice);
539         choice->SetSubSource(this);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

540     choice->SetDescription("temperature normalized network stiffness")
541     ;
542     /* choice of parameters */
543     choice->AddSub("arruda-boyce");
544     choice->AddSub("neo-hookean");
545     return(choice);
546 }
547 else if (name == "ET_multi_neq_potential")
548 {
549     ParameterContainerT* choice = new ParameterContainerT(name);
550     choice->SetListOrder(ParameterListT::Choice);
551     choice->SetSubSource(this);
552
553     /* choice of parameters */
554     choice->AddSub("neo-hookean");
555     return(choice);
556 }
557 else if (name == "ET_multi_backstress_potential")
558 {
559     ParameterContainerT* choice = new ParameterContainerT(name);
560     choice->SetListOrder(ParameterListT::Choice);
561     choice->SetSubSource(this);
562
563     /* choice of parameters */
564     choice->AddSub("arruda-boyce");
565     return(choice);
566 }
567 else if (name == "ET_multi_structural_spectrum")
568 {
569     ParameterContainerT* tauR = new ParameterContainerT(name);
570
571     ParameterT file(ParameterT::String, "user_input_file");
572
573     tauR->AddParameter(file);
574
575     return(tauR);
576 }
577 else if (name == "ET_multi_stress_spectrum")
578 {
579     ParameterContainerT* tauS = new ParameterContainerT(name);
580
581     ParameterT file(ParameterT::String, "user_input_file");
582     tauS->AddParameter(file);
583
584     ParameterT A(ParameterT::Double, "activation_volume");
585
586     A.AddLimit(zero);
587
588     tauS->AddParameter(A);
589
590     return(tauS);
591 }
592 }
593
594 void ET_multi::TakeParameterList(const ParameterListT& list)

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

595 {
596     const char caller[] = "ET_multi::TakeParameterList";
597     /* inherited */
598     RGViscoelasticityT::TakeParameterList(list);
599
600
601     fT0 = list.GetParameter("initial_temperature_T0");
602     fTg = list.GetParameter("glass_trans_temp_Tg");
603     fC1 = list.GetParameter("WLF_C1");
604     fC2 = list.GetParameter("WLF_C2");
605
606     fafrac = list.GetParameter("fraction_a");
607     fBB = list.GetParameter("B");
608     fdeltac0 = list.GetParameter("delta_c0");
609     fdeltac1 = list.GetParameter("delta_c1");
610     fcg0 = list.GetParameter("c_g0");
611     fcg1 = list.GetParameter("c_g1");
612     fT2 = list.GetParameter("T2");
613
614     fbfrac = list.GetParameter("fraction_b");
615     fshift = list.GetParameter("rep_relaxation_time_shift");
616     fHNratio = list.GetParameter("HardeningMod_NeqMod");
617
618     fBN = list.GetParameter("temp_coeff_for_rep");
619     fQSB = list.GetParameter("activation_vol_for_rep");
620     falphac = list.GetParameter("alphac");
621     falpha0 = list.GetParameter("alpha0");
622     fmpower = list.GetParameter("mpower");
623
624     fPot.Dimension(3);
625
626     const ParameterListT& eq_pot = list.GetListChoice(*this, "
        ET_multi_eq_potential");
627     if (eq_pot.Name() == "arruda-boyce")
628         fPot[0] = new ArrudaBoyce;
629     else if (eq_pot.Name() == "neo-hookean")
630         fPot[0] = new NeoHookean;
631     else
632         ExceptionT::GeneralFail(caller, "no such potential");
633     if (!fPot[0]) ExceptionT::GeneralFail(caller, "could not construct
        \"%s\"", eq_pot.Name().Pointer());
634     fPot[0] -> TakeParameterList(eq_pot);
635     /* set the rubbery modulus */
636     fmur = fPot[0] -> GetMu();
637
638     const ParameterListT& neq_pot = list.GetListChoice(*this, "
        ET_multi_neq_potential");
639     if (neq_pot.Name() == "neo-hookean")
640         fPot[1] = new NeoHookean;
641     else
642         ExceptionT::GeneralFail(caller, "no such potential");
643     if (!fPot[1]) ExceptionT::GeneralFail(caller, "could not construct
        \"%s\"", neq_pot.Name().Pointer());
644     fPot[1] -> TakeParameterList(neq_pot);
645     /* set the glassy modulus */
646     fmug = fmur + fPot[1] -> GetMu();

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

647  /*normalize the neq potential function by setting the shear modulus
        to one*/
648  fPot[1]→SetMu(1.0);
649
650  const ParameterListT& backstress_pot = list.GetListChoice(*this, "
        ET_multi_backstress_potential");
651  if (backstress_pot.Name() == "arruda-boyce")
652      fPot[2] = new ArrudaBoyce;
653  else
654      ExceptionT::GeneralFail(caller, "no such potential");
655  if (!fPot[2]) ExceptionT::GeneralFail(caller, "could not construct
        \"%s\"", backstress_pot.Name().Pointer());
656  fPot[2]→TakeParameterList(backstress_pot);
657  fPot[2]→SetMu(1.0);
658
659  const ParameterListT* tauR = list.List("ET_multi_structural_spectrum
        ");
660  if (tauR)
661  {
662      fInputR = tauR→GetParameter("user_input_file");
663  }
664
665  const ParameterListT* tauS = list.List("ET_multi_stress_spectrum");
666  if (tauS)
667  {
668      fInputS = tauS→GetParameter("user_input_file");
669
670      fQS = tauS→GetParameter("activation_volume");
671  }
672
673  /*read in structural relaxation spectrum*/
674  ifstreamT inR;
675  inR.open(fInputR);
676  if (!inR.good())
677      ExceptionT::DatabaseFail(caller,
678                              "could not open file \"%s\"",fInputR.
        Pointer());
679
680  inR >> fNumR;
681  ftimesR.Dimension(fNumR);
682  fdalpha.Dimension(fNumR);
683  for (int i=0; i<fNumR && inR.good(); i++)
684  {
685      inR >> ftimesR[i];
686      inR >> fdalpha[i];
687  }
688  inR.close();
689
690  /*read in stress relaxation spectrum*/
691  ifstreamT inS;
692  inS.open(fInputS);
693  if (!inS.good())
694      ExceptionT::DatabaseFail(caller,
695                              "could not open file \"%s\"",fInputS.
        Pointer());
696
697  inS >> fNumS;
698  ftimesS.Dimension(fNumS);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

697 fdmu.Dimension(fNumS);
698 for (int i=0; i<fNumS && inS.good(); i++)
699 {
700     inS >> ftimesS[i];
701     inS >> fdmu[i];
702 }
703 inS.close();
704
705 /*Dimension workspace*/
706 /*Dimension state variable accessors*/
707 fC_v.Dimension(fNumS);
708 fC_vn.Dimension(fNumS);
709 fC_Nv.Dimension(fNumS);
710 fC_Nvn.Dimension(fNumS);
711 fl_tr.Dimension(fNumS*3);
712 fle.Dimension(fNumS*3);
713 favec_tr.Dimension(fNumS*3);
714 favec.Dimension(fNumS*3);
715 fstressk.Dimension(fNumS*3);
716 fbackstressk.Dimension(fNumS*3);
717 fflowstressk.Dimension(fNumS*3);
718
719 int nsd = NumSD();
720 int ndof = 3;
721 int numstress = dSymMatrixT::NumValues(ndof);
722
723 /*Set pointer to internal state variables*/
724 fnstatev = 0;
725 fnstatev += numstress*fNumS; /*current C_v*/
726 fnstatev += numstress*fNumS; /*last C_vn*/
727 fnstatev += numstress*fNumS; /*current CN_v*/
728 fnstatev += numstress*fNumS; /*last CN_vn*/
729 fnstatev += fNumR; /*current Tfk*/
730 fnstatev += fNumR; /*last Tfk*/
731 fnstatev ++; /*fHeat incremental heat calculated from
732 dotCv and dotTfk*/
733 fnstatev ++; /*fHeat_n last incremental heat
734 calculated from dotCv and dotTfk*/
735
736 fstatev.Dimension(fnstatev);
737 double* pstatev = fstatev.Pointer();
738
739 /* assign pointers to current and last blocks of state variable array
740 */
741 for (int i = 0; i < fNumS; i++)
742 {
743     fC_v[i].Set(ndof, pstatev);
744     pstatev += numstress;
745     fC_vn[i].Set(ndof, pstatev);
746     pstatev += numstress;
747 }
748 for (int i = 0; i < fNumS; i++)
749 {
750     fC_Nv[i].Set(ndof, pstatev);
751     pstatev += numstress;
752     fC_Nvn[i].Set(ndof, pstatev);

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

750     pstatev += numstress;
751 }
752
753 fTfk.Alias(fNumR, pstatev);
754 pstatev += fNumR;
755 fTfk_n.Alias(fNumR, pstatev);
756 pstatev += fNumR;
757
758 fHeat = pstatev;
759 pstatev++;
760 fHeat_n = pstatev;
761 pstatev++;
762
763 fF_M.Dimension(nsd);
764 fF_T_inv.Dimension(nsd);
765 fF_T_inv.Identity(1.0);
766
767 fF3D.Dimension(ndof);
768 fInverse.Dimension(ndof);
769 fF3D_last.Dimension(ndof);
770 fFv3D.Dimension(ndof);
771 fU.Dimension(ndof);
772 fR.Dimension(ndof);
773 fa.Dimension(ndof);
774 fEigvec1.Dimension(ndof);
775 fEigvec2.Dimension(ndof);
776 fEigMatb.Dimension(ndof);
777
778 fb.Dimension(ndof);
779 fbe.Dimension(ndof);
780 fb_tr.Dimension(ndof);
781 fb_last.Dimension(ndof);
782
783 fEigs_dev.Dimension(ndof);
784 fEigs.Dimension(ndof);
785 fEigs_e.Dimension(ndof);
786 fEigs_tr.Dimension(ndof);
787 fEigs_last.Dimension(ndof);
788 fEigs_e_last.Dimension(ndof);
789 fEigs_a.Dimension(ndof);
790 fEigs_a_last.Dimension(ndof);
791 fEigs_a_dev.Dimension(ndof);
792
793
794 ftau_EQ.Dimension(ndof);
795 ftau_NEQ.Dimension(ndof);
796 ftau.Dimension(ndof);
797 ftau_BACK.Dimension(ndof);
798 fsback.Dimension(ndof);
799 ftau_FLOW.Dimension(ndof);
800 fzeta.Dimension(ndof);
801
802 fStress.Dimension(NumSD());
803 fStress3D.Dimension(ndof);
804
805 fDtauDe_EQ.Dimension(ndof);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

806 fDtauDe_NEQ . Dimension ( ndof ) ;
807 fDtauDe_BACK . Dimension ( ndof ) ;
808
809 fKdel . Dimension ( fNumR ) ;
810 fRdel . Dimension ( fNumR ) ;
811 flatenth . Dimension ( fNumR ) ;
812 fGB0 . Dimension ( fNumR ) ;
813 fGB1 . Dimension ( fNumR ) ;
814 fGB2 . Dimension ( fNumR ) ;
815 fG10 . Dimension ( fNumR ) ;
816 fG11 . Dimension ( fNumR ) ;
817 fG12 . Dimension ( fNumR ) ;
818 fG10temp . Dimension ( fNumR ) ;
819 fG11temp . Dimension ( fNumR ) ;
820 fG12temp . Dimension ( fNumR ) ;
821
822 fKAB . Dimension ( fNumS * 3 ) ;
823 fKAB2 . Dimension ( fNumS * 3 ) ;
824 fKaAB . Dimension ( fNumS * 3 ) ;
825 fKaAB2 . Dimension ( fNumS * 3 ) ;
826 fRes . Dimension ( fNumS * 3 ) ;
827 fResa . Dimension ( fNumS * 3 ) ;
828
829 fGA0 . Dimension ( fNumS * 3 ) ;
830 fGA1 . Dimension ( fNumS * 3 ) ;
831 fGA2 . Dimension ( fNumS * 3 ) ;
832 fG20 . Dimension ( fNumS * 3 ) ;
833 fG21 . Dimension ( fNumS * 3 ) ;
834 fG22 . Dimension ( fNumS * 3 ) ;
835 fGC0 . Dimension ( fNumS * 3 ) ;
836 fGC1 . Dimension ( fNumS * 3 ) ;
837 fGC2 . Dimension ( fNumS * 3 ) ;
838 fG20temp . Dimension ( fNumS * 3 ) ;
839 fG21temp . Dimension ( fNumS * 3 ) ;
840 fG22temp . Dimension ( fNumS * 3 ) ;
841
842 fCAlg . Dimension ( 3 ) ;
843 fMat . Dimension ( 3 ) ;
844 fDAB . Dimension ( fNumR , 3 ) ;
845 fDAB2 . Dimension ( fNumS * 3 , 3 ) ;
846
847 fKrTe . Dimension ( 3 * fNumS , fNumR ) ;
848 fKTer . Dimension ( fNumR , 3 * fNumS ) ;
849 fKaTe . Dimension ( 3 * fNumS , fNumR ) ;
850 fKTea . Dimension ( fNumR , 3 * fNumS ) ;
851 fKar . Dimension ( 3 * fNumS , 3 * fNumS ) ;
852 fKra . Dimension ( 3 * fNumS , 3 * fNumS ) ;
853 fInverse1 . Dimension ( fNumR , 3 * fNumS ) ;
854 fInverse2 . Dimension ( fNumR , 3 * fNumS ) ;
855 fInverse3 . Dimension ( 3 * fNumS , 3 * fNumS ) ;
856 fInverse4 . Dimension ( fNumR , 3 * fNumS ) ;
857 fInverse5 . Dimension ( 3 * fNumS , fNumR ) ;
858 fKdel2 . Dimension ( fNumR , fNumR ) ;
859 fK1 . Dimension ( fNumR , fNumR ) ;
860 fK2 . Dimension ( 3 * fNumS , 3 * fNumS ) ;
861 fK3 . Dimension ( fNumR , fNumR ) ;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

862     fK4.Dimension(3*fNumS,3*fNumS);
863     fK3temp.Dimension(fNumR,fNumR);
864     fK4temp.Dimension(3*fNumS,3*fNumS);
865     fKrTe2.Dimension(3*fNumS,fNumR);
866     fKTer2.Dimension(fNumR,3*fNumS);
867     fK11.Dimension(3*fNumS,3*fNumS);
868     fK11temp.Dimension(3*fNumS,3*fNumS);
869     fK12.Dimension(3*fNumS,3*fNumS);
870     fK12temp.Dimension(3*fNumS,3*fNumS);
871     fK21.Dimension(fNumR,3*fNumS);
872     fK21temp.Dimension(fNumR,3*fNumS);
873     fK22.Dimension(fNumR,fNumR);
874     fK22temp.Dimension(fNumR,fNumR);
875
876     fModulus3D.Dimension(dSymMatrixT::NumValues(ndof));
877     fModMat.Dimension(dSymMatrixT::NumValues(ndof));
878     fModulus.Dimension(dSymMatrixT::NumValues(NumSD()));
879
880     ffrac1.Dimension(fNumR);
881     ffrac2.Dimension(fNumR);
882     ffrac3.Dimension(fNumR);
883     ffrac4.Dimension(fNumR);
884     fdeltac.Dimension(fNumR);
885     fNstressk.Dimension(fNumS*3);
886     fNstress.Dimension(ndof);
887     ftau_N.Dimension(ndof);
888     fepseN.Dimension(ndof);
889     fepseNp.Dimension(ndof);
890     fEigs_v.Dimension(ndof);
891     fEigs_cvn.Dimension(ndof);
892 }
893 }
894
895 /* initializes history variable */
896 void ET_multi::PointInitialize(void)
897 {
898     /* allocate element storage */
899     const double Temp = Compute_Temperature();
900     ElementCardT& element = CurrentElement();
901     if (CurrIP() == 0)
902     {
903         ElementCardT& element = CurrentElement();
904         element.Dimension(0, fnstatev*NumIP());
905
906         /* initialize internal variables to identity*/
907         for (int ip = 0; ip < NumIP(); ip++)
908         {
909             /* load state variables */
910             Load(element, ip);
911             for (int k = 0; k < fNumS; k++)
912             {
913                 fC_v[k].Identity();
914                 fC_vn[k].Identity();
915             }
916             for (int k = 0; k < fNumS; k++)
917             {

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

918         fC_Nv[k].Identity();
919         fC_Nvn[k].Identity();
920     }
921     fTfk = Temp;
922     fTfk_n = Temp;
923     *fHeat = 0.0;
924     *fHeat_n = 0.0;
925
926     /* write to storage */
927     Store(element, ip);
928 }
929 }
930 }
931
932 void ET_multi::UpdateHistory(void)
933 {
934     /* current element */
935     ElementCardT& element = CurrentElement();
936     for (int ip = 0; ip < NumIP(); ip++)
937     {
938         /* load state variables */
939         Load(element, ip);
940
941         /* assign "current" to "last" */
942         for (int k = 0; k < fNumS; k++)
943         {
944             fC_vn[k] = fC_v[k];
945         }
946         for (int k = 0; k < fNumS; k++)
947         {
948             fC_Nvn[k] = fC_Nv[k];
949         }
950         fTfk_n = fTfk;
951         *fHeat_n = *fHeat;
952
953         /* write to storage */
954         Store(element, ip);
955     }
956 }
957
958 void ET_multi::ResetHistory(void)
959 {
960     /* current element */
961     ElementCardT& element = CurrentElement();
962     for (int ip = 0; ip < NumIP(); ip++)
963     {
964         /* load state variables */
965         Load(element, ip);
966
967         /* assign "last" to "current" */
968         for (int k = 0; k < fNumS; k++)
969         {
970             fC_v[k] = fC_vn[k];
971         }
972         for (int k = 0; k < fNumS; k++)
973     {

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

974         fC_Nv[k] = fC_Nvn[k];
975     }
976     fTfk = fTfk_n;
977     *fHeat = *fHeat_n;
978
979     /* write to storage */
980     Store(element, ip);
981 }
982 }
983
984 void ET_multi::Compute_Tei(const dArrayT& eigs_n, const dArrayT& eigs,
985     const dArrayT& eigs_tr, const dArrayT& DScDe, const dArrayT&
986     stretche, const dArrayT& stressk, const dArrayT& backstressk, const
987     dArrayT& Tfk_n, dArrayT& Tfk, const dArrayT& avec, const dArrayT&
988     avec_tr)
989 {
990     double ctol = 1.0e-9;
991     /*time step*/
992     double dt = fFSMatSupport->TimeStep();
993     /*current temperature*/
994     double Tn = Compute_Temperature();
995     const double Tp = Compute_Temperature_last();
996     double energy=0.0;
997     double plasticw = 0.0;
998     double Tfn = 0.0;
999     double Tfp = 0.0;
1000
1001     double l0 = eigs[0];
1002     double l1 = eigs[1];
1003     double l2 = eigs[2];
1004     double J = sqrt(l0*l1*l2);
1005     double iJ = 1.0/J;
1006
1007     double l0_last = eigs_n[0];
1008     double l1_last = eigs_n[1];
1009     double l2_last = eigs_n[2];
1010     double Jp = sqrt(l0_last*l1_last*l2_last);
1011
1012     double depsilon0=(0.5*log(l0)-0.5*log(l0_last));
1013     double depsilon1=(0.5*log(l1)-0.5*log(l1_last));
1014     double depsilon2=(0.5*log(l2)-0.5*log(l2_last));
1015
1016     double prefactor = 1.0;
1017     double ScTn = 0.0;
1018     double prefactor2 = 1.0;
1019     for(int k=0; k<fNumR; k++)
1020     {
1021         double phi=fdalpha[k];
1022         fdeltac[k]=capacityc(Tfk[k]);
1023         ffrac1[k]=1.0/fdeltac[k];
1024         ffrac2[k]=fafrac/fdeltac[k];
1025         ffrac3[k]=fbfrac/fdeltac[k];
1026         Tfn += (Tfk[k]*phi);
1027         Tfp += (Tfk_n[k]*phi);
1028         ScTn += fdeltac0*phi*log(Tfk[k]/fT2)+fdeltac1*phi*(Tfk[k]-fT2);
1029         prefactor += phi*fafrac*(Tn/Tfk[k]-1.0);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1026     prefactor2 += phi*fbfrac*(Tn/Tfk[k]-1.0);
1027     flatenth[k] = Tfk[k]/fT0*(DScDe[0]*depsilon0+DScDe[1]*depsilon1
        +DScDe[2]*depsilon2);
1028 }
1029
1030 /* calculate smag and plastic power*/
1031 const double* pstress = stressk.Pointer();
1032 const double* ple = stretche.Pointer();
1033 const double* pltr = eigs_tr.Pointer();
1034 const double* pbackstress = backstressk.Pointer();
1035 const double* pavectr = avec_tr.Pointer();
1036 const double* pavec = avec.Pointer();
1037
1038 plasticw =0.0;
1039 double backw=0.0;
1040 double tau0 = 0.0;
1041 double tau1 = 0.0;
1042 double tau2 = 0.0;
1043 for(int k=0; k<fNumS; k++)
1044 {
1045     double tauk0 = *pstress++;
1046     double tauk1 = *pstress++;
1047     double tauk2 = *pstress++;
1048
1049     double sbackk0 = *pbackstress++;
1050     double sbackk1 = *pbackstress++;
1051     double sbackk2 = *pbackstress++;
1052
1053     tau0 += tauk0;
1054     tau1 += tauk1;
1055     tau2 += tauk2;
1056
1057     double epse0 = 0.5*log(*ple++);
1058     double epse1 = 0.5*log(*ple++);
1059     double epse2 = 0.5*log(*ple++);
1060
1061     double epstr0 = 0.5*log(*pltr++);
1062     double epstr1 = 0.5*log(*pltr++);
1063     double epstr2 = 0.5*log(*pltr++);
1064
1065     double epse0N = 0.5*log(*pavec++);
1066     double epse1N = 0.5*log(*pavec++);
1067     double epse2N = 0.5*log(*pavec++);
1068
1069     double epstr0N = 0.5*log(*pavectr++);
1070     double epstr1N = 0.5*log(*pavectr++);
1071     double epstr2N = 0.5*log(*pavectr++);
1072
1073     double plasticwk = -(tauk0*(epse0-epstr0)+ tauk1*(epse1-epstr1)
        + tauk2*(epse2-epstr2));
1074     plasticw += plasticwk;
1075
1076     double backwk = sbackk0*(epse0N-epstr0N)+ sbackk1*(epse1N-
        epstr1N) + sbackk2*(epse2N-epstr2N);
1077     backw += backwk;
1078 }

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1079 fEigs_dev = eigs;
1080 fEigs_dev *= pow(J, -2.0*third);
1081 energy = fPot[0]->Energy(fEigs_dev, J);
1082 energy -= fPot[0]->MeanEnergy(J);
1083 ScTn -= energy/fT0;
1084 double itaubar = StructuralRelaxationFunc(Tn, ScTn);
1085
1086 double temp = 0.0;
1087 for(int k=0; k<fNumR; k++)
1088 {
1089     double itauRk = itaubar/ftimesR[k];
1090     /*residual*/
1091     fRdel[k] = Tfk[k] - Tfk_n[k] + dt*itauRk*(Tfk[k]-Tn)-ffrac1[k]*
1092         flatenth[k]-ffrac2[k]*plasticw+ffrac3[k]*backw;
1093     temp += fRdel[k]*fRdel[k];
1094 }
1095
1096 double tol = sqrt(temp);
1097 int maxiter=20;
1098 int iter = 0;
1099
1100 while (tol > ctol && iter < maxiter)
1101 {
1102     iter++;
1103     fKdel = 0.0;
1104     for(int k=0; k<fNumR; k++)
1105     {
1106         /*stiffness matrix*/
1107         double itauRk = itaubar/ftimesR[k];
1108         fKdel(k,k) = 1.0 + dt*itauRk-ffrac1[k]*flatenth[k]/Tfk[k]+
1109             fafrac*ffrac1[k]*ffrac1[k]*fdeltac1*plasticw+ffrac1[k]*
1110             ffrac1[k]*fdeltac1*flatenth[k]-fbfrac*ffrac1[k]*ffrac1[k]
1111             ]*fdeltac1*backw;
1112         for (int l=0; l<fNumR; l++)
1113         {
1114             double phi=fdalpha[l];
1115             double DtaubarDTfk = -fBB/Tn/ScTn/ScTn*(phi*fdeltac0/Tfk
1116                 [l]+fdeltac1*phi);
1117             fKdel(k,l) += -dt*itauRk*(Tfk[k]-Tn)*DtaubarDTfk;
1118         }
1119     }
1120
1121     /*Solve for update*/
1122     fKdel.LinearSolve(fRdel);
1123     Tfk -= fRdel;
1124
1125     /*calculate residual*/
1126     prefactor = 1.0;
1127     ScTn = 0.0;
1128     prefactor2 = 1.0;
1129     Tfn =0.0;
1130     for(int k=0; k<fNumR; k++)
1131     {
1132         double phi=fdalpha[k];

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1129         fdeltac[k]=capacityc(Tfk[k]);
1130         ffrac1[k]=1.0/fdeltac[k];
1131         ffrac2[k]=fafrac/fdeltac[k];
1132         ffrac3[k]=fbfrac/fdeltac[k];
1133         Tfn += (Tfk[k]*phi);
1134         ScTn += fdeltac0*phi*log(Tfk[k]/fT2)+fdeltac1*phi*(Tfk[k]-
            fT2);
1135         prefactor += phi*fafrac*(Tn/Tfk[k]-1.0);
1136         prefactor2 += phi*fbfrac*(Tn/Tfk[k]-1.0);
1137         flatenth[k] = Tfk[k]/fT0*(DScDe[0]*depsilon0+DScDe[1]*
            depsilon1+DScDe[2]*depsilon2);
1138     }
1139
1140     fEigs_dev = eigs;
1141     fEigs_dev *= pow(J, -2.0*third);
1142     energy = fPot[0]->Energy(fEigs_dev, J);
1143     energy -= fPot[0]->MeanEnergy(J);
1144     ScTn -= energy/fT0;
1145
1146     itaubar = StructuralRelaxationFunc(Tn, ScTn);
1147     double temp = 0.0;
1148     for(int k=0; k<fNumR; k++)
1149     {
1150         double itauRk = itaubar/ftimesR[k];
1151         /*residual*/
1152         fRdel[k] = Tfk[k] - Tfk_n[k] + dt*itauRk*(Tfk[k]-Tn)-ffrac1[
            k]*flatenth[k]-ffrac2[k]*plasticw+ffrac3[k]*backw;
1153         temp += fRdel[k]*fRdel[k];
1154     }
1155
1156     tol = sqrt(temp);
1157
1158 }
1159
1160 if (iter >= maxiter)
1161 {
1162     cout<<"\n Number of iteration exceeds maximum. tol: "<<tol;
1163     cout<< "\nelem: "<<CurrElementNumber()<<"\nIP: "<<CurrIP();
1164     ExceptionT::GeneralFail("ET_multi::Compute_Tei", "number of
        iteration exceeds maximum");
1165 }
1166 }
1167
1168 void ET_multi::Compute_Kneq(dMatrixT& Modulus1, dMatrixT& Modulus2)
1169 {
1170     const double dt = fFSMatSupport->TimeStep();
1171
1172     /*temperature and temperature step*/
1173     const double Tn = Compute_Temperature();
1174     const double Tp = Compute_Temperature_last();
1175
1176     const dMatrixT& F_last = F_total_last();
1177     if (NumSD() == 2)
1178     {
1179         fF3D_last[0] = F_last[0];
1180         fF3D_last[1] = F_last[1];

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1181     fF3D_last[2] = 0.0;
1182
1183     fF3D_last[3] = F_last[2];
1184     fF3D_last[4] = F_last[3];
1185     fF3D_last[5] = 0.0;
1186
1187     fF3D_last[6] = 0.0;
1188     fF3D_last[7] = 0.0;
1189     fF3D_last[8] = 1.0;
1190 }
1191 else fF3D_last = F_last;
1192
1193     fb_last.MultAAT(fF3D_last);
1194 fSpectralDecompSpat.SpectralDecomp_Jacobi(fb_last, false);
1195 fEigs_last = fSpectralDecompSpat.Eigenvalues();
1196
1197     double l0_last = fEigs_last[0];
1198     double l1_last = fEigs_last[1];
1199     double l2_last = fEigs_last[2];
1200     double Jp = sqrt(l0_last*l1_last*l2_last);
1201
1202     const dMatrixT& F = F_total();
1203     if (NumSD() == 2)
1204     {
1205         fF3D[0] = F[0];
1206         fF3D[1] = F[1];
1207         fF3D[2] = 0.0;
1208
1209         fF3D[3] = F[2];
1210         fF3D[4] = F[3];
1211         fF3D[5] = 0.0;
1212
1213         fF3D[6] = 0.0;
1214         fF3D[7] = 0.0;
1215         fF3D[8] = 1.0;
1216     }
1217     else fF3D = F;
1218
1219     fb.MultAAT(fF3D);
1220 fSpectralDecompSpat.SpectralDecomp_Jacobi(fb, false);
1221 fEigs = fSpectralDecompSpat.Eigenvalues();
1222     double J = sqrt(fEigs.Product());
1223     double iJ = 1.0/J;
1224
1225     fEigs_dev = fEigs;
1226     fEigs_dev *= pow(J, -2.0*third);
1227     fPot[0]->DevStress(fEigs_dev, ftau_EQ);
1228
1229     double l0 = fEigs[0];
1230     double l1 = fEigs[1];
1231     double l2 = fEigs[2];
1232
1233     double l0f = ftau_EQ[0]/fT0;
1234     double l1f = ftau_EQ[1]/fT0;
1235     double l2f = ftau_EQ[2]/fT0;
1236

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1237     fPot[0] -> DevMod( fEigs_dev , fDtauDe_EQ );
1238
1239     double depsilon0=(0.5*log(10)-0.5*log(10_last));
1240     double depsilon1=(0.5*log(11)-0.5*log(11_last));
1241     double depsilon2=(0.5*log(12)-0.5*log(12_last));
1242
1243     double ScTn = 0.0;
1244     double prefactor = 1.0;
1245     double prefactor2 = 1.0;
1246     double Tfn = 0.0;
1247     double Tfnp = 0.0;
1248
1249     for(int k=0; k<fNumR; k++)
1250     {
1251         double phi=fdalpha[k];
1252         fdeltac[k]=capacityc(fTfk[k]);
1253         ffrac1[k]=1.0/fdeltac[k];
1254         ffrac2[k]=fafrac/fdeltac[k];
1255         ffrac3[k]=fbfrac/fdeltac[k];
1256         Tfn += (fTfk[k]*phi);
1257         Tfnp += (fTfk_n[k]*phi);
1258         ScTn += fdeltac0*phi*log(fTfk[k]/fT2)+fdeltac1*phi*(fTfk[k]-fT2)
1259         ;
1260         prefactor += phi*fafrac*(Tn/fTfk[k]-1.0);
1261         prefactor2 += phi*fbfrac*(Tn/fTfk[k]-1.0);
1262         flatenth[k] = fTfk[k]/fT0*(ftau_EQ[0]*depsilon0+ftau_EQ[1]*
1263             depsilon1+ftau_EQ[2]*depsilon2);
1264     }
1265
1266     fEigs_dev = fEigs;
1267     fEigs_dev *= pow(J, -2.0*third);
1268     double energy = fPot[0]->Energy(fEigs_dev, J);
1269     energy -= fPot[0]->MeanEnergy(J);
1270     ScTn -= energy/fT0;
1271
1272     double* pltr = fl_tr.Pointer();
1273     double* ple = fle.Pointer();
1274     double* pstress = fstressk.Pointer();
1275     double* pbackstress = fbackstressk.Pointer();
1276     double* pflowstress = fflowstressk.Pointer();
1277     double* pavectr = favec_tr.Pointer();
1278     double* pavec = favec.Pointer();
1279     double* pNstress = fNstressk.Pointer();
1280
1281     ftau = 0.0;
1282     fsback = 0.0;
1283     fzeta=0.0;
1284     fNstress=0.0;
1285     double backw=0.0;
1286     double plasticw = 0.0;
1287     /* calculate trial state */
1288     for (int i = 0; i < fNumS; i++)
1289     {
1290         fInverse.Inverse(fC_vn[i]);
1291         fb_tr.MultQBQT(fF3D, fInverse);
1292         fSpectralDecompSpat.SpectralDecomp_Jacobi(fb_tr, false);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1291     fEigs_tr = fSpectralDecompSpat.Eigenvalues();
1292     *pltr++ = fEigs_tr[0];
1293     *pltr++ = fEigs_tr[1];
1294     *pltr++ = fEigs_tr[2];
1295     double epstr0 = 0.5*log(fEigs_tr[0]);
1296     double epstr1 = 0.5*log(fEigs_tr[1]);
1297     double epstr2 = 0.5*log(fEigs_tr[2]);
1298
1299     /* initial condition be = btr */
1300     fInverse.Inverse(fC_v[i]);
1301     fbe.MultQBQT(fF3D, fInverse);
1302     fSpectralDecompSpat.SpectralDecomp_Jacobi(fbe, false);
1303
1304     fEigvec2=fSpectralDecompSpat.Eigenmatrix();
1305
1306     fEigs_e = fSpectralDecompSpat.Eigenvalues();
1307     *ple++ = fEigs_e[0];
1308     *ple++ = fEigs_e[1];
1309     *ple++ = fEigs_e[2];
1310     double epse0 = 0.5*log(fEigs_e[0]);
1311     double epse1 = 0.5*log(fEigs_e[1]);
1312     double epse2 = 0.5*log(fEigs_e[2]);
1313
1314     double Je = sqrt(fEigs_e.Product());
1315     fEigs_dev = fEigs_e;
1316     fEigs_dev *= pow(Je, -2.0*third);
1317
1318     /* calculate total neq stress */
1319     fPot[1]->DevStress(fEigs_dev, ftau_NEQ);
1320
1321     ftau_NEQ *=fdmu[i];
1322
1323     *pstress++ =ftau_NEQ[0];
1324     *pstress++ =ftau_NEQ[1];
1325     *pstress++ =ftau_NEQ[2];
1326
1327     ftau += ftau_NEQ;
1328
1329     fInverse.Inverse(fC_Nvn[i]);
1330     fSpectralDecompSpat.PolarDecomp(fF3D, fR, fU, false);
1331
1332     fSpectralDecompSpat.SpectralDecomp_Jacobi(fC_vn[i], false);
1333     fEigs_cvn = fSpectralDecompSpat.Eigenvalues();
1334     fEigs_cvn[0]=sqrt(fEigs_cvn[0]); fEigs_cvn[1]=sqrt(fEigs_cvn[1]);
1335     fEigs_cvn[2]=sqrt(fEigs_cvn[2]);
1336     fU=fSpectralDecompSpat.EigsToRank2(fEigs_cvn);
1337
1338     dMatrixT U; U.Dimension(3);
1339     fU.ToMatrix(U);
1340     fFv3D.MultAB(fR,U);
1341     fa.MultQBQT(fFv3D, fInverse);
1342
1343     fSpectralDecompSpat.SpectralDecomp_Jacobi(fa, false);
1344     fEigs_a_last = fSpectralDecompSpat.Eigenvalues();
1345     fEigvec1 = fSpectralDecompSpat.Eigenmatrix();

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1346     *pavctr++ = fEigs_a_last[0];
1347     *pavctr++ = fEigs_a_last[1];
1348     *pavctr++ = fEigs_a_last[2];
1349
1350     double epstr0N = 0.5*log(fEigs_a_last[0]);
1351     double epstr1N = 0.5*log(fEigs_a_last[1]);
1352     double epstr2N = 0.5*log(fEigs_a_last[2]);
1353
1354     fInverse.Inverse(fC_Nv[i]);
1355     fSpectralDecompSpat.PolarDecomp(fF3D, fR, fU, false);
1356
1357     fSpectralDecompSpat.SpectralDecomp_Jacobi(fC_v[i], false);
1358     fEigs_cvn = fSpectralDecompSpat.Eigenvalues();
1359     fEigs_cvn[0]=sqrt(fEigs_cvn[0]);fEigs_cvn[1]=sqrt(fEigs_cvn[1]);
1360     fEigs_cvn[2]=sqrt(fEigs_cvn[2]);
1361     fU=fSpectralDecompSpat.EigsToRank2(fEigs_cvn);
1362
1363     fU.ToMatrix(U);
1364     fFv3D.MultAB(fR,U);
1365     fa.MultQBQT(fFv3D, fInverse);
1366
1367     fSpectralDecompSpat.SpectralDecomp_Jacobi(fa, false);
1368     fEigs_a = fSpectralDecompSpat.Eigenvalues();
1369     fEigvec1 = fSpectralDecompSpat.Eigenmatrix();
1370     *pavec++ = fEigs_a[0];
1371     *pavec++ = fEigs_a[1];
1372     *pavec++ = fEigs_a[2];
1373
1374     double epse0N = 0.5*log(fEigs_a[0]);
1375     double epse1N = 0.5*log(fEigs_a[1]);
1376     double epse2N = 0.5*log(fEigs_a[2]);
1377
1378     double Ja = sqrt(fEigs_a.Product());
1379     fEigs_a_dev = fEigs_a;
1380     fEigs_a_dev *= pow(Ja, -2.0*third);
1381
1382     fPot[2]->DevStress(fEigs_a_dev, ftau_BACK, fT0);
1383     ftau_BACK *= fHNratio*fdmu[i];
1384
1385     *pbackstress++ =ftau_BACK[0];
1386     *pbackstress++ =ftau_BACK[1];
1387     *pbackstress++ =ftau_BACK[2];
1388
1389     fsback += ftau_BACK;
1390
1391     ftau_FLOW[0] = prefactor*ftau_NEQ[0]-prefactor2*ftau_BACK[0];
1392     ftau_FLOW[1] = prefactor*ftau_NEQ[1]-prefactor2*ftau_BACK[1];
1393     ftau_FLOW[2] = prefactor*ftau_NEQ[2]-prefactor2*ftau_BACK[2];
1394
1395     *pflowstress++ = ftau_FLOW[0];
1396     *pflowstress++ = ftau_FLOW[1];
1397     *pflowstress++ = ftau_FLOW[2];
1398
1399     fzeta += ftau_FLOW;
1400
1401     ftau_N = ftau_BACK;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1401     ftau_N *= prefactor2;
1402
1403     *pNstress++ = ftau_N[0];
1404     *pNstress++ = ftau_N[1];
1405     *pNstress++ = ftau_N[2];
1406
1407     fNstress += ftau_N;
1408
1409     double plasticwk = -(ftau_NEQ[0]*(epse0-epstr0)+ ftau_NEQ[1]*(
1410         epse1-epstr1) + ftau_NEQ[2]*(epse2-epstr2));
1411     plasticw += plasticwk;
1412
1413     double backwk = ftau_BACK[0]*(epse0N-epstr0N)+ ftau_BACK[1]*(
1414         epse1N-epstr1N) + ftau_BACK[2]*(epse2N-epstr2N);
1415     backw += backwk;
1416 }
1417
1418 /* update smag */
1419 double zeta0n = fzeta[0];
1420 double zeta1n = fzeta[1];
1421 double zeta2n = fzeta[2];
1422
1423 double smag = sqrt(0.5*(zeta0n*zeta0n+zeta1n*zeta1n+zeta2n*zeta2n));
1424
1425 double N0n = fNstress[0];
1426 double N1n = fNstress[1];
1427 double N2n = fNstress[2];
1428
1429 double sbmag = sqrt(0.5*(N0n*N0n+N1n*N1n+N2n*N2n));
1430
1431 /* calc viscosity functions */
1432 double ietabar = StressRelaxationFunc(Tn, smag);
1433 double itaubar = StructuralRelaxationFunc(Tn, ScTn);
1434
1435 double ietabarN = ReptationRelaxationFuncS(Tn, sbmag);
1436 double itaubarN = ReptationRelaxationFuncT(Tn);
1437
1438 double lm0n=sqrt(fEigs[0]);
1439 double lm1n=sqrt(fEigs[1]);
1440 double lm2n=sqrt(fEigs[2]);
1441 double lmcn=sqrt(lm0n*lm0n+lm1n*lm1n+lm2n*lm2n);
1442
1443 double alpha0n=alphakf(lm0n, lmcn);
1444 double alpha1n=alphakf(lm1n, lmcn);
1445 double alpha2n=alphakf(lm2n, lmcn);
1446
1447 double alphamax=fmax(alpha0n, alpha1n);
1448 alphamax=fmax(alphamax, alpha2n);
1449 double alphan=0.5*pi-alphamax;
1450
1451 double alphafuncn=falpha(alphan);
1452
1453 double dalphadl0n, dalphadl1n, dalphadl2n;
1454
1455 if (alphamax == alpha0n)

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1455 {
1456     dalphadl0n=sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
1457     dalphadl1n=-lm0n*lm1n/sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
1458     dalphadl2n=-lm0n*lm2n/sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
1459 }
1460 else if (alphamax == alpha1n)
1461 {
1462     dalphadl0n=-lm0n*lm1n/sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
1463     dalphadl1n=sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
1464     dalphadl2n=-lm1n*lm2n/sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
1465 }
1466 else if (alphamax == alpha2n)
1467 {
1468     dalphadl0n=-lm0n*lm2n/sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
1469     dalphadl1n=-lm1n*lm2n/sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
1470     dalphadl2n=sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
1471 }
1472
1473 double DetabarDs = 0.0;
1474 if (smag > kSmall)
1475 {
1476     double x = (fQS*smag)/(Tn);
1477     DetabarDs = (x*cosh(x) - sinh(x))/x/smag;
1478 }
1479
1480 double DetabarDsN = 0.0;
1481 if (sbmag > kSmall)
1482 {
1483     double x = (fQSb*sbmag)/(Tn);
1484     DetabarDsN = (x*cosh(x) - sinh(x))/x/sbmag;
1485 }
1486
1487 double DetaSDScTn = fBB/Tn/ScTn/ScTn;
1488
1489 fKAB = 0.0; /*DRes/Depsilon^e_Bj*/
1490 fKTer = 0.0; /*DRdel/Depsilon^e_Bj*/
1491 fKrTe = 0.0; /*DRes/DTe_l*/
1492 fKaAB = 0.0; /*DfRes/Depsilon^e_Bk*/
1493 fKaTe = 0.0; /*DRes/DTe_l*/
1494 fKTea = 0.0; /*DRdel/Depsilon^e_Bk*/
1495 fKdel = 0.0; /*DRdel/DTel*/
1496 fKar=0.0;
1497 fKra=0.0;
1498
1499 fGA0 = 0.0;
1500 fGA1 = 0.0;
1501 fGA2 = 0.0;
1502
1503 fGB0 = 0.0;
1504 fGB1 = 0.0;
1505 fGB2 = 0.0;
1506
1507 fGC0 = 0.0;
1508 fGC1 = 0.0;
1509 fGC2 = 0.0;
1510

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1511 Modulus1 = 0.0;
1512 Modulus2 = 0.0;
1513
1514 ple = fle.Pointer();
1515 pltr = fl_tr.Pointer();
1516 pstress = fstressk.Pointer();
1517 pbackstress = fbackstressk.Pointer();
1518 pflowstress = fflowstressk.Pointer();
1519 pavctr = favec_tr.Pointer();
1520 pavec = favec.Pointer();
1521
1522 for(int k=0; k<fNumS; k++)
1523 {
1524     double epstr0 = 0.5*log(*pltr++);
1525     double epstr1 = 0.5*log(*pltr++);
1526     double epstr2 = 0.5*log(*pltr++);
1527
1528     fEigs_dev[0] = *ple++;
1529     fEigs_dev[1] = *ple++;
1530     fEigs_dev[2] = *ple++;
1531
1532     double epse0 = 0.5*log(fEigs_dev[0]);
1533     double epse1 = 0.5*log(fEigs_dev[1]);
1534     double epse2 = 0.5*log(fEigs_dev[2]);
1535
1536     /* calculate neq stress tauk */
1537     double Je = sqrt(fEigs_dev[0]*fEigs_dev[1]*fEigs_dev[2]);
1538     fEigs_dev *= pow(Je, -2.0*third);
1539     fPot[1]->DevMod(fEigs_dev, fDtauDe_NEQ);
1540
1541     /* moduli */
1542     /* viscosity and its derivatives */
1543     double muk=fdmu[k];
1544     double itauSk = itaubar/ftimesS[k];
1545     double ietaS0k = itauSk/muk;
1546     double ietaSk = ietaS0k*ietaubar;
1547
1548     double mukN=fHNratio*fdmu[k];
1549     double itauSkN = itaubarN/(ftimesS[k]*fshift);
1550     double ietaS0kN = itauSkN/mukN;
1551     double ietaSkN = ietaS0kN*ietaubarN;
1552
1553     double dk00 = muk*iJ*fDtauDe_NEQ(0,0);
1554     double dk11 = muk*iJ*fDtauDe_NEQ(1,1);
1555     double dk22 = muk*iJ*fDtauDe_NEQ(2,2);
1556     double dk12 = muk*iJ*fDtauDe_NEQ(1,2);
1557     double dk02 = muk*iJ*fDtauDe_NEQ(0,2);
1558     double dk01 = muk*iJ*fDtauDe_NEQ(0,1);
1559
1560     double a0k = *pavec++;
1561     double a1k = *pavec++;
1562     double a2k = *pavec++;
1563
1564     double epse0N = 0.5*log(a0k);
1565     double epse1N = 0.5*log(a1k);
1566     double epse2N = 0.5*log(a2k);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1567     double epstr0N = 0.5*log(*pavectr++);
1568     double epstr1N = 0.5*log(*pavectr++);
1569     double epstr2N = 0.5*log(*pavectr++);
1570
1571
1572     fEigs_a[0] = a0k;
1573     fEigs_a[1] = a1k;
1574     fEigs_a[2] = a2k;
1575
1576     double Ja = sqrt(fEigs_a.Product());
1577     fEigs_a_dev = fEigs_a;
1578     fEigs_a_dev *= pow(Ja, -2.0*third);
1579     fPot[2] -> DevMod(fEigs_a_dev, fDtauDe_BACK, fT0);
1580     fDtauDe_BACK *= fHNratio*fdmu[k];
1581
1582     double dN00k = prefactor2*fDtauDe_BACK(0,0);
1583     double dN01k = prefactor2*fDtauDe_BACK(0,1);
1584     double dN02k = prefactor2*fDtauDe_BACK(0,2);
1585     double dN10k = prefactor2*fDtauDe_BACK(1,1);
1586     double dN11k = prefactor2*fDtauDe_BACK(1,1);
1587     double dN12k = prefactor2*fDtauDe_BACK(1,2);
1588     double dN20k = prefactor2*fDtauDe_BACK(0,2);
1589     double dN21k = prefactor2*fDtauDe_BACK(1,2);
1590     double dN22k = prefactor2*fDtauDe_BACK(2,2);
1591
1592     double tauk0 = *pstress++;
1593     double tauk1 = *pstress++;
1594     double tauk2 = *pstress++;
1595     double Mk0n = (tauk0)*prefactor;
1596     double Mk1n = (tauk1)*prefactor;
1597     double Mk2n = (tauk2)*prefactor;
1598
1599     double taukback0 = *pbackstress++;
1600     double taukback1 = *pbackstress++;
1601     double taukback2 = *pbackstress++;
1602     double Nk0n = (taukback0)*prefactor2;
1603     double Nk1n = (taukback1)*prefactor2;
1604     double Nk2n = (taukback2)*prefactor2;
1605
1606     double zetak0 = *pflowstress++;
1607     double zetak1 = *pflowstress++;
1608     double zetak2 = *pflowstress++;
1609
1610     /* stiffness matrix */
1611     int a = k*3;
1612     fKaAB(a,a) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN00k;
1613     fKaAB(a,a+1) = 0.5*dt*ietaSkN/alphafuncn*dN01k;
1614     fKaAB(a,a+2) = 0.5*dt*ietaSkN/alphafuncn*dN02k;
1615     fKaAB(a+1,a) = 0.5*dt*ietaSkN/alphafuncn*dN10k;
1616     fKaAB(a+1,a+1) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN11k;
1617     fKaAB(a+1,a+2) = 0.5*dt*ietaSkN/alphafuncn*dN12k;
1618     fKaAB(a+2,a) = 0.5*dt*ietaSkN/alphafuncn*dN20k;
1619     fKaAB(a+2,a+1) = 0.5*dt*ietaSkN/alphafuncn*dN21k;
1620     fKaAB(a+2,a+2) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN22k;
1621
1622     fKar(a,a) = 1.0;

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1623     fKar(a,a+1) = 0.0;
1624     fKar(a,a+2) = 0.0;
1625     fKar(a+1,a) = 0.0;
1626     fKar(a+1,a+1) = 1.0;
1627     fKar(a+1,a+2) = 0.0;
1628     fKar(a+2,a) = 0.0;
1629     fKar(a+2,a+1) = 0.0;
1630     fKar(a+2,a+2) = 1.0;
1631
1632     fKra(a,a) = -0.5*dt*ietaSk*dN00k;
1633     fKra(a,a+1) = -0.5*dt*ietaSk*dN01k;
1634     fKra(a,a+2) = -0.5*dt*ietaSk*dN02k;
1635     fKra(a+1,a) = -0.5*dt*ietaSk*dN10k;
1636     fKra(a+1,a+1) = -0.5*dt*ietaSk*dN11k;
1637     fKra(a+1,a+2) = -0.5*dt*ietaSk*dN12k;
1638     fKra(a+2,a) = -0.5*dt*ietaSk*dN20k;
1639     fKra(a+2,a+1) = -0.5*dt*ietaSk*dN21k;
1640     fKra(a+2,a+2) = -0.5*dt*ietaSk*dN22k;
1641
1642     fKAB(a,a) = 1.0 + 0.5*dt*ietaSk*dk00*prefactor/iJ;
1643     fKAB(a,a+1) = 0.5*dt*ietaSk*dk01*prefactor/iJ;
1644     fKAB(a,a+2) = 0.5*dt*ietaSk*dk02*prefactor/iJ;
1645     fKAB(a+1,a) = 0.5*dt*ietaSk*dk01*prefactor/iJ;
1646     fKAB(a+1,a+1) = 1.0 + 0.5*dt*ietaSk*dk11*prefactor/iJ;
1647     fKAB(a+1,a+2) = 0.5*dt*ietaSk*dk12*prefactor/iJ;
1648     fKAB(a+2,a) = 0.5*dt*ietaSk*dk02*prefactor/iJ;
1649     fKAB(a+2,a+1) = 0.5*dt*ietaSk*dk12*prefactor/iJ;
1650     fKAB(a+2,a+2) = 1.0 + 0.5*dt*ietaSk*dk22*prefactor/iJ;
1651
1652     double *ple2 = fle.Pointer();
1653     double *paEigVec = favec.Pointer();
1654     for (int l=0; l<fNumS; l++) /* evolution eqs. are coupled
1655                                in this case because of s (or smag here)*/
1656     {
1657         /*moduli*/
1658         fEigs_dev[0] = *ple2++;
1659         fEigs_dev[1] = *ple2++;
1660         fEigs_dev[2] = *ple2++;
1661         double Je2 = sqrt(fEigs_dev[0]*fEigs_dev[1]*fEigs_dev[2]);
1662         fEigs_dev *= pow(Je2,-2.0*third);
1663         fPot[l]->DevMod(fEigs_dev,fDtauDe_NEQ);
1664         double dl00 = fdmu[1]*iJ*fDtauDe_NEQ(0,0);
1665         double dl11 = fdmu[1]*iJ*fDtauDe_NEQ(1,1);
1666         double dl22 = fdmu[1]*iJ*fDtauDe_NEQ(2,2);
1667         double dl12 = fdmu[1]*iJ*fDtauDe_NEQ(1,2);
1668         double dl02 = fdmu[1]*iJ*fDtauDe_NEQ(0,2);
1669         double dl01 = fdmu[1]*iJ*fDtauDe_NEQ(0,1);
1670
1671         double c10 = 0.0;
1672         double c11 = 0.0;
1673         double c12 = 0.0;
1674
1675         double a0l = *paEigVec++;
1676         double a1l = *paEigVec++;
1677         double a2l = *paEigVec++;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1678     fEigs_a[0] = a01;
1679     fEigs_a[1] = a11;
1680     fEigs_a[2] = a21;
1681
1682     double Ja = sqrt(fEigs_a.Product());
1683     fEigs_a_dev = fEigs_a;
1684     fEigs_a_dev *= pow(Ja, -2.0*third);
1685     fPot[2] -> DevMod(fEigs_a_dev, fDtauDe_BACK, fT0);
1686     fDtauDe_BACK *= fHNratio*fdmu[1];
1687
1688     double dN001 = prefactor2*fDtauDe_BACK(0,0);
1689     double dN011 = prefactor2*fDtauDe_BACK(0,1);
1690     double dN021 = prefactor2*fDtauDe_BACK(0,2);
1691     double dN101 = prefactor2*fDtauDe_BACK(1,1);
1692     double dN111 = prefactor2*fDtauDe_BACK(1,1);
1693     double dN121 = prefactor2*fDtauDe_BACK(1,2);
1694     double dN201 = prefactor2*fDtauDe_BACK(0,2);
1695     double dN211 = prefactor2*fDtauDe_BACK(1,2);
1696     double dN221 = prefactor2*fDtauDe_BACK(2,2);
1697
1698     double d10 = 0.0;
1699     double d11 = 0.0;
1700     double d12 = 0.0;
1701
1702     double e10 = 0.0;
1703     double e11 = 0.0;
1704     double e12 = 0.0;
1705
1706     if (smag > kSmall)
1707     {
1708         c10 = 0.5*(fzeta[0]*d100 + fzeta[1]*d101 + fzeta[2]*d102
1709             )*prefactor/iJ/smag;
1710         c11 = 0.5*(fzeta[0]*d101 + fzeta[1]*d111 + fzeta[2]*d112
1711             )*prefactor/iJ/smag;
1712         c12 = 0.5*(fzeta[0]*d102 + fzeta[1]*d112 + fzeta[2]*d122
1713             )*prefactor/iJ/smag;
1714
1715         d10 = -0.5*(fzeta[0]*dN001 + fzeta[1]*dN101 + fzeta[2]*
1716             dN201)/smag;
1717         d11 = -0.5*(fzeta[0]*dN011 + fzeta[1]*dN111 + fzeta[2]*
1718             dN211)/smag;
1719         d12 = -0.5*(fzeta[0]*dN021 + fzeta[1]*dN121 + fzeta[2]*
1720             dN221)/smag;
1721     }
1722
1723     if (sbmag > kSmall)
1724     {
1725         e10 = 0.5*(fNstress[0]*dN001 + fNstress[1]*dN101 +
1726             fNstress[2]*dN201)/sbmag;
1727         e11 = 0.5*(fNstress[0]*dN011 + fNstress[1]*dN111 +
1728             fNstress[2]*dN211)/sbmag;
1729         e12 = 0.5*(fNstress[0]*dN021 + fNstress[1]*dN121 +
1730             fNstress[2]*dN221)/sbmag;
1731     }
1732
1733     int b = 1*3;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1725         fKaAB(a,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e10*Nk0n
1726         ;
1726         fKaAB(a,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e11*
1727         Nk0n;
1727         fKaAB(a,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e12*
1728         Nk0n;
1728         fKaAB(a+1,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e10*
1729         Nk1n;
1729         fKaAB(a+1,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e11*
1730         Nk1n;
1730         fKaAB(a+1,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e12*
1731         Nk1n;
1731         fKaAB(a+2,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e10*
1732         Nk2n;
1732         fKaAB(a+2,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e11*
1733         Nk2n;
1733         fKaAB(a+2,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e12*
1734         Nk2n;
1734
1735         fKra(a,b) += 0.5*dt*ietaS0k*(DetabarDs*d10)*zetak0;
1736         fKra(a,b+1) += 0.5*dt*ietaS0k*(DetabarDs*d11)*zetak0;
1737         fKra(a,b+2) += 0.5*dt*ietaS0k*(DetabarDs*d12)*zetak0;
1738         fKra(a+1,b) += 0.5*dt*ietaS0k*(DetabarDs*d10)*zetak1;
1739         fKra(a+1,b+1) += 0.5*dt*ietaS0k*(DetabarDs*d11)*zetak1;
1740         fKra(a+1,b+2) += 0.5*dt*ietaS0k*(DetabarDs*d12)*zetak1;
1741         fKra(a+2,b) += 0.5*dt*ietaS0k*(DetabarDs*d10)*zetak2;
1742         fKra(a+2,b+1) += 0.5*dt*ietaS0k*(DetabarDs*d11)*zetak2;
1743         fKra(a+2,b+2) += 0.5*dt*ietaS0k*(DetabarDs*d12)*zetak2;
1744
1745         fKAB(a,b) += 0.5*dt*ietaS0k*(DetabarDs*c10)*zetak0;
1746         fKAB(a,b+1) += 0.5*dt*ietaS0k*(DetabarDs*c11)*zetak0;
1747         fKAB(a,b+2) += 0.5*dt*ietaS0k*(DetabarDs*c12)*zetak0;
1748         fKAB(a+1,b) += 0.5*dt*ietaS0k*(DetabarDs*c10)*zetak1;
1749         fKAB(a+1,b+1) += 0.5*dt*ietaS0k*(DetabarDs*c11)*zetak1;
1750         fKAB(a+1,b+2) += 0.5*dt*ietaS0k*(DetabarDs*c12)*zetak1;
1751         fKAB(a+2,b) += 0.5*dt*ietaS0k*(DetabarDs*c10)*zetak2;
1752         fKAB(a+2,b+1) += 0.5*dt*ietaS0k*(DetabarDs*c11)*zetak2;
1753         fKAB(a+2,b+2) += 0.5*dt*ietaS0k*(DetabarDs*c12)*zetak2;
1754     }
1755     fGA0[a] = 1.0 + 0.5*dt*ietaSk*zetak0*DetaSDScTn*10f;
1756     fGA0[a+1] = 0.5*dt*ietaSk*zetak1*DetaSDScTn*10f;
1757     fGA0[a+2] = 0.5*dt*ietaSk*zetak2*DetaSDScTn*10f;
1758
1759     fGA1[a] = 0.5*dt*ietaSk*zetak0*DetaSDScTn*11f;
1760     fGA1[a+1] = 1.0 + 0.5*dt*ietaSk*zetak1*DetaSDScTn*11f;
1761     fGA1[a+2] = 0.5*dt*ietaSk*zetak2*DetaSDScTn*11f;
1762
1763     fGA2[a] = 0.5*dt*ietaSk*zetak0*DetaSDScTn*12f;
1764     fGA2[a+1] = 0.5*dt*ietaSk*zetak1*DetaSDScTn*12f;
1765     fGA2[a+2] = 1.0 + 0.5*dt*ietaSk*zetak2*DetaSDScTn*12f;
1766
1767     fGC0[a] = 1.0 - 0.5*dt*ietaSkN/alphafuncn*Nk0n*(1.0/(alphan -
1768         falphac)+fmpower/alphan)*dalphad10n*lm0n;
1768     fGC0[a+1] = - 0.5*dt*ietaSkN/alphafuncn*Nk1n*(1.0/(alphan -
1769         falphac)+fmpower/alphan)*dalphad10n*lm0n;
1769     fGC0[a+2] = - 0.5*dt*ietaSkN/alphafuncn*Nk2n*(1.0/(alphan -

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1770         falphac)+fmpower/ alphan)*dalphad10n*lm0n;
1771     fGC1[a] =          - 0.5*dt*ietaSkN/ alphafuncn*Nk0n*(1.0/( alphan-
1772         falphac)+fmpower/ alphan)*dalphad11n*lm1n;
1773     fGC1[a+1] = 1.0 - 0.5*dt*ietaSkN/ alphafuncn*Nk1n*(1.0/( alphan-
1774         falphac)+fmpower/ alphan)*dalphad11n*lm1n;
1775     fGC1[a+2] =          - 0.5*dt*ietaSkN/ alphafuncn*Nk2n*(1.0/( alphan-
1776         falphac)+fmpower/ alphan)*dalphad11n*lm1n;
1777     fGC2[a] =          - 0.5*dt*ietaSkN/ alphafuncn*Nk0n*(1.0/( alphan-
1778         falphac)+fmpower/ alphan)*dalphad12n*lm2n;
1779     fGC2[a+1] =          - 0.5*dt*ietaSkN/ alphafuncn*Nk1n*(1.0/( alphan-
1780         falphac)+fmpower/ alphan)*dalphad12n*lm2n;
1781     fGC2[a+2] = 1.0 - 0.5*dt*ietaSkN/ alphafuncn*Nk2n*(1.0/( alphan-
1782         falphac)+fmpower/ alphan)*dalphad12n*lm2n;
1783
1784     for( int l=0; l<fNumR; l++)
1785     {
1786         fKTea(l,a) = ffrac3[l]*((dN00k*(epse0N-epstr0N)+dN10k*(
1787             epse1N-epstr1N)+dN20k*(epse2N-epstr2N))/prefactor2+
1788             taukback0);
1789         fKTea(l,a+1) = ffrac3[l]*((dN01k*(epse0N-epstr0N)+dN11k*(
1790             epse1N-epstr1N)+dN21k*(epse2N-epstr2N))/prefactor2+
1791             taukback1);
1792         fKTea(l,a+2) = ffrac3[l]*((dN02k*(epse0N-epstr0N)+dN12k*(
1793             epse1N-epstr1N)+dN22k*(epse2N-epstr2N))/prefactor2+
1794             taukback2);
1795
1796         double M0n = prefactor*ftau[0];
1797         double M1n = prefactor*ftau[1];
1798         double M2n = prefactor*ftau[2];
1799
1800         double N0n = prefactor2*fsback[0];
1801         double N1n = prefactor2*fsback[1];
1802         double N2n = prefactor2*fsback[2];
1803
1804         double zm = 0.5*(zeta0n*M0n+zeta1n*M1n+zeta2n*M2n);
1805         double zn = 0.5*(zeta0n*N0n+zeta1n*N1n+zeta2n*N2n);
1806
1807         double phi = fdalpha[l];
1808
1809         double DsDTek = 0.0;
1810         if (smag>kSmall)
1811             DsDTek = -phi*Tn*fafrac*zm/prefactor/smag/fTfk[l]/fTfk[l]
1812                 +phi*Tn*fbfrac*zn/prefactor2/smag/fTfk[l]/fTfk[l];
1813
1814         double DsbDTek = 0.0;
1815         if (sbmag>kSmall)
1816             DsbDTek = -phi*Tn*fbfrac*sbmag/prefactor2/fTfk[l]/fTfk[l]
1817                 ];
1818
1819         fKaTe(a,l) = 0.5*dt*ietaS0kN/ alphafuncn*Nk0n*DetabarDsN*
1820             DsbDTek-0.5*dt*ietaSkN/ alphafuncn*fbfrac*phi*Tn/fTfk[l]/
1821             fTfk[l]*tauKback0;
1822         fKaTe(a+1,l) = 0.5*dt*ietaS0kN/ alphafuncn*Nk1n*DetabarDsN*
1823             DsbDTek-0.5*dt*ietaSkN/ alphafuncn*fbfrac*phi*Tn/fTfk[l]/

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1808         fTfk[1]*taukback1;
1809         fKaTe(a+2,1) = 0.5*dt*ietaS0kN/alphafuncn*Nk2n*DetabarDsN*
1810         DsbDTek-0.5*dt*ietaSkN/alphafuncn*fbfrac*phi*Tn/fTfk[1]/
1811         fTfk[1]*taukback2;
1812
1813         fKTer(1,a) = ffrac2[1]*(dk00/iJ*(epse0-epstr0) + tauk0 +
1814         dk01/iJ*(epse1-epstr1)+dk02/iJ*(epse2-epstr2))+ffrac3[1]
1815         *taukback0;
1816         fKTer(1,a+1) = ffrac2[1]*(dk01/iJ*(epse0-epstr0) + tauk1 +
1817         dk11/iJ*(epse1-epstr1)+dk12/iJ*(epse2-epstr2))+ffrac3[1]
1818         *taukback1;
1819         fKTer(1,a+2) = ffrac2[1]*(dk02/iJ*(epse0-epstr0) + tauk2 +
1820         dk12/iJ*(epse1-epstr1)+dk22/iJ*(epse2-epstr2))+ffrac3[1]
1821         *taukback2;
1822
1823         fKrTe(a,1) = 0.5*dt*ietaSk*(fBB/Tn/ScTn/ScTn*(phi*fdeltac0
1824         /fTfk[1]+fdeltac1*phi)+DetabarDs*DsbDTek/ietabar)*zetak0
1825         +0.5*dt*ietaSk*(-Mk0n*phi*Tn*fafrac/prefactor/fTfk[1]/
1826         fTfk[1]+Nk0n*phi*Tn*fbfrac/prefactor2/fTfk[1]/fTfk[1]);
1827         fKrTe(a+1,1) = 0.5*dt*ietaSk*(fBB/Tn/ScTn/ScTn*(phi*fdeltac0
1828         /fTfk[1]+fdeltac1*phi)+DetabarDs*DsbDTek/ietabar)*zetak1
1829         +0.5*dt*ietaSk*(-Mk1n*phi*Tn*fafrac/prefactor/fTfk[1]/
1830         fTfk[1]+Nk1n*phi*Tn*fbfrac/prefactor2/fTfk[1]/fTfk[1]);
1831         fKrTe(a+2,1) = 0.5*dt*ietaSk*(fBB/Tn/ScTn/ScTn*(phi*fdeltac0
1832         /fTfk[1]+fdeltac1*phi)+DetabarDs*DsbDTek/ietabar)*zetak2
1833         +0.5*dt*ietaSk*(-Mk2n*phi*Tn*fafrac/prefactor/fTfk[1]/
1834         fTfk[1]+Nk2n*phi*Tn*fbfrac/prefactor2/fTfk[1]/fTfk[1]);
1835
1836     }
1837 }
1838
1839 for(int k=0; k<fNumR; k++)
1840 {
1841     double itauRk = itaubar/ftimesR[k];
1842     fKdel(k,k) = 1.0 + dt*itauRk-ffrac1[k]*flatenth[k]/fTfk[k]+
1843     fafrac*ffrac1[k]*ffrac1[k]*fdeltac1*plasticw+ffrac1[k]*
1844     ffrac1[k]*fdeltac1*flatenth[k]-fbfrac*ffrac1[k]*ffrac1[k]*
1845     fdeltac1*backw;
1846     for (int l=0; l<fNumR; l++)
1847     {
1848         double phi=fdalpha[l];
1849         double DtaubarDTfk = -fBB/Tn/ScTn/ScTn*(phi*fdeltac0/fTfk[l]
1850         +fdeltac1*phi);
1851         fKdel(k,l) += -dt*itauRk*(fTfk[k]-Tn)*DtaubarDTfk;
1852     }
1853
1854     fGB0[k] = dt*itauRk*(fTfk[k]-Tn)*DetaSDScTn*10f+ffrac1[k]*fTfk[k]
1855     /fT0*(fDtauDe_EQ(0,0)*depsilon0+fDtauDe_EQ(1,0)*depsilon1+
1856     fDtauDe_EQ(2,0)*depsilon2 + ftau_EQ[0])+ffrac2[k]*ftau[0]+
1857     ffrac3[k]*fsback[0];
1858
1859     fGB1[k] = dt*itauRk*(fTfk[k]-Tn)*DetaSDScTn*11f+ffrac1[k]*fTfk[k]
1860     /fT0*(fDtauDe_EQ(0,1)*depsilon0+fDtauDe_EQ(1,1)*depsilon1+
1861     fDtauDe_EQ(2,1)*depsilon2 + ftau_EQ[1])+ffrac2[k]*ftau[1]+
1862     ffrac3[k]*fsback[1];
1863
1864 }

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1835         fGB2[k] = dt*itauRk*(fTfk[k]-Tn)*DetaSDScTn*12f+ffrac1[k]*fTfk[k
           ]/fT0*(fDtauDe_EQ(0,2)*depsilon0+fDtauDe_EQ(1,2)*depsilon1+
           fDtauDe_EQ(2,2)*depsilon2 + ftau_EQ[2])+ffrac2[k]*ftau[2]+
           ffrac3[k]*fsback[2];
1836     }
1837
1838     fK1=fKdel;
1839     fK2=fKAB;
1840     fKdel.Inverse();
1841     fKAB.Inverse();
1842     fKaAB.Inverse();
1843
1844     fKdel2.MultABC(fKTea, fKaAB, fKaTe);
1845     fKAB2.MultABC(fKra, fKaAB, fKar);
1846     fKrTe2.MultABC(fKra, fKaAB, fKaTe);
1847     fKTer2.MultABC(fKTea, fKaAB, fKar);
1848
1849     fK1.AddScaled(-1.0, fKdel2);
1850     fK2.AddScaled(-1.0, fKAB2);
1851     fKrTe.AddScaled(-1.0, fKrTe2);
1852     fKTer.AddScaled(-1.0, fKTer2);
1853
1854     fInverse2.MultAB(fKTea, fKaAB);
1855     fInverse2.Multx(fGC0, fG10);
1856     fInverse2.Multx(fGC1, fG11);
1857     fInverse2.Multx(fGC2, fG12);
1858     fG10 *= -1.0;
1859     fG11 *= -1.0;
1860     fG12 *= -1.0;
1861     fG10 += fGB0;
1862     fG11 += fGB1;
1863     fG12 += fGB2;
1864
1865     fInverse3.MultAB(fKra, fKaAB);
1866     fInverse3.Multx(fGC0, fG20);
1867     fInverse3.Multx(fGC1, fG21);
1868     fInverse3.Multx(fGC2, fG22);
1869     fG20 *= -1.0;
1870     fG21 *= -1.0;
1871     fG22 *= -1.0;
1872     fG20 += fGA0;
1873     fG21 += fGA1;
1874     fG22 += fGA2;
1875
1876     fK3 = fK1;
1877     fK4 = fK2;
1878
1879     fK1.Inverse();
1880     fK2.Inverse();
1881     fK3temp.MultABC(fKTer, fK2, fKrTe);
1882     fK4temp.MultABC(fKrTe, fK1, fKTer);
1883
1884     fK3.AddScaled(-1.0, fK3temp);
1885     fK4.AddScaled(-1.0, fK4temp);
1886
1887     fInverse4.MultAB(fKTer, fK2);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1888     fInverse4.Multx(fG20,fG10temp);
1889     fInverse4.Multx(fG21,fG11temp);
1890     fInverse4.Multx(fG22,fG12temp);
1891     fG10temp *= -1.0;
1892     fG11temp *= -1.0;
1893     fG12temp *= -1.0;
1894     fG10temp += fG10;
1895     fG11temp += fG11;
1896     fG12temp += fG12;
1897
1898     fInverse5.MultAB(fKrTe,fK1);
1899     fInverse5.Multx(fG10,fG20temp);
1900     fInverse5.Multx(fG11,fG21temp);
1901     fInverse5.Multx(fG12,fG22temp);
1902     fG20temp *= -1.0;
1903     fG21temp *= -1.0;
1904     fG22temp *= -1.0;
1905     fG20temp += fG20;
1906     fG21temp += fG21;
1907     fG22temp += fG22;
1908
1909     fK1=fK3;
1910     fK2=fK4;
1911
1912     fK3.LinearSolve(fG10temp);
1913     fK3 = fK1;
1914     fK3.LinearSolve(fG11temp);
1915     fK3 = fK1;
1916     fK3.LinearSolve(fG12temp);
1917     fK3 = fK1;
1918
1919     fK4.LinearSolve(fG20temp);
1920     fK4 = fK2;
1921     fK4.LinearSolve(fG21temp);
1922     fK4 = fK2;
1923     fK4.LinearSolve(fG22temp);
1924     fK4 = fK2;
1925
1926     for (int k =0; k<fNumR; k++)
1927     {
1928         Modulus1(k,0) = fG10temp[k];
1929         Modulus1(k,1) = fG11temp[k];
1930         Modulus1(k,2) = fG12temp[k];
1931     }
1932     for (int k =0; k<3*fNumS; k++)
1933     {
1934         Modulus2(k,0) = fG20temp[k];
1935         Modulus2(k,1) = fG21temp[k];
1936         Modulus2(k,2) = fG22temp[k];
1937     }
1938 }
1939
1940
1941 void ET_multi::Compute_a(const dArrayT& eigs_n, const dArrayT& eigs,
    const dArrayT& eigs_tr, const dArrayT& DScDe, const dArrayT&
    stretche, const dArrayT& stressk, dArrayT& backstressk, const dArrayT

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1942 { & Tfk_n, dArrayT& Tfk, dArrayT& avec, const dArrayT& avec_tr)
1943 {
1944     double ctol = 1.00e-8;
1945     int maxiter = 300;
1946
1947     /*time step*/
1948     const double dt = fFSMatSupport->TimeStep();
1949
1950     /*temperature and temperature step*/
1951     const double Tn = Compute_Temperature();
1952     const double Tp = Compute_Temperature_last();
1953
1954     double l0_last = eigs_n[0];
1955     double l1_last = eigs_n[1];
1956     double l2_last = eigs_n[2];
1957     double Jp = sqrt(l0_last*l1_last*l2_last);
1958
1959     double l0 = eigs[0];
1960     double l1 = eigs[1];
1961     double l2 = eigs[2];
1962     double J = sqrt(l0*l1*l2);
1963     double iJ = 1.0/J;
1964
1965     double depsilon0=(0.5*log(l0)-0.5*log(l0_last));
1966     double depsilon1=(0.5*log(l1)-0.5*log(l1_last));
1967     double depsilon2=(0.5*log(l2)-0.5*log(l2_last));
1968
1969     const double* ple = stretche.Pointer();
1970     const double* pltr = eigs_tr.Pointer();
1971     const double* pstress = stressk.Pointer();
1972     double* pbackstress = backstressk.Pointer();
1973     double* pNstress = fNstressk.Pointer();
1974     double* pflowstress = fflowstressk.Pointer();
1975     const double* pavectr = avec_tr.Pointer();
1976     double* pavec = avec.Pointer();
1977
1978     ftau = 0.0;
1979     fsback = 0.0;
1980     for (int i = 0; i < fNumS; i++)
1981     {
1982         fEigs_e[0] = *ple++;
1983         fEigs_e[1] = *ple++;
1984         fEigs_e[2] = *ple++;
1985
1986         double Je = sqrt(fEigs_e.Product());
1987         fEigs_dev = fEigs_e;
1988         fEigs_dev *= pow(Je, -2.0*third);
1989
1990         ftau_NEQ[0] += *pstress++;
1991         ftau_NEQ[1] += *pstress++;
1992         ftau_NEQ[2] += *pstress++;
1993
1994         ftau += ftau_NEQ;
1995
1996         fEigs_a_last[0] = *pavectr++;
1997         fEigs_a_last[1] = *pavectr++;

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

1997     fEigs_a_last[2] = *pavectr++;
1998
1999     fEigs_a[0] = *pavec++;
2000     fEigs_a[1] = *pavec++;
2001     fEigs_a[2] = *pavec++;
2002
2003     double Ja = sqrt(fEigs_a.Product());
2004     fEigs_a_dev = fEigs_a;
2005     fEigs_a_dev *= pow(Ja, -2.0*third);
2006
2007     ftau_BACK[0] = *pbackstress++;
2008     ftau_BACK[1] = *pbackstress++;
2009     ftau_BACK[2] = *pbackstress++;
2010
2011     fsback += ftau_BACK;
2012
2013 }
2014
2015 /*compute effective temperatures*/
2016 Compute_Tei(eigs_n, eigs, eigs_tr, DScDe, stretche, stressk,
2017             backstressk, Tfk_n, Tfk, avec, avec_tr);
2018
2019 double ScTn = 0.0;
2020 double prefactor = 1.0;
2021 double prefactor2 = 1.0;
2022 double Tfn = 0.0;
2023 double Tfp = 0.0;
2024 double plasticw = 0.0; //initially zero because epe-eptr = 0.0;
2025 double backw = 0.0;
2026
2027 for(int k=0; k<fNumR; k++)
2028 {
2029     double phi=fdalpha[k];
2030     fdeltac[k]=capacity(Tfk[k]);
2031     ffrac1[k]=1.0/fdeltac[k];
2032     ffrac2[k]=fafrac/fdeltac[k];
2033     ffrac3[k]=fbfrac/fdeltac[k];
2034     Tfn += (Tfk[k]*phi);
2035     Tfp += (Tfk_n[k]*phi);
2036     ScTn += fdeltac0*phi*log(Tfk[k]/fT2)+fdeltac1*phi*(Tfk[k]-fT2);
2037     prefactor += phi*fafrac*(Tn/Tfk[k]-1.0);
2038     prefactor2 += phi*fbfrac*(Tn/Tfk[k]-1.0);
2039     flatenth[k] = Tfk[k]/fT0*(DScDe[0]*depsilon0+DScDe[1]*depsilon1
2040                             +DScDe[2]*depsilon2);
2041 }
2042
2043 fEigs_dev = eigs;
2044 fEigs_dev *= pow(J, -2.0*third);
2045 double energy = fPot[0]->Energy(fEigs_dev, J);
2046 energy -= fPot[0]->MeanEnergy(J);
2047 ScTn -= energy/fT0;
2048
2049 fNstress=0.0;
2050 pstress = stressk.Pointer();
2051 pbackstress = backstressk.Pointer();
2052 pNstress = fNstressk.Pointer();

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2051     ple = stretche.Pointer();
2052     pltr = eigs_tr.Pointer();
2053     pavctr = avec_tr.Pointer();
2054     pavec = avec.Pointer();
2055
2056     for (int i = 0; i < fNumS; i++)
2057     {
2058         double epse0 = 0.5*log(*ple++);
2059         double epse1 = 0.5*log(*ple++);
2060         double epse2 = 0.5*log(*ple++);
2061
2062         double epstr0 = 0.5*log(*pltr++);
2063         double epstr1 = 0.5*log(*pltr++);
2064         double epstr2 = 0.5*log(*pltr++);
2065
2066         double epse0N = 0.5*log(*pavec++);
2067         double epse1N = 0.5*log(*pavec++);
2068         double epse2N = 0.5*log(*pavec++);
2069
2070         double epstr0N = 0.5*log(*pavctr++);
2071         double epstr1N = 0.5*log(*pavctr++);
2072         double epstr2N = 0.5*log(*pavctr++);
2073
2074         ftau_NEQ[0] = *pstress++;
2075         ftau_NEQ[1] = *pstress++;
2076         ftau_NEQ[2] = *pstress++;
2077
2078         ftau_BACK[0] = *pbackstress++;
2079         ftau_BACK[1] = *pbackstress++;
2080         ftau_BACK[2] = *pbackstress++;
2081
2082         ftau_N = ftau_BACK;
2083         ftau_N *= prefactor2;
2084
2085         *pNstress++ = ftau_N[0];
2086         *pNstress++ = ftau_N[1];
2087         *pNstress++ = ftau_N[2];
2088
2089         fNstress += ftau_N;
2090
2091         double plasticwk = -(ftau_NEQ[0]*(epse0-epstr0)+ ftau_NEQ[1]*(
2092             epse1-epstr1) + ftau_NEQ[2]*(epse2-epstr2));
2093         plasticwk += plasticwk;
2094
2095         double backwk = ftau_BACK[0]*(epse0N-epstr0N)+ ftau_BACK[1]*(
2096             epse1N-epstr1N) + ftau_BACK[2]*(epse2N-epstr2N);
2097         backwk += backwk;
2098     }
2099
2100     double N0n = fNstress[0];
2101     double N1n = fNstress[1];
2102     double N2n = fNstress[2];
2103
2104     double sbmag = sqrt(0.5*(N0n*N0n+N1n*N1n+N2n*N2n));
2105
2106     /* calc viscosity functions */

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2105 double ietabarN = ReptationRelaxationFuncS(Tn, sbmag);
2106 double itaubarN = ReptationRelaxationFuncT(Tn);
2107 double itaubar = StructuralRelaxationFunc(Tn, ScTn);
2108 double DetabarDsN = 0.0;
2109 if (sbmag > kSmall)
2110 {
2111     double x = (fQSb*sbmag)/(Tn);
2112     DetabarDsN = (x*cosh(x) - sinh(x))/x/sbmag;
2113 }
2114
2115 double lm0n=sqrt(eigs[0]);
2116 double lm1n=sqrt(eigs[1]);
2117 double lm2n=sqrt(eigs[2]);
2118 double lmcn=sqrt(lm0n*lm0n+lm1n*lm1n+lm2n*lm2n);
2119
2120 double alpha0n=alphakf(lm0n,lmcn);
2121 double alpha1n=alphakf(lm1n,lmcn);
2122 double alpha2n=alphakf(lm2n,lmcn);
2123
2124 double alphamax=fmax(alpha0n,alpha1n);
2125 alphamax=fmax(alphamax,alpha2n);
2126 double alphan=0.5*pi-alphamax;
2127
2128 double alphafuncn=falpha(alphan);
2129
2130 double dalphadl0n,dalphadl1n,dalphadl2n;
2131
2132 if (alphamax == alpha0n)
2133 {
2134     dalphadl0n=sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
2135     dalphadl1n=-lm0n*lm1n/sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
2136     dalphadl2n=-lm0n*lm2n/sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
2137 }
2138 else if (alphamax == alpha1n)
2139 {
2140     dalphadl0n=-lm0n*lm1n/sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
2141     dalphadl1n=sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
2142     dalphadl2n=-lm1n*lm2n/sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
2143 }
2144 else if (alphamax == alpha2n)
2145 {
2146     dalphadl0n=-lm0n*lm2n/sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
2147     dalphadl1n=-lm1n*lm2n/sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
2148     dalphadl2n=sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
2149 }
2150
2151 /* calc residual */
2152 /* re-assign pointers */
2153 pstress = stressk.Pointer();
2154 pbackstress = backstressk.Pointer();
2155 pNstress = fNstressk.Pointer();
2156 ple = stretche.Pointer();
2157 pltr = eigs_tr.Pointer();
2158 pavctr = avec_tr.Pointer();
2159 pavc = avec.Pointer();
2160

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2161 double* pra = fResa.Pointer();
2162 fResa = 0.0;
2163 double ra0, ra1, ra2, tol;
2164 double temp = 0.0;
2165
2166 for (int k = 0; k < fNumS; k++)
2167 {
2168     double epse0N = 0.5*log(*pavec++);
2169     double epse1N = 0.5*log(*pavec++);
2170     double epse2N = 0.5*log(*pavec++);
2171
2172     double epstr0N = 0.5*log(*pavectr++);
2173     double epstr1N = 0.5*log(*pavectr++);
2174     double epstr2N = 0.5*log(*pavectr++);
2175
2176     ftau_N[0] = *pNstress++;
2177     ftau_N[1] = *pNstress++;
2178     ftau_N[2] = *pNstress++;
2179
2180     double s0 = ftau_N[0];
2181     double s1 = ftau_N[1];
2182     double s2 = ftau_N[2];
2183
2184     /* viscosity */
2185     double mukN=fHNratio*fdmu[k];
2186     double itauSkN = itaubarN/(ftimesS[k]*fshift);
2187     double ietaS0kN = itauSkN/mukN;
2188     double ietaSkN = ietaS0kN*ietabarN;
2189     /* calc residual */
2190     ra0 = epse0N - epstr0N + 0.5*dt*ietaSkN*s0/alphafuncn;
2191     ra1 = epse1N - epstr1N + 0.5*dt*ietaSkN*s1/alphafuncn;
2192     ra2 = epse2N - epstr2N + 0.5*dt*ietaSkN*s2/alphafuncn;
2193
2194     temp += ra0*ra0 + ra1*ra1+ ra2*ra2;
2195     *pra++ = ra0;
2196     *pra++ = ra1;
2197     *pra++ = ra2;
2198 }
2199 tol = sqrt(temp);
2200 double tol0 = tol;
2201 double reltol =tol0;
2202 int iter = 0;
2203
2204 while (tol > ctol && iter < maxiter)
2205 {
2206     iter++;
2207     fKaAB2 = 0.0;
2208     fKaAB = 0.0; /*DfRes/Depsilon^e_Bk*/
2209     fKaTe = 0.0; /*DRes/DTe_l*/
2210     fKTea = 0.0; /*DRdel/Depsilon^e_Bk*/
2211     fKdel = 0.0; /*DRdel/DTe_l*/
2212     pstress = stressk.Pointer();
2213     pbackstress = backstressk.Pointer();
2214     pNstress = fNstressk.Pointer();
2215     ple = stretche.Pointer();
2216     pltr = eigs_tr.Pointer();

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2217     pavectr = avec_tr.Pointer();
2218     pavec = avec.Pointer();
2219     double DetabarDsN = 0.0;
2220     if (sbmag > kSmall)
2221     {
2222         double x = (fQSb*sbmag)/(Tn);
2223         DetabarDsN = (x*cosh(x) - sinh(x))/x/sbmag;
2224     }
2225
2226     for (int k=0; k<fNumS; k++)
2227     {
2228         double a0k = *pavec++;
2229         double a1k = *pavec++;
2230         double a2k = *pavec++;
2231
2232         double epse0N = 0.5*log(a0k);
2233         double epse1N = 0.5*log(a1k);
2234         double epse2N = 0.5*log(a2k);
2235
2236         double epstr0N = 0.5*log(*pavectr++);
2237         double epstr1N = 0.5*log(*pavectr++);
2238         double epstr2N = 0.5*log(*pavectr++);
2239
2240         double mukN=fHNratio*fdmu[k];
2241         double itauSkN = itaubarN/(ftimesS[k]*fshift);
2242         double ietaS0kN = itauSkN/mukN;
2243         double ietaSkN = ietaS0kN*ietaN;
2244
2245         fEigs_a[0] = a0k;
2246         fEigs_a[1] = a1k;
2247         fEigs_a[2] = a2k;
2248
2249         double Ja = sqrt(fEigs_a.Product());
2250         fEigs_a_dev = fEigs_a;
2251         fEigs_a_dev *= pow(Ja,-2.0*third);
2252         fPot[2]->DevMod(fEigs_a_dev,fDtauDe_BACK, fT0);
2253         fDtauDe_BACK *= fHNratio*fdmu[k];
2254
2255         double dN00k = prefactor2*fDtauDe_BACK(0,0);
2256         double dN01k = prefactor2*fDtauDe_BACK(0,1);
2257         double dN02k = prefactor2*fDtauDe_BACK(0,2);
2258         double dN10k = prefactor2*fDtauDe_BACK(1,1);
2259         double dN11k = prefactor2*fDtauDe_BACK(1,1);
2260         double dN12k = prefactor2*fDtauDe_BACK(1,2);
2261         double dN20k = prefactor2*fDtauDe_BACK(0,2);
2262         double dN21k = prefactor2*fDtauDe_BACK(1,2);
2263         double dN22k = prefactor2*fDtauDe_BACK(2,2);
2264
2265         double taukback0 = *pbackstress++;
2266         double taukback1 = *pbackstress++;
2267         double taukback2 = *pbackstress++;
2268         double Nk0n = *pNstress++;
2269         double Nk1n = *pNstress++;
2270         double Nk2n = *pNstress++;
2271
2272         /* stiffness matrix */

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2273     int a = k*3;
2274     fKaAB(a,a) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN00k;
2275     fKaAB(a,a+1) = 0.5*dt*ietaSkN/alphafuncn*dN01k;
2276     fKaAB(a,a+2) = 0.5*dt*ietaSkN/alphafuncn*dN02k;
2277     fKaAB(a+1,a) = 0.5*dt*ietaSkN/alphafuncn*dN10k;
2278     fKaAB(a+1,a+1) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN11k;
2279     fKaAB(a+1,a+2) = 0.5*dt*ietaSkN/alphafuncn*dN12k;
2280     fKaAB(a+2,a) = 0.5*dt*ietaSkN/alphafuncn*dN20k;
2281     fKaAB(a+2,a+1) = 0.5*dt*ietaSkN/alphafuncn*dN21k;
2282     fKaAB(a+2,a+2) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN22k;
2283
2284     double *paEigVec = avec.Pointer();
2285     for (int l=0; l<fNumS; l++) /* evolution eqs. are coupled
2286                                in this case because of s (or smag here)*/
2287     {
2288         /*moduli*/
2289         double a0l = *paEigVec++;
2290         double a1l = *paEigVec++;
2291         double a2l = *paEigVec++;
2292
2293         fEigs_a[0] = a0l;
2294         fEigs_a[1] = a1l;
2295         fEigs_a[2] = a2l;
2296
2297         double Ja = sqrt(fEigs_a.Product());
2298         fEigs_a_dev = fEigs_a;
2299         fEigs_a_dev *= pow(Ja,-2.0*third);
2300         fPot[2]->DevMod(fEigs_a_dev,fDtauDe_BACK,fT0);
2301         fDtauDe_BACK *= fHNratio*fdmu[l];
2302
2303         double dN00l = prefactor2*fDtauDe_BACK(0,0);
2304         double dN01l = prefactor2*fDtauDe_BACK(0,1);
2305         double dN02l = prefactor2*fDtauDe_BACK(0,2);
2306         double dN10l = prefactor2*fDtauDe_BACK(1,1);
2307         double dN11l = prefactor2*fDtauDe_BACK(1,1);
2308         double dN12l = prefactor2*fDtauDe_BACK(1,2);
2309         double dN20l = prefactor2*fDtauDe_BACK(0,2);
2310         double dN21l = prefactor2*fDtauDe_BACK(1,2);
2311         double dN22l = prefactor2*fDtauDe_BACK(2,2);
2312
2313         double d10 = 0.0;
2314         double d11 = 0.0;
2315         double d12 = 0.0;
2316
2317         if (sbmag > kSmall)
2318         {
2319             d10 = (fNstress[0]*dN00l + fNstress[1]*dN10l +
2320                  fNstress[2]*dN20l)/sbmag;
2321             d11 = (fNstress[0]*dN01l + fNstress[1]*dN11l +
2322                  fNstress[2]*dN21l)/sbmag;
2323             d12 = (fNstress[0]*dN02l + fNstress[1]*dN12l +
2324                  fNstress[2]*dN22l)/sbmag;
2325         }
2326
2327     }
2328
2329     int b = l*3;
2330     fKaAB(a,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*d10*

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2325         Nk0n;
2326         fKaAB(a,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*
                d11*Nk0n;
2327         fKaAB(a,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*
                d12*Nk0n;
2328         fKaAB(a+1,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*
                d10*Nk1n;
2329         fKaAB(a+1,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*
                d11*Nk1n;
2330         fKaAB(a+1,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*
                d12*Nk1n;
2331         fKaAB(a+2,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*
                d10*Nk2n;
2332         fKaAB(a+2,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*0.5*
                d11*Nk2n;
2333         fKaAB(a+2,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN
                *0.5*d12*Nk2n;
2334     }
2335     for(int l=0; l<fNumR; l++)
2336     {
2337         fKTea(l,a) = ffrac3[l]*((dN00k*(epse0N-epstr0N)+dN10k
                *(epse1N-epstr1N)+dN20k*(epse2N-epstr2N))/prefactor2
                +taukback0);
2338         fKTea(l,a+1) = ffrac3[l]*((dN01k*(epse0N-epstr0N)+dN11k
                *(epse1N-epstr1N)+dN21k*(epse2N-epstr2N))/prefactor2
                +taukback1);
2339         fKTea(l,a+2) = ffrac3[l]*((dN02k*(epse0N-epstr0N)+dN12k
                *(epse1N-epstr1N)+dN22k*(epse2N-epstr2N))/prefactor2
                +taukback2);
2340
2341         double phi = fdalpha[l];
2342
2343         double DsbDTek = 0.0;
2344         if (sbmag>kSmall)
2345             DsbDTek = -phi*Tn*fbfrac*sbmag/prefactor2/Tfk[l]/Tfk
                [l];
2346
2347         fKaTe(a,l) = 0.5*dt*ietaS0kN/alphafuncn*Nk0n*DetabarDsN*
                DsbDTek-0.5*dt*ietaSkN/alphafuncn*fbfrac*phi*Tn/Tfk[
                l]/Tfk[l]*taukback0;
2348         fKaTe(a+1,l) = 0.5*dt*ietaS0kN/alphafuncn*Nk1n*
                DetabarDsN*DsbDTek-0.5*dt*ietaSkN/alphafuncn*fbfrac*
                phi*Tn/Tfk[l]/Tfk[l]*taukback1;
2349         fKaTe(a+2,l) = 0.5*dt*ietaS0kN/alphafuncn*Nk2n*
                DetabarDsN*DsbDTek-0.5*dt*ietaSkN/alphafuncn*fbfrac*
                phi*Tn/Tfk[l]/Tfk[l]*taukback2;
2350     }
2351 }
2352 for(int k=0; k<fNumR; k++)
2353 {
2354     /* stiffness matrix */
2355     double itauRk = itaubar/ftimesR[k];
2356     fKdel(k,k) = 1.0 + dt*itauRk-ffrac1[k]*flatenth[k]/Tfk[k]+
                fafrac*ffrac1[k]*ffrac1[k]*fdeltac1*plasticw+ffrac1[k]*
                ffrac1[k]*fdeltac1*flatenth[k]-fbfrac*ffrac1[k]*ffrac1[k]

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2357         ]*fdeltac1*backw;
2358     for (int l=0; l<fNumR; l++)
2359     {
2360         double phi=fdalpha[l];
2361         double DtaubarDTfk = -fBB/Tn/ScTn/ScTn*(phi*fdeltac0/Tfk
2362             [l]+fdeltac1*phi);
2363         fKdel(k,l) += -dt*itauRk*(Tfk[k]-Tn)*DtaubarDTfk;
2364     }
2365     fKdel.Inverse();
2366     fKaAB2.MultABC(fKaTe, fKdel, fKTea);
2367     fKaAB.AddScaled(-1.0, fKaAB2);
2368
2369     /*Solve and update*/
2370     fKaAB.LinearSolve(fResa);
2371
2372     pavec = avec.Pointer();
2373     pra = fResa.Pointer();
2374     for (int k = 0; k < 3*fNumS; k++)
2375     {
2376         double depeN = -(*pra++);
2377         *pavec++ *= exp(depeN)*exp(depeN);
2378     }
2379     /*update backstresses*/
2380     pavec = avec.Pointer();
2381     pbackstress = backstressk.Pointer();
2382     for (int k = 0; k < fNumS; k++)
2383     {
2384         fEigs_a[0] = *pavec++;
2385         fEigs_a[1] = *pavec++;
2386         fEigs_a[2] = *pavec++;
2387
2388         double Ja = sqrt(fEigs_a.Product());
2389         fEigs_a_dev = fEigs_a;
2390         fEigs_a_dev *= pow(Ja, -2.0*third);
2391
2392         fPot[2]->DevStress(fEigs_a_dev, ftau_BACK, fT0);
2393         ftau_BACK *= fHNratio*fdmu[k];
2394
2395         *pbackstress++ =ftau_BACK[0];
2396         *pbackstress++ =ftau_BACK[1];
2397         *pbackstress++ =ftau_BACK[2];
2398     }
2399
2400     /*update residual*/
2401     /*update Teff related*/
2402     Compute_Tei(eigs_n, eigs, eigs_tr, DScDe, stretche, stressk,
2403         backstressk, Tfk_n, Tfk, avec, avec_tr);
2404
2405     double ScTn = 0.0;
2406     double prefactor = 1.0;
2407     double prefactor2 = 1.0;
2408     double Tfn = 0.0;
2409     for(int k=0; k<fNumR; k++)

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2410     {
2411         double phi=fdalpha[k];
2412         fdeltac[k]=capacityc(Tfk[k]);
2413         ffrac1[k]=1.0/fdeltac[k];
2414         ffrac2[k]=fafrac/fdeltac[k];
2415         ffrac3[k]=fbfrac/fdeltac[k];
2416         Tfn += (Tfk[k]*phi);
2417         ScTn += fdeltac0*phi*log(Tfk[k]/fT2)+fdeltac1*phi*(Tfk[k]-
                fT2);
2418         prefactor += phi*fafrac*(Tn/Tfk[k]-1.0);
2419         prefactor2 += phi*fbfrac*(Tn/Tfk[k]-1.0);
2420         flatenth[k] = Tfk[k]/fT0*(DScDe[0]*depsilon0+DScDe[1]*
                depsilon1+DScDe[2]*depsilon2);
2421     }
2422
2423     fEigs_dev = eigs;
2424     fEigs_dev *= pow(J, -2.0*third);
2425     energy = fPot[0]->Energy(fEigs_dev, J);
2426     energy -= fPot[0]->MeanEnergy(J);
2427     ScTn -= energy/fT0;
2428
2429     fNstress=0.0;
2430     backw=0.0;
2431     pbackstress = backstressk.Pointer();
2432     pNstress = fNstressk.Pointer();
2433     pavctr = avec_tr.Pointer();
2434     pavec = avec.Pointer();
2435
2436     for (int i = 0; i < fNumS; i++)
2437     {
2438         double epse0N = 0.5*log(*pavec++);
2439         double epse1N = 0.5*log(*pavec++);
2440         double epse2N = 0.5*log(*pavec++);
2441
2442         double epstr0N = 0.5*log(*pavctr++);
2443         double epstr1N = 0.5*log(*pavctr++);
2444         double epstr2N = 0.5*log(*pavctr++);
2445
2446         ftau_BACK[0] = *pbackstress++;
2447         ftau_BACK[1] = *pbackstress++;
2448         ftau_BACK[2] = *pbackstress++;
2449
2450         ftau_N = ftau_BACK;
2451         ftau_N *= prefactor2;
2452
2453         *pNstress++ = ftau_N[0];
2454         *pNstress++ = ftau_N[1];
2455         *pNstress++ = ftau_N[2];
2456
2457         fNstress += ftau_N;
2458
2459         double backwk = ftau_BACK[0]*(epse0N-epstr0N)+ ftau_BACK
                [1]*(epse1N-epstr1N) + ftau_BACK[2]*(epse2N-epstr2N);
2460         backw += backwk;
2461     }
2462

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2463     N0n = fNstress[0];
2464     N1n = fNstress[1];
2465     N2n = fNstress[2];
2466
2467     sbmag = sqrt(0.5*(N0n*N0n+N1n*N1n+N2n*N2n));
2468
2469     /* update viscosity */
2470     ietabarN = ReptationRelaxationFuncS(Tn, sbmag);
2471     itaubarN = ReptationRelaxationFuncT(Tn);
2472
2473     pbackstress = backstressk.Pointer();
2474     pNstress = fNstressk.Pointer();
2475     pavectr = avec_tr.Pointer();
2476     pavec = avec.Pointer();
2477
2478     pra = fResa.Pointer();
2479     temp = 0.0;
2480
2481     for (int k = 0; k < fNumS; k++)
2482     {
2483         double epse0N = 0.5*log(*pavec++);
2484         double epse1N = 0.5*log(*pavec++);
2485         double epse2N = 0.5*log(*pavec++);
2486
2487         double epstr0N = 0.5*log(*pavectr++);
2488         double epstr1N = 0.5*log(*pavectr++);
2489         double epstr2N = 0.5*log(*pavectr++);
2490
2491         ftau_N[0] = *pNstress++;
2492         ftau_N[1] = *pNstress++;
2493         ftau_N[2] = *pNstress++;
2494
2495         double s0 = ftau_N[0];
2496         double s1 = ftau_N[1];
2497         double s2 = ftau_N[2];
2498
2499         /* viscosity */
2500         mukN=fHNratio*fdmu[k];
2501         itauSkN = itaubarN/(ftimesS[k]*fshift);
2502         double ietaS0kN = itauSkN/mukN;
2503         double ietaSkN = ietaS0kN*ietabarN;
2504         /* calc residual */
2505         ra0 = epse0N - epstr0N + 0.5*dt*ietaSkN*s0/alphafuncn;
2506         ra1 = epse1N - epstr1N + 0.5*dt*ietaSkN*s1/alphafuncn;
2507         ra2 = epse2N - epstr2N + 0.5*dt*ietaSkN*s2/alphafuncn;
2508
2509         temp += ra0*ra0 + ra1*ra1+ ra2*ra2;
2510         *pra++ = ra0;
2511         *pra++ = ra1;
2512         *pra++ = ra2;
2513     }
2514
2515     tol = sqrt(temp);
2516     reltol = tol/tol0;
2517
2518 }

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2519     if (iter >= maxiter)
2520     {
2521         cout<<"\n Number of iteration exceeds maximum. tol: "<<tol;
2522         cout<<"\nelem: "<<CurrElementNumber()<<"\nIP: "<<CurrIP();
2523         ExceptionT::GeneralFail("ET_multi::Compute_a", "number of
                iteration exceeds maximum");
2524     }
2525 }
2526
2527 void ET_multi::Compute_le(const ArrayT<dSymMatrixT>& C_vn, ArrayT<
dSymMatrixT>& C_v, const dArrayT& Tfk_n, dArrayT& Tfk, const ArrayT<
dSymMatrixT>& C_Nvn, ArrayT<dSymMatrixT>& C_Nv, double& heat)
2528 {
2529
2530     double ctol = 1.00e-7;
2531     int maxiter = 100;
2532
2533     /*time step*/
2534     const double dt = fFSMatSupport->TimeStep();
2535
2536     /*temperature and temperature step*/
2537     const double Tn = Compute_Temperature();
2538     const double Tp = Compute_Temperature_last();
2539
2540     const dMatrixT& F_last = F_total_last();
2541     if (NumSD() == 2)
2542     {
2543         fF3D_last[0] = F_last[0];
2544         fF3D_last[1] = F_last[1];
2545         fF3D_last[2] = 0.0;
2546
2547         fF3D_last[3] = F_last[2];
2548         fF3D_last[4] = F_last[3];
2549         fF3D_last[5] = 0.0;
2550
2551         fF3D_last[6] = 0.0;
2552         fF3D_last[7] = 0.0;
2553         fF3D_last[8] = 1.0;
2554     }
2555     else fF3D_last = F_last;
2556
2557     fb_last.MultAAT(fF3D_last);
2558     fSpectralDecompSpat.SpectralDecomp_Jacobi(fb_last, false);
2559     fEigs_last = fSpectralDecompSpat.Eigenvalues();
2560
2561     double l0_last = fEigs_last[0];
2562     double l1_last = fEigs_last[1];
2563     double l2_last = fEigs_last[2];
2564     double Jp = sqrt(l0_last*l1_last*l2_last);
2565
2566     const dMatrixT& F = F_total();
2567     if (NumSD() == 2)
2568     {
2569         fF3D[0] = F[0];
2570         fF3D[1] = F[1];
2571         fF3D[2] = 0.0;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2572         fF3D[3] = F[2];
2573         fF3D[4] = F[3];
2574         fF3D[5] = 0.0;
2575
2576         fF3D[6] = 0.0;
2577         fF3D[7] = 0.0;
2578         fF3D[8] = 1.0;
2579     }
2580 }
2581 else fF3D = F;
2582
2583     fb.MultAAT(fF3D);
2584     fSpectralDecompb.SpectralDecomp_Jacobi(fb, false);
2585     fEigs = fSpectralDecompb.Eigenvalues();
2586     fEigMatb = fSpectralDecompb.Eigenmatrix();
2587     double J = sqrt(fEigs.Product());
2588     double iJ = 1.0/J;
2589
2590     dMatrixT U;
2591     U.Dimension(3);
2592
2593     fEigs_dev = fEigs;
2594     fEigs_dev *= pow(J, -2.0*third);
2595     fPot[0]->DevStress(fEigs_dev, ftau_EQ);
2596
2597     double l0 = fEigs[0];
2598     double l1 = fEigs[1];
2599     double l2 = fEigs[2];
2600
2601     double depsilon0=(0.5*log(l0)-0.5*log(l0_last));
2602     double depsilon1=(0.5*log(l1)-0.5*log(l1_last));
2603     double depsilon2=(0.5*log(l2)-0.5*log(l2_last));
2604
2605     /*calc trial solution l_tr, smag, and platicw*/
2606     double* pltr = fl_tr.Pointer();
2607     double* ple = fle.Pointer();
2608     double* pstress = fstressk.Pointer();
2609     double* pbackstress = fbackstressk.Pointer();
2610     double* pNstress = fNstressk.Pointer();
2611     double* pflowstress = fflowstressk.Pointer();
2612     double* pavectr = favec_tr.Pointer();
2613     double* pavec = favec.Pointer();
2614
2615     ftau = 0.0;
2616     fsback = 0.0;
2617     /*calculate trial state*/
2618     for (int i = 0; i < fNumS; i++)
2619     {
2620         /*calc trial elastic stretch*/
2621         fInverse.Inverse(C_vn[i]);
2622         fbe.MultQBQT(fF3D, fInverse);
2623         fSpectralDecompSpat.SpectralDecomp_Jacobi(fbe, false);
2624         fEigvec2=fSpectralDecompSpat.Eigenmatrix();
2625         fEigs_e = fSpectralDecompSpat.Eigenvalues();
2626         Reorder(fEigs_e, fEigs, fEigvec2, fEigMatb);
2627         *pltr++ = fEigs_e[0];

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2628     *pltr++ = fEigs_e[1];
2629     *pltr++ = fEigs_e[2];
2630     /* initial condition be = btr */
2631     *ple++ = fEigs_e[0];
2632     *ple++ = fEigs_e[1];
2633     *ple++ = fEigs_e[2];
2634     /* calculate total neq stress */
2635     double Je = sqrt(fEigs_e.Product());
2636     fEigs_dev = fEigs_e;
2637     fEigs_dev *= pow(Je, -2.0*third);
2638     fPot[1] -> DevStress(fEigs_dev, ftau_NEQ);
2639     ftau_NEQ *= fdmu[i];
2640     *pstress++ = ftau_NEQ[0];
2641     *pstress++ = ftau_NEQ[1];
2642     *pstress++ = ftau_NEQ[2];
2643
2644     ftau += ftau_NEQ;
2645     // Get rotation tensor fR
2646     fSpectralDecompTest.PolarDecomp(fF3D, fR, fU, false);
2647     fSpectralDecompTest.SpectralDecomp_Jacobi(fU, false);
2648     // Calculate viscous right stretch tensor Uv
2649     fSpectralDecompRef.SpectralDecomp_Jacobi(C_vn[i], false);
2650     fEigs_cvn = fSpectralDecompRef.Eigenvalues();
2651     fEigs_cvn[0] = sqrt(fEigs_cvn[0]);
2652     fEigs_cvn[1] = sqrt(fEigs_cvn[1]);
2653     fEigs_cvn[2] = sqrt(fEigs_cvn[2]);
2654     fUv = fSpectralDecompRef.EigsToRank2(fEigs_cvn);
2655     fUv.ToMatrix(U);
2656     // calculate Fv = R Uv
2657     fFv3D.MultAB(fR, U);
2658     // Calculate bNe_tr = Fv CNvn^-1 FvT
2659     fInverse.Inverse(C_Nvn[i]);
2660     fa.MultQBQT(fFv3D, fInverse);
2661
2662     fSpectralDecompSpat.SpectralDecomp_Jacobi(fa, false);
2663     fEigs_a = fSpectralDecompSpat.Eigenvalues();
2664     fEigvec1 = fSpectralDecompSpat.Eigenmatrix();
2665
2666     Reorder(fEigs_a, fEigs, fEigvec1, fEigMatb);
2667
2668     // write to a vector
2669     *pavec++ = fEigs_a[0];
2670     *pavec++ = fEigs_a[1];
2671     *pavec++ = fEigs_a[2];
2672
2673     *pavectr++ = fEigs_a[0];
2674     *pavectr++ = fEigs_a[1];
2675     *pavectr++ = fEigs_a[2];
2676
2677     // calculate trial backstress
2678     double Ja = sqrt(fEigs_a.Product());
2679     fEigs_a_dev = fEigs_a;
2680     fEigs_a_dev *= pow(Ja, -2.0*third);
2681
2682     fPot[2] -> DevStress(fEigs_a_dev, ftau_BACK, fT0);
2683     ftau_BACK *= fHNratio*fdmu[i];

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2684         *pbackstress++ =ftau_BACK[0];
2685         *pbackstress++ =ftau_BACK[1];
2686         *pbackstress++ =ftau_BACK[2];
2687
2688
2689         fsback += ftau_BACK;
2690     }
2691
2692     /*compute a*/
2693     Compute_a(fEigs_last , fEigs , fl_tr , ftau_EQ , fle , fstressk ,
                fbackstressk , Tfk_n , Tfk , favec , favec_tr);
2694
2695     pavec = favec.Pointer();
2696
2697     double ScTn = 0.0;
2698     double prefactor = 1.0;
2699     double prefactor2 = 1.0;
2700     double Tfn = 0.0;
2701     double Tfp = 0.0;
2702     double plasticw = 0.0; //initially zero because epe-eptr = 0.0;
2703     double backw = 0.0;
2704
2705     for(int k=0; k<fNumR; k++)
2706     {
2707         double phi=fdalpha[k];
2708         fdeltac[k]=capacityc(Tfk[k]);
2709         ffrac1[k]=1.0/fdeltac[k];
2710         ffrac2[k]=fafrac/fdeltac[k];
2711         ffrac3[k]=fbfrac/fdeltac[k];
2712         Tfn += (Tfk[k]*phi);
2713         Tfp += (Tfk_n[k]*phi);
2714         ScTn += fdeltac0*phi*log(Tfk[k]/fT2)+fdeltac1*phi*(Tfk[k]-fT2);
2715         prefactor += phi*fafrac*(Tn/Tfk[k]-1.0);
2716         prefactor2 += phi*fbfrac*(Tn/Tfk[k]-1.0);
2717         flatenth[k] = Tfk[k]/fT0*(ftau_EQ[0]*depsilon0+ftau_EQ[1]*
                depsilon1+ftau_EQ[2]*depsilon2);
2718     }
2719
2720     fEigs_dev = fEigs;
2721     fEigs_dev *= pow(J, -2.0*third);
2722     double energy = fPot[0]->Energy(fEigs_dev , J);
2723     energy -= fPot[0]->MeanEnergy(J);
2724     ScTn -= energy/fT0;
2725
2726     fzeta=0.0;
2727     fNstress=0.0;
2728     pstress = fstressk.Pointer();
2729     pbackstress = fbackstressk.Pointer();
2730     pNstress = fNstressk.Pointer();
2731     pltr = fl_tr.Pointer();
2732     ple = fle.Pointer();
2733     pavectr = favec_tr.Pointer();
2734     pavec = favec.Pointer();
2735     for (int i = 0; i < fNumS; i++)
2736     {
2737         ftau_NEQ[0] = *pstress++;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2738     ftau_NEQ[1] = *pstress++;
2739     ftau_NEQ[2] = *pstress++;
2740
2741     ftau_BACK[0] = *pbackstress++;
2742     ftau_BACK[1] = *pbackstress++;
2743     ftau_BACK[2] = *pbackstress++;
2744
2745     ftau_FLOW[0] = prefactor*ftau_NEQ[0]-prefactor2*ftau_BACK[0];
2746     ftau_FLOW[1] = prefactor*ftau_NEQ[1]-prefactor2*ftau_BACK[1];
2747     ftau_FLOW[2] = prefactor*ftau_NEQ[2]-prefactor2*ftau_BACK[2];
2748
2749     *pflowstress++ = ftau_FLOW[0];
2750     *pflowstress++ = ftau_FLOW[1];
2751     *pflowstress++ = ftau_FLOW[2];
2752
2753     fzeta += ftau_FLOW;
2754
2755     double epse0 = 0.5*log(*ple++);
2756     double epse1 = 0.5*log(*ple++);
2757     double epse2 = 0.5*log(*ple++);
2758
2759     double epstr0 = 0.5*log(*pltr++);
2760     double epstr1 = 0.5*log(*pltr++);
2761     double epstr2 = 0.5*log(*pltr++);
2762
2763     double epse0N = 0.5*log(*pavec++);
2764     double epse1N = 0.5*log(*pavec++);
2765     double epse2N = 0.5*log(*pavec++);
2766
2767     double epstr0N = 0.5*log(*pavectr++);
2768     double epstr1N = 0.5*log(*pavectr++);
2769     double epstr2N = 0.5*log(*pavectr++);
2770
2771     ftau_N = ftau_BACK;
2772     ftau_N *= prefactor2;
2773
2774     *pNstress++ = ftau_N[0];
2775     *pNstress++ = ftau_N[1];
2776     *pNstress++ = ftau_N[2];
2777
2778     fNstress += ftau_N;
2779
2780     double plasticwk = -(ftau_NEQ[0]*(epse0-epstr0)+ ftau_NEQ[1]*(
        epse1-epstr1) + ftau_NEQ[2]*(epse2-epstr2));
2781     plasticw += plasticwk;
2782
2783     double backwk = ftau_BACK[0]*(epse0N-epstr0N)+ ftau_BACK[1]*(
        epse1N-epstr1N) + ftau_BACK[2]*(epse2N-epstr2N);
2784     backw += backwk;
2785 }
2786
2787 double zeta0n = fzeta[0];
2788 double zeta1n = fzeta[1];
2789 double zeta2n = fzeta[2];
2790
2791 double smag = sqrt(0.5*(zeta0n*zeta0n+zeta1n*zeta1n+zeta2n*zeta2n));

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2792
2793
2794     double N0n = fNstress[0];
2795     double N1n = fNstress[1];
2796     double N2n = fNstress[2];
2797
2798     double sbmag = sqrt(0.5*(N0n*N0n+N1n*N1n+N2n*N2n));
2799
2800     /*calc viscosity functions*/
2801     double ietabar = StressRelaxationFunc(Tn, smag);
2802     double itaubar = StructuralRelaxationFunc(Tn, ScTn);
2803
2804     double ietabarN = ReptationRelaxationFuncS(Tn, sbmag);
2805     double itaubarN = ReptationRelaxationFuncT(Tn);
2806
2807     double lm0n=sqrt(fEigs[0]);
2808     double lm1n=sqrt(fEigs[1]);
2809     double lm2n=sqrt(fEigs[2]);
2810     double lmcn=sqrt(lm0n*lm0n+lm1n*lm1n+lm2n*lm2n);
2811
2812     double alpha0n=alphakf(lm0n,lmcn);
2813     double alpha1n=alphakf(lm1n,lmcn);
2814     double alpha2n=alphakf(lm2n,lmcn);
2815
2816     double alphamax=fmax(alpha0n,alpha1n);
2817     alphamax=fmax(alphamax,alpha2n);
2818     double alphan=0.5*pi-alphamax;
2819
2820     double alphafuncn=falpha(alphan);
2821
2822     double dalphadl0n,dalphadl1n,dalphadl2n;
2823
2824     if (alphamax == alpha0n)
2825     {
2826         dalphadl0n=sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
2827         dalphadl1n=-lm0n*lm1n/sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
2828         dalphadl2n=-lm0n*lm2n/sqrt(lm1n*lm1n+lm2n*lm2n)/lmcn/lmcn;
2829     }
2830     else if (alphamax == alpha1n)
2831     {
2832         dalphadl0n=-lm0n*lm1n/sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
2833         dalphadl1n=sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
2834         dalphadl2n=-lm1n*lm2n/sqrt(lm0n*lm0n+lm2n*lm2n)/lmcn/lmcn;
2835     }
2836     else if (alphamax == alpha2n)
2837     {
2838         dalphadl0n=-lm0n*lm2n/sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
2839         dalphadl1n=-lm1n*lm2n/sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
2840         dalphadl2n=sqrt(lm0n*lm0n+lm1n*lm1n)/lmcn/lmcn;
2841     }
2842
2843     /*calc residual*/
2844     /*re-assign pointers*/
2845     pltr = fl_tr.Pointer();
2846     ple = fle.Pointer();
2847     pstress = fstressk.Pointer();

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2848     pbackstress = fbackstressk.Pointer();
2849     pflowstress = fflowstressk.Pointer();
2850     pavectr = favec_tr.Pointer();
2851     pavec = favec.Pointer();
2852
2853     double* pr = fRes.Pointer();
2854     fRes = 0.0;
2855     double r0, r1, r2, tol;
2856     double temp = 0.0;
2857     for (int k = 0; k < fNumS; k++)
2858     {
2859         double epstr0 = 0.5*log(*pltr++);
2860         double epstr1 = 0.5*log(*pltr++);
2861         double epstr2 = 0.5*log(*pltr++);
2862
2863         /* calculate neq stress tauk */
2864         fEigs_dev[0] = *ple++;
2865         fEigs_dev[1] = *ple++;
2866         fEigs_dev[2] = *ple++;
2867         double epse0 = 0.5*log(fEigs_dev[0]);
2868         double epse1 = 0.5*log(fEigs_dev[1]);
2869         double epse2 = 0.5*log(fEigs_dev[2]);
2870
2871         ftau_FLOW[0] = *pflowstress++;
2872         ftau_FLOW[1] = *pflowstress++;
2873         ftau_FLOW[2] = *pflowstress++;
2874
2875         double s0 = ftau_FLOW[0];
2876         double s1 = ftau_FLOW[1];
2877         double s2 = ftau_FLOW[2];
2878
2879         /* viscosity */
2880         double muk=fdmu[k];
2881         double itauSk = itaubar/ftimesS[k];
2882         double ietaS0k = itauSk/muk;
2883         double ietaSk = ietaS0k*ietabar;
2884         /* calc residual */
2885         r0 = epse0 - epstr0 + 0.5*dt*ietaSk*s0;
2886         r1 = epse1 - epstr1 + 0.5*dt*ietaSk*s1;
2887         r2 = epse2 - epstr2 + 0.5*dt*ietaSk*s2;
2888
2889         temp += r0*r0 + r1*r1 + r2*r2;
2890         *pr++ = r0;
2891         *pr++ = r1;
2892         *pr++ = r2;
2893     }
2894     tol = sqrt(temp);
2895     double tol0 = tol;
2896     double reltol =tol0;
2897     int iter = 0;
2898
2899     while (tol > ctol && iter < maxiter)
2900     {
2901         iter++;
2902         fKAB2 = 0.0;
2903         fKAB = 0.0;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2904     fKrTe = 0.0;
2905     fKTer = 0.0;
2906     fKaAB2 = 0.0;
2907     fKaAB = 0.0;
2908     fKaTe = 0.0;
2909     fKTea = 0.0;
2910     fKdel = 0.0;
2911     fKar=0.0;
2912     fKra=0.0;
2913     fK11=0.0;
2914     fK12=0.0;
2915     fK21=0.0;
2916     fK22=0.0;
2917     fK11temp=0.0;
2918     fK12temp=0.0;
2919     fK21temp=0.0;
2920     fK22temp=0.0;
2921     ple = fle.Pointer();
2922     pltr = fl_tr.Pointer();
2923     pstress = fstressk.Pointer();
2924     pbackstress = fbackstressk.Pointer();
2925     pflowstress = fflowstressk.Pointer();
2926     pavctr = favec_tr.Pointer();
2927     pavec = favec.Pointer();
2928
2929     double DetabarDs = 0.0;
2930     if(smag > kSmall)
2931     {
2932         double x = (fQS*smag)/(Tn);
2933         DetabarDs = (x*cosh(x) - sinh(x))/x/smag;
2934     }
2935
2936     double DetabarDsN = 0.0;
2937     if(sbmag > kSmall)
2938     {
2939         double x = (fQSb*sbmag)/(Tn);
2940         DetabarDsN = (x*cosh(x) - sinh(x))/x/sbmag;
2941     }
2942
2943     for(int k=0; k<fNumS; k++)
2944     {
2945         double epstr0 = 0.5*log(*pltr++);
2946         double epstr1 = 0.5*log(*pltr++);
2947         double epstr2 = 0.5*log(*pltr++);
2948
2949         fEigs_dev[0] = *ple++;
2950         fEigs_dev[1] = *ple++;
2951         fEigs_dev[2] = *ple++;
2952
2953         double epse0 = 0.5*log(fEigs_dev[0]);
2954         double epse1 = 0.5*log(fEigs_dev[1]);
2955         double epse2 = 0.5*log(fEigs_dev[2]);
2956
2957         /* calculate neq stress tauk*/
2958         double Je = sqrt(fEigs_dev[0]*fEigs_dev[1]*fEigs_dev[2]);
2959         fEigs_dev *= pow(Je, -2.0*third);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

2960         fPot[1] -> DevMod( fEigs_dev , fDtauDe_NEQ );
2961
2962         /* moduli */
2963         /* viscosity and its derivatives */
2964         double muk = fdmu[k];
2965         double itauSk = itaubar / ftimesS[k];
2966         double ietaS0k = itauSk / muk;
2967         double ietaSk = ietaS0k * ietabar;
2968
2969         double mukN = fHNratio * fdmu[k];
2970         double itauSkN = itaubarN / ( ftimesS[k] * fshift );
2971         double ietaS0kN = itauSkN / mukN;
2972         double ietaSkN = ietaS0kN * ietabarN;
2973
2974         double dk00 = muk * iJ * fDtauDe_NEQ(0,0);
2975         double dk11 = muk * iJ * fDtauDe_NEQ(1,1);
2976         double dk22 = muk * iJ * fDtauDe_NEQ(2,2);
2977         double dk12 = muk * iJ * fDtauDe_NEQ(1,2);
2978         double dk02 = muk * iJ * fDtauDe_NEQ(0,2);
2979         double dk01 = muk * iJ * fDtauDe_NEQ(0,1);
2980
2981         double a0k = *pavec++;
2982         double a1k = *pavec++;
2983         double a2k = *pavec++;
2984
2985         double epse0N = 0.5 * log(a0k);
2986         double epse1N = 0.5 * log(a1k);
2987         double epse2N = 0.5 * log(a2k);
2988
2989         double epstr0N = 0.5 * log(*pavectr++);
2990         double epstr1N = 0.5 * log(*pavectr++);
2991         double epstr2N = 0.5 * log(*pavectr++);
2992
2993         fEigs_a[0] = a0k;
2994         fEigs_a[1] = a1k;
2995         fEigs_a[2] = a2k;
2996
2997         double Ja = sqrt(fEigs_a.Product());
2998         fEigs_a_dev = fEigs_a;
2999         fEigs_a_dev *= pow(Ja, -2.0 * third);
3000         fPot[2] -> DevMod( fEigs_a_dev , fDtauDe_BACK , fT0 );
3001         fDtauDe_BACK *= fHNratio * fdmu[k];
3002
3003         double dN00k = prefactor2 * fDtauDe_BACK(0,0);
3004         double dN01k = prefactor2 * fDtauDe_BACK(0,1);
3005         double dN02k = prefactor2 * fDtauDe_BACK(0,2);
3006         double dN10k = prefactor2 * fDtauDe_BACK(1,1);
3007         double dN11k = prefactor2 * fDtauDe_BACK(1,1);
3008         double dN12k = prefactor2 * fDtauDe_BACK(1,2);
3009         double dN20k = prefactor2 * fDtauDe_BACK(0,2);
3010         double dN21k = prefactor2 * fDtauDe_BACK(1,2);
3011         double dN22k = prefactor2 * fDtauDe_BACK(2,2);
3012
3013         double tauk0 = *pstress++;
3014         double tauk1 = *pstress++;
3015         double tauk2 = *pstress++;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3016     double Mk0n = (tau0)*prefactor;
3017     double Mk1n = (tau1)*prefactor;
3018     double Mk2n = (tau2)*prefactor;
3019
3020     double tauback0 = *pbackstress++;
3021     double tauback1 = *pbackstress++;
3022     double tauback2 = *pbackstress++;
3023     double Nk0n = (tauback0)*prefactor2;
3024     double Nk1n = (tauback1)*prefactor2;
3025     double Nk2n = (tauback2)*prefactor2;
3026
3027     double zetak0 = *pflowstress++;
3028     double zetak1 = *pflowstress++;
3029     double zetak2 = *pflowstress++;
3030
3031     /* stiffness matrix */
3032     int a = k*3;
3033     fKaAB(a,a) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN00k;
3034     fKaAB(a,a+1) = 0.5*dt*ietaSkN/alphafuncn*dN01k;
3035     fKaAB(a,a+2) = 0.5*dt*ietaSkN/alphafuncn*dN02k;
3036     fKaAB(a+1,a) = 0.5*dt*ietaSkN/alphafuncn*dN10k;
3037     fKaAB(a+1,a+1) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN11k;
3038     fKaAB(a+1,a+2) = 0.5*dt*ietaSkN/alphafuncn*dN12k;
3039     fKaAB(a+2,a) = 0.5*dt*ietaSkN/alphafuncn*dN20k;
3040     fKaAB(a+2,a+1) = 0.5*dt*ietaSkN/alphafuncn*dN21k;
3041     fKaAB(a+2,a+2) = 1.0 + 0.5*dt*ietaSkN/alphafuncn*dN22k;
3042
3043     fKar(a,a) = 1.0;
3044     fKar(a,a+1) = 0.0;
3045     fKar(a,a+2) = 0.0;
3046     fKar(a+1,a) = 0.0;
3047     fKar(a+1,a+1) = 1.0;
3048     fKar(a+1,a+2) = 0.0;
3049     fKar(a+2,a) = 0.0;
3050     fKar(a+2,a+1) = 0.0;
3051     fKar(a+2,a+2) = 1.0;
3052
3053     fKra(a,a) = -0.5*dt*ietaSk*dN00k;
3054     fKra(a,a+1) = -0.5*dt*ietaSk*dN01k;
3055     fKra(a,a+2) = -0.5*dt*ietaSk*dN02k;
3056     fKra(a+1,a) = -0.5*dt*ietaSk*dN10k;
3057     fKra(a+1,a+1) = -0.5*dt*ietaSk*dN11k;
3058     fKra(a+1,a+2) = -0.5*dt*ietaSk*dN12k;
3059     fKra(a+2,a) = -0.5*dt*ietaSk*dN20k;
3060     fKra(a+2,a+1) = -0.5*dt*ietaSk*dN21k;
3061     fKra(a+2,a+2) = -0.5*dt*ietaSk*dN22k;
3062
3063     fKAB(a,a) = 1.0 + 0.5*dt*ietaSk*dk00*prefactor/iJ;
3064     fKAB(a,a+1) = 0.5*dt*ietaSk*dk01*prefactor/iJ;
3065     fKAB(a,a+2) = 0.5*dt*ietaSk*dk02*prefactor/iJ;
3066     fKAB(a+1,a) = 0.5*dt*ietaSk*dk01*prefactor/iJ;
3067     fKAB(a+1,a+1) = 1.0 + 0.5*dt*ietaSk*dk11*prefactor/iJ;
3068     fKAB(a+1,a+2) = 0.5*dt*ietaSk*dk12*prefactor/iJ;
3069     fKAB(a+2,a) = 0.5*dt*ietaSk*dk02*prefactor/iJ;
3070     fKAB(a+2,a+1) = 0.5*dt*ietaSk*dk12*prefactor/iJ;
3071     fKAB(a+2,a+2) = 1.0 + 0.5*dt*ietaSk*dk22*prefactor/iJ;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3072     double *ple2 = fle.Pointer();
3073     double *paEigVec = favec.Pointer();
3074     for (int l=0; l<fNumS; l++)          /* evolution eqs. are coupled
3075         in this case because of s (or smag here) */
3076     {
3077         /*moduli*/
3078         fEigs_dev[0] = *ple2++;
3079         fEigs_dev[1] = *ple2++;
3080         fEigs_dev[2] = *ple2++;
3081         double Je2 = sqrt(fEigs_dev[0]*fEigs_dev[1]*fEigs_dev[2]);
3082         fEigs_dev *= pow(Je2, -2.0*third);
3083         fPot[l] -> DevMod(fEigs_dev, fDtauDe_NEQ);
3084         double d100 = fdmu[1]*iJ*fDtauDe_NEQ(0,0);
3085         double d111 = fdmu[1]*iJ*fDtauDe_NEQ(1,1);
3086         double d122 = fdmu[1]*iJ*fDtauDe_NEQ(2,2);
3087         double d112 = fdmu[1]*iJ*fDtauDe_NEQ(1,2);
3088         double d102 = fdmu[1]*iJ*fDtauDe_NEQ(0,2);
3089         double d101 = fdmu[1]*iJ*fDtauDe_NEQ(0,1);
3090
3091         double c10 = 0.0;
3092         double c11 = 0.0;
3093         double c12 = 0.0;
3094
3095         double a01 = *paEigVec++;
3096         double a11 = *paEigVec++;
3097         double a21 = *paEigVec++;
3098
3099         fEigs_a[0] = a01;
3100         fEigs_a[1] = a11;
3101         fEigs_a[2] = a21;
3102
3103         double Ja = sqrt(fEigs_a.Product());
3104         fEigs_a_dev = fEigs_a;
3105         fEigs_a_dev *= pow(Ja, -2.0*third);
3106         fPot[2] -> DevMod(fEigs_a_dev, fDtauDe_BACK, fT0);
3107         fDtauDe_BACK *= fHNratio*fdmu[1];
3108
3109         double dN001 = prefactor2*fDtauDe_BACK(0,0);
3110         double dN011 = prefactor2*fDtauDe_BACK(0,1);
3111         double dN021 = prefactor2*fDtauDe_BACK(0,2);
3112         double dN101 = prefactor2*fDtauDe_BACK(1,1);
3113         double dN111 = prefactor2*fDtauDe_BACK(1,1);
3114         double dN121 = prefactor2*fDtauDe_BACK(1,2);
3115         double dN201 = prefactor2*fDtauDe_BACK(0,2);
3116         double dN211 = prefactor2*fDtauDe_BACK(1,2);
3117         double dN221 = prefactor2*fDtauDe_BACK(2,2);
3118
3119         double d10 = 0.0;
3120         double d11 = 0.0;
3121         double d12 = 0.0;
3122
3123         double e10 = 0.0;
3124         double e11 = 0.0;
3125         double e12 = 0.0;
3126

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3127         if (smag > kSmall)
3128         {
3129             c10 = 0.5*(fzeta[0]*dl00 + fzeta[1]*dl01 + fzeta[2]*
3130                 dl02)*prefactor/iJ/smag;
3131             c11 = 0.5*(fzeta[0]*dl01 + fzeta[1]*dl11 + fzeta[2]*
3132                 dl12)*prefactor/iJ/smag;
3133             c12 = 0.5*(fzeta[0]*dl02 + fzeta[1]*dl12 + fzeta[2]*
3134                 dl22)*prefactor/iJ/smag;
3135
3136             dl0 = -0.5*(fzeta[0]*dN001 + fzeta[1]*dN101 + fzeta
3137                 [2]*dN201)/smag;
3138             dl1 = -0.5*(fzeta[0]*dN011 + fzeta[1]*dN111 + fzeta
3139                 [2]*dN211)/smag;
3140             dl2 = -0.5*(fzeta[0]*dN021 + fzeta[1]*dN121 + fzeta
3141                 [2]*dN221)/smag;
3142         }
3143
3144         if (sbmag > kSmall)
3145         {
3146             e10 = 0.5*(fNstress[0]*dN001 + fNstress[1]*dN101 +
3147                 fNstress[2]*dN201)/sbmag;
3148             e11 = 0.5*(fNstress[0]*dN011 + fNstress[1]*dN111 +
3149                 fNstress[2]*dN211)/sbmag;
3150             e12 = 0.5*(fNstress[0]*dN021 + fNstress[1]*dN121 +
3151                 fNstress[2]*dN221)/sbmag;
3152         }
3153
3154         int b = 1*3;
3155         fKaAB(a,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e10*Nk0n
3156         ;
3157         fKaAB(a,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e11*
3158         Nk0n;
3159         fKaAB(a,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e12*
3160         Nk0n;
3161         fKaAB(a+1,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e10*
3162         Nk1n;
3163         fKaAB(a+1,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e11*
3164         Nk1n;
3165         fKaAB(a+1,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e12*
3166         Nk1n;
3167         fKaAB(a+2,b) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e10*
3168         Nk2n;
3169         fKaAB(a+2,b+1) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*e11*
3170         Nk2n;
3171         fKaAB(a+2,b+2) += 0.5*dt*ietaS0kN/alphafuncn*DetabarDsN*
3172         e12*Nk2n;
3173
3174         fKra(a,b) += 0.5*dt*ietaS0k*(DetabarDs*dl0)*zetak0;
3175         fKra(a,b+1) += 0.5*dt*ietaS0k*(DetabarDs*dl1)*zetak0;
3176         fKra(a,b+2) += 0.5*dt*ietaS0k*(DetabarDs*dl2)*zetak0;
3177         fKra(a+1,b) += 0.5*dt*ietaS0k*(DetabarDs*dl0)*zetak1;
3178         fKra(a+1,b+1) += 0.5*dt*ietaS0k*(DetabarDs*dl1)*zetak1;
3179         fKra(a+1,b+2) += 0.5*dt*ietaS0k*(DetabarDs*dl2)*zetak1;
3180         fKra(a+2,b) += 0.5*dt*ietaS0k*(DetabarDs*dl0)*zetak2;
3181         fKra(a+2,b+1) += 0.5*dt*ietaS0k*(DetabarDs*dl1)*zetak2;
3182         fKra(a+2,b+2) += 0.5*dt*ietaS0k*(DetabarDs*dl2)*zetak2;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3165     fKAB(a,b) += 0.5*dt*ietaS0k*(DetabarDs*c10)*zetak0;
3166     fKAB(a,b+1) += 0.5*dt*ietaS0k*(DetabarDs*c11)*zetak0;
3167     fKAB(a,b+2) += 0.5*dt*ietaS0k*(DetabarDs*c12)*zetak0;
3168     fKAB(a+1,b) += 0.5*dt*ietaS0k*(DetabarDs*c10)*zetak1;
3169     fKAB(a+1,b+1) += 0.5*dt*ietaS0k*(DetabarDs*c11)*zetak1;
3170     fKAB(a+1,b+2) += 0.5*dt*ietaS0k*(DetabarDs*c12)*zetak1;
3171     fKAB(a+2,b) += 0.5*dt*ietaS0k*(DetabarDs*c10)*zetak2;
3172     fKAB(a+2,b+1) += 0.5*dt*ietaS0k*(DetabarDs*c11)*zetak2;
3173     fKAB(a+2,b+2) += 0.5*dt*ietaS0k*(DetabarDs*c12)*zetak2;
3174
3175 }
3176 for(int l=0; l<fNumR; l++)
3177 {
3178     fKTea(l,a) = ffrac3[l]*((dN00k*(epse0N-epstr0N)+dN10k*(
3179         epse1N-epstr1N)+dN20k*(epse2N-epstr2N))/prefactor2+
3180         taukback0);
3181     fKTea(l,a+1) = ffrac3[l]*((dN01k*(epse0N-epstr0N)+dN11k
3182         *(epse1N-epstr1N)+dN21k*(epse2N-epstr2N))/prefactor2
3183         +taukback1);
3184     fKTea(l,a+2) = ffrac3[l]*((dN02k*(epse0N-epstr0N)+dN12k
3185         *(epse1N-epstr1N)+dN22k*(epse2N-epstr2N))/prefactor2
3186         +taukback2);
3187
3188     double M0n = prefactor*ftau[0];
3189     double M1n = prefactor*ftau[1];
3190     double M2n = prefactor*ftau[2];
3191
3192     double N0n = prefactor2*fsback[0];
3193     double N1n = prefactor2*fsback[1];
3194     double N2n = prefactor2*fsback[2];
3195
3196     double zm = 0.5*(zeta0n*M0n+zeta1n*M1n+zeta2n*M2n);
3197     double zn = 0.5*(zeta0n*N0n+zeta1n*N1n+zeta2n*N2n);
3198
3199     double phi = fdalpha[l];
3200     double DsDTek = 0.0;
3201     if(smag>kSmall)
3202         DsDTek = -phi*Tn*fafrac*zm/prefactor/smag/Tfk[l]/Tfk[
3203             l]+phi*Tn*fbfrac*zn/prefactor2/smag/Tfk[l]/Tfk[
3204                 l];
3205
3206     double DsbDTek = 0.0;
3207     if(sbmag>kSmall)
3208         DsbDTek = -phi*Tn*fbfrac*sbmag/prefactor2/Tfk[l]/Tfk[
3209             l];
3210
3211     fKaTe(a,l) = 0.5*dt*ietaS0kN/alphafuncn*Nk0n*DetabarDsN*
3212         DsbDTek-0.5*dt*ietaSkN/alphafuncn*fbfrac*phi*Tn/Tfk[l]/Tfk[l]*taukback0;
3213     fKaTe(a+1,l) = 0.5*dt*ietaS0kN/alphafuncn*Nk1n*
3214         DetabarDsN*DsbDTek-0.5*dt*ietaSkN/alphafuncn*fbfrac*
3215         phi*Tn/Tfk[l]/Tfk[l]*taukback1;
3216     fKaTe(a+2,l) = 0.5*dt*ietaS0kN/alphafuncn*Nk2n*
3217         DetabarDsN*DsbDTek-0.5*dt*ietaSkN/alphafuncn*fbfrac*
3218         phi*Tn/Tfk[l]/Tfk[l]*taukback2;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3206         fKTer(1,a) = ffrac2[1]*(dk00/iJ*(epse0-epstr0) + tauk0 +
3207             dk01/iJ*(epse1-epstr1)+dk02/iJ*(epse2-epstr2))+
             ffrac3[1]*taukback0;
3208         fKTer(1,a+1) = ffrac2[1]*(dk01/iJ*(epse0-epstr0) + tauk1
             + dk11/iJ*(epse1-epstr1)+dk12/iJ*(epse2-epstr2))+
             ffrac3[1]*taukback1;
3209         fKTer(1,a+2) = ffrac2[1]*(dk02/iJ*(epse0-epstr0) + tauk2
             + dk12/iJ*(epse1-epstr1)+dk22/iJ*(epse2-epstr2))+
             ffrac3[1]*taukback2;

3210         fKTe(a,1) = 0.5*dt*ietaSk*(fBB/Tn/ScTn/ScTn*(phi*
3211             fdeltac0/Tfk[1]+fdeltac1*phi)+DetabarDs*DSDTek/
             ietabar)*zetak0 +0.5*dt*ietaSk*(-Mk0n*phi*Tn*fafrac /
             prefactor/Tfk[1]/Tfk[1]+Nk0n*phi*Tn*fbfrac /
             prefactor2/Tfk[1]/Tfk[1]);
3212         fKTe(a+1,1) = 0.5*dt*ietaSk*(fBB/Tn/ScTn/ScTn*(phi*
             fdeltac0/Tfk[1]+fdeltac1*phi)+DetabarDs*DSDTek/
             ietabar)*zetak1 +0.5*dt*ietaSk*(-Mk1n*phi*Tn*fafrac /
             prefactor/Tfk[1]/Tfk[1]+Nk1n*phi*Tn*fbfrac /
             prefactor2/Tfk[1]/Tfk[1]);
3213         fKTe(a+2,1) = 0.5*dt*ietaSk*(fBB/Tn/ScTn/ScTn*(phi*
             fdeltac0/Tfk[1]+fdeltac1*phi)+DetabarDs*DSDTek/
             ietabar)*zetak2 +0.5*dt*ietaSk*(-Mk2n*phi*Tn*fafrac /
             prefactor/Tfk[1]/Tfk[1]+Nk2n*phi*Tn*fbfrac /
             prefactor2/Tfk[1]/Tfk[1]);

3214     }
3215 }
3216 }
3217 for(int k=0; k<fNumR; k++)
3218 {
3219     /* stiffness matrix */
3220     double itauRk = itaubar/ftimesR[k];
3221     fKdel(k,k) = 1.0 + dt*itauRk-ffrac1[k]*flatenth[k]/Tfk[k]+
             fafrac*ffrac1[k]*ffrac1[k]*fdeltac1*plasticw+ffrac1[k]*
             ffrac1[k]*fdeltac1*flatenth[k]-fbfrac*ffrac1[k]*ffrac1[k]
             ]*fdeltac1*backw;
3222     for (int l=0; l<fNumR; l++)
3223     {
3224         double phi=fdalpha[l];
3225         double DtaubarDTfk = -fBB/Tn/ScTn/ScTn*(phi*fdeltac0/Tfk
             [1]+fdeltac1*phi);
3226         fKdel(k,l) += -dt*itauRk*(Tfk[k]-Tn)*DtaubarDTfk;
             }
3227 }

3228 fK11=fKaAB;
3229 fK12=fKar;
3230 fK21=fKTer;
3231 fK22=fKdel;
3232 fKdel.Inverse();
3233 fKaAB.Inverse();
3234 fK11temp.MultABC(fKaTe, fKdel, fKTea);
3235 fK12temp.MultABC(fKaTe, fKdel, fKTer);
3236 fK21temp.MultABC(fKTea, fKaAB, fKar);
3237 fK22temp.MultABC(fKTea, fKaAB, fKaTe);
3238

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3239         fK11.AddScaled(-1.0, fK11temp);
3240         fK12.AddScaled(-1.0, fK12temp);
3241         fK21.AddScaled(-1.0, fK21temp);
3242         fK22.AddScaled(-1.0, fK22temp);
3243         fK11.Inverse();
3244         fK22.Inverse();
3245
3246         fKaAB2.MultABC(fKra, fK11, fK12);
3247         fKAB2.MultABC(fKrTe, fK22, fK21);
3248         fKAB.AddScaled(-1.0, fKaAB2);
3249         fKAB.AddScaled(-1.0, fKAB2);
3250
3251         /*Solve and update*/
3252         fKAB.LinearSolve(fRes);
3253         /*update Cv and be*/
3254         ple = fle.Pointer();
3255         pr = fRes.Pointer();
3256
3257         for (int k = 0; k < 3*fNumS; k++)
3258         {
3259             double dep = -(*pr++);
3260             *ple++ *= exp(2.0*dep);
3261         }
3262
3263         ple = fle.Pointer();
3264         pavctr = favec_tr.Pointer();
3265         pavec = favec.Pointer();
3266         pbackstress = fbackstressk.Pointer();
3267
3268         //update trial state for a=bNe
3269         for (int k = 0; k < fNumS; k++)
3270         {
3271             fEigs_e[0] = *ple++;
3272             fEigs_e[1] = *ple++;
3273             fEigs_e[2] = *ple++;
3274             fbe = fSpectralDecompB.EigsToRank2(fEigs_e);
3275             fInverse.Inverse(fbe);
3276             C_v[k].MultQTbQ(fF3D, fInverse);
3277             //Calculate Uv
3278             fSpectralDecompRef.SpectralDecompJacobi(C_v[k], false);
3279             fEigs_cvn = fSpectralDecompRef.Eigenvalues();
3280             fEigs_cvn[0] = sqrt(fEigs_cvn[0]);
3281             fEigs_cvn[1] = sqrt(fEigs_cvn[1]);
3282             fEigs_cvn[2] = sqrt(fEigs_cvn[2]);
3283             fUv = fSpectralDecompRef.EigsToRank2(fEigs_cvn);
3284             fUv.ToMatrix(U);
3285             //Calculate Fv and update bNe (fa)
3286             fFv3D.MultAB(fR, U);
3287             fInverse.Inverse(C_Nvn[k]);
3288             fa.MultQBQT(fFv3D, fInverse);
3289             //Decompose and get favec
3290             fSpectralDecompSpat.SpectralDecompJacobi(fa, false);
3291             fEigs_a = fSpectralDecompSpat.Eigenvalues();
3292             fEigvec1 = fSpectralDecompSpat.Eigenmatrix();
3293             Reorder(fEigs_a, fEigs, fEigvec1, fEigMatb);
3294

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3295         *pavectr++ = fEigs_a[0];
3296         *pavectr++ = fEigs_a[1];
3297         *pavectr++ = fEigs_a[2];
3298     }
3299
3300     /*update stresses*/
3301     ple = fle.Pointer();
3302     pstress = fstressk.Pointer();
3303     ftau = 0.0;
3304     for (int k = 0; k < fNumS; k++)
3305     {
3306         fEigs_e[0] = *ple++;
3307         fEigs_e[1] = *ple++;
3308         fEigs_e[2] = *ple++;
3309
3310         double Je = sqrt(fEigs_e.Product());
3311         fEigs_dev[0] = fEigs_e[0]*pow(Je, -2.0*third);
3312         fEigs_dev[1] = fEigs_e[1]*pow(Je, -2.0*third);
3313         fEigs_dev[2] = fEigs_e[2]*pow(Je, -2.0*third);
3314         fPot[1] -> DevStress(fEigs_dev, ftau_NEQ);
3315         ftau_NEQ *= fdmu[k];
3316
3317         *pstress++ = ftau_NEQ[0];
3318         *pstress++ = ftau_NEQ[1];
3319         *pstress++ = ftau_NEQ[2];
3320
3321         ftau += ftau_NEQ;
3322     }
3323
3324     /*compute a*/
3325     Compute_a(fEigs_last, fEigs, fl_tr, ftau_EQ, fle, fstressk,
3326             fbackstressk, Tfk_n, Tfk, favec, favec_tr);
3327
3328     double ScTn = 0.0;
3329     double prefactor = 1.0;
3330     double prefactor2 = 1.0;
3331     double Tfn = 0.0;
3332     double Tfp = 0.0;
3333     double plasticw = 0.0;
3334     double backw = 0.0;
3335
3336     for (int k=0; k<fNumR; k++)
3337     {
3338         double phi = fdalpha[k];
3339         fdeltac[k] = capacityc(Tfk[k]);
3340         ffrac1[k] = 1.0 / fdeltac[k];
3341         ffrac2[k] = fafrac / fdeltac[k];
3342         ffrac3[k] = fbfrac / fdeltac[k];
3343         Tfn += (Tfk[k]*phi);
3344         Tfp += (Tfk_n[k]*phi);
3345         ScTn += fdeltac0*phi*log(Tfk[k]/fT2) + fdeltac1*phi*(Tfk[k]-fT2);
3346         prefactor += phi*fafrac*(Tn/Tfk[k]-1.0);
3347         prefactor2 += phi*fbfrac*(Tn/Tfk[k]-1.0);
3348         flatenth[k] = Tfk[k]/fT0*(ftau_EQ[0]*depsilon0+ftau_EQ[1]*
3349                 depsilon1+ftau_EQ[2]*depsilon2);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3348     }
3349     fEigs_dev = fEigs;
3350     fEigs_dev *= pow(J, -2.0*third);
3351     energy = fPot[0]->Energy(fEigs_dev, J);
3352     energy -= fPot[0]->MeanEnergy(J);
3353     ScTn -= energy/fT0;
3354
3355     /*update pavec and back stress*/
3356     pavec = favec.Pointer();
3357     pbackstress = fbackstressk.Pointer();
3358     fsback=0.0;
3359     for (int i = 0; i < fNumS; i++)
3360     {
3361         fEigs_a[0] = *pavec++;
3362         fEigs_a[1] = *pavec++;
3363         fEigs_a[2] = *pavec++;
3364
3365         double Ja = sqrt(fEigs_a.Product());
3366         fEigs_a_dev = fEigs_a;
3367         fEigs_a_dev *= pow(Ja, -2.0*third);
3368
3369         fPot[2]->DevStress(fEigs_a_dev, ftau_BACK, fT0);
3370         ftau_BACK *= fHNratio*fdmu[i];
3371
3372         *pbackstress++ =ftau_BACK[0];
3373         *pbackstress++ =ftau_BACK[1];
3374         *pbackstress++ =ftau_BACK[2];
3375
3376         fsback += ftau_BACK;
3377     }
3378
3379     fzeta=0.0;
3380     fNstress=0.0;
3381     pstress = fstressk.Pointer();
3382     pbackstress = fbackstressk.Pointer();
3383     pNstress = fNstressk.Pointer();
3384     pflowstress = fflowstressk.Pointer();
3385     pltr = fl_tr.Pointer();
3386     ple = fle.Pointer();
3387     pavectr = favec_tr.Pointer();
3388     pavec = favec.Pointer();
3389     for (int i = 0; i < fNumS; i++)
3390     {
3391         ftau_NEQ[0] = *pstress++;
3392         ftau_NEQ[1] = *pstress++;
3393         ftau_NEQ[2] = *pstress++;
3394
3395         ftau_BACK[0] = *pbackstress++;
3396         ftau_BACK[1] = *pbackstress++;
3397         ftau_BACK[2] = *pbackstress++;
3398
3399         ftau_FLOW[0] = prefactor*ftau_NEQ[0]-prefactor2*ftau_BACK
3400             [0];
3401         ftau_FLOW[1] = prefactor*ftau_NEQ[1]-prefactor2*ftau_BACK
3402             [1];
3403         ftau_FLOW[2] = prefactor*ftau_NEQ[2]-prefactor2*ftau_BACK

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

[2];
3402
3403     *pflowstress++ = ftau_FLOW[0];
3404     *pflowstress++ = ftau_FLOW[1];
3405     *pflowstress++ = ftau_FLOW[2];
3406
3407     fzeta += ftau_FLOW;
3408
3409     double epse0 = 0.5*log(*ple++);
3410     double epse1 = 0.5*log(*ple++);
3411     double epse2 = 0.5*log(*ple++);
3412
3413     double epstr0 = 0.5*log(*pltr++);
3414     double epstr1 = 0.5*log(*pltr++);
3415     double epstr2 = 0.5*log(*pltr++);
3416
3417     double epse0N = 0.5*log(*pavec++);
3418     double epse1N = 0.5*log(*pavec++);
3419     double epse2N = 0.5*log(*pavec++);
3420
3421     double epstr0N = 0.5*log(*pavectr++);
3422     double epstr1N = 0.5*log(*pavectr++);
3423     double epstr2N = 0.5*log(*pavectr++);
3424
3425     ftau_N = ftau_BACK;
3426     ftau_N *= prefactor2;
3427
3428     *pNstress++ = ftau_N[0];
3429     *pNstress++ = ftau_N[1];
3430     *pNstress++ = ftau_N[2];
3431
3432     fNstress += ftau_N;
3433
3434     double plasticwk = -(ftau_NEQ[0]*(epse0-epstr0)+ ftau_NEQ
3435                          [1]*(epse1-epstr1) + ftau_NEQ[2]*(epse2-epstr2));
3436     plasticwk += plasticwk;
3437
3438     double backwk = ftau_BACK[0]*(epse0N-epstr0N)+ ftau_BACK
3439                     [1]*(epse1N-epstr1N) + ftau_BACK[2]*(epse2N-epstr2N);
3440     backwk += backwk;
3441
3442     }
3443
3444     /* update smag */
3445     double zeta0n = fzeta[0];
3446     double zeta1n = fzeta[1];
3447     double zeta2n = fzeta[2];
3448
3449     double smag = sqrt(0.5*(zeta0n*zeta0n+zeta1n*zeta1n+zeta2n*
3450                          zeta2n));
3451
3452     double N0n = fNstress[0];
3453     double N1n = fNstress[1];
3454     double N2n = fNstress[2];
3455
3456     double sbmag = sqrt(0.5*(N0n*N0n+N1n*N1n+N2n*N2n));

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3454
3455     /*update viscosity*/
3456     ietabar = StressRelaxationFunc(Tn, smag);
3457     itaubar = StructuralRelaxationFunc(Tn, ScTn);
3458
3459     ietabarN = ReptationRelaxationFuncS(Tn, sbmag);
3460     itaubarN = ReptationRelaxationFuncT(Tn);
3461
3462     /*calc residual*/
3463     /*re-assign pointers*/
3464     pltr = fl_tr.Pointer();
3465     ple = fle.Pointer();
3466     pstress = fstressk.Pointer();
3467     pbackstress = fbackstressk.Pointer();
3468     pflowstress = fflowstressk.Pointer();
3469     pavctr = favec_tr.Pointer();
3470     pavec = favec.Pointer();
3471
3472     pr = fRes.Pointer();
3473     temp = 0.0;
3474     for (int k = 0; k < fNumS; k++)
3475     {
3476         double epstr0 = 0.5*log(*pltr++);
3477         double epstr1 = 0.5*log(*pltr++);
3478         double epstr2 = 0.5*log(*pltr++);
3479
3480         /*calculate neq stress tauk*/
3481         fEigs_dev[0] = *ple++;
3482         fEigs_dev[1] = *ple++;
3483         fEigs_dev[2] = *ple++;
3484         double epse0 = 0.5*log(fEigs_dev[0]);
3485         double epse1 = 0.5*log(fEigs_dev[1]);
3486         double epse2 = 0.5*log(fEigs_dev[2]);
3487
3488         ftau_FLOW[0] = *pflowstress++;
3489         ftau_FLOW[1] = *pflowstress++;
3490         ftau_FLOW[2] = *pflowstress++;
3491
3492         double s0 = ftau_FLOW[0];
3493         double s1 = ftau_FLOW[1];
3494         double s2 = ftau_FLOW[2];
3495
3496         /*viscosity*/
3497         muk=fdmu[k];
3498         double itauSk = itaubar/ftimesS[k];
3499         double ietaS0k = itauSk/muk;
3500         double ietaSk = ietaS0k*ietabar;
3501         /*calc residual*/
3502         r0 = epse0 - epstr0 + 0.5*dt*ietaSk*s0;
3503         r1 = epse1 - epstr1 + 0.5*dt*ietaSk*s1;
3504         r2 = epse2 - epstr2 + 0.5*dt*ietaSk*s2;
3505
3506         temp += r0*r0 + r1*r1+ r2*r2;
3507         *pr++ = r0;
3508         *pr++ = r1;
3509         *pr++ = r2;

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3510     }
3511
3512     tol = sqrt(temp);
3513     reltol = tol/tol0;
3514 }
3515 if (iter >= maxiter)
3516 {
3517     cout<<"\n Number of iteration exceeds maximum. tol: "<<tol;
3518     cout<<"\nelem: "<<CurrElementNumber()<<"\nIP: "<<CurrIP();
3519     ExceptionT::GeneralFail("ET_multi::Compute_le", "number of
        iteration exceeds maximum");
3520 }
3521 if (isnan(tol))
3522 {
3523     cout<<"\nelem: "<<CurrElementNumber()<<"\nIP: "<<CurrIP();
3524     ExceptionT::GeneralFail("ET_multi::Compute_le", "nan");
3525 }
3526
3527 /*update Cv &CNv with converged solution*/
3528 ple = fle.Pointer();
3529 pavec = favec.Pointer();
3530 for (int k = 0; k < fNumS; k++)
3531 {
3532     fEigs_a[0] = *pavec++;
3533     fEigs_a[1] = *pavec++;
3534     fEigs_a[2] = *pavec++;
3535     fa=fSpectralDecomp.EigsToRank2(fEigs_a);
3536     fa.Inverse();
3537
3538     fSpectralDecompRef.SpectralDecomp_Jacobi(C_v[k], false);
3539     fEigs_cvn = fSpectralDecompRef.Eigenvalues();
3540     fEigs_cvn[0]=sqrt(fEigs_cvn[0]);fEigs_cvn[1]=sqrt(fEigs_cvn[1]);
        fEigs_cvn[2]=sqrt(fEigs_cvn[2]);
3541     fU=fSpectralDecompRef.EigsToRank2(fEigs_cvn);
3542
3543     dMatrixT U; U.Dimension(3);
3544     fU.ToMatrix(U);
3545     fFv3D.MultAB(fR,U);
3546
3547     C_Nv[k].MultQTBQ(fFv3D, fa);
3548 }
3549
3550 /*calculate incremental heat*/
3551 const double rho = Density();
3552 double structural=0.0;
3553 double coeff_latentheat=0.0;
3554 for (int k = 0; k < fNumS; k++)
3555 {
3556     fdeltac[k]=capacityc(Tfk[k]);
3557     structural += rho*fdeltac[k]*fdalpha[k]*(Tfk[k]-Tfk_n[k]);
3558     coeff_latentheat += (Tfk[k]/ft0)*fdalpha[k];
3559 }
3560 /*add contributions from structural relaxation and latent heat*/
3561 heat = structural - coeff_latentheat*(ftau_EQ[0]*depsilon0+ftau_EQ
        [1]*depsilon1+ftau_EQ[2]*depsilon2);
3562

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3563 Tfn = 0.0;
3564 Tfp = 0.0;
3565 for(int k=0; k<fNumR; k++)
3566 {
3567     double phi=fdalpha[k];
3568     Tfn += (Tfk[k]*phi);
3569     Tfp += (Tfk_n[k]*phi);
3570 }
3571
3572 backw=0.0;
3573 plasticw = 0.0;
3574 ple = fle.Pointer();
3575 pltr = fl_tr.Pointer();
3576 pstress = fstressk.Pointer();
3577 pbackstress = fbackstressk.Pointer();
3578 pavectr = favec_tr.Pointer();
3579 pavec = favec.Pointer();
3580 for (int i = 0; i < fNumS; i++)
3581 {
3582     ftau_NEQ[0] = *pstress++;
3583     ftau_NEQ[1] = *pstress++;
3584     ftau_NEQ[2] = *pstress++;
3585
3586     ftau_BACK[0] = *pbackstress++;
3587     ftau_BACK[1] = *pbackstress++;
3588     ftau_BACK[2] = *pbackstress++;
3589
3590     double epse0 = 0.5*log(*ple++);
3591     double epse1 = 0.5*log(*ple++);
3592     double epse2 = 0.5*log(*ple++);
3593
3594     double epstr0 = 0.5*log(*pltr++);
3595     double epstr1 = 0.5*log(*pltr++);
3596     double epstr2 = 0.5*log(*pltr++);
3597
3598     double epse0N = 0.5*log(*pavec++);
3599     double epse1N = 0.5*log(*pavec++);
3600     double epse2N = 0.5*log(*pavec++);
3601
3602     double epstr0N = 0.5*log(*pavectr++);
3603     double epstr1N = 0.5*log(*pavectr++);
3604     double epstr2N = 0.5*log(*pavectr++);
3605
3606     double plasticwk = -(ftau_NEQ[0]*(epse0-epstr0)+ ftau_NEQ[1]*(
3607         epse1-epstr1) + ftau_NEQ[2]*(epse2-epstr2));
3608     plasticw += plasticwk;
3609
3610     double backwk = ftau_BACK[0]*(epse0N-epstr0N)+ ftau_BACK[1]*(
3611         epse1N-epstr1N) + ftau_BACK[2]*(epse2N-epstr2N);
3612     backw += backwk;
3613 }
3614
3615 /*add contribution from plastic work*/
3616 heat += -(plasticw-backw);

```

## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3617 /* Reorder Eigenvalues in order of RefVec */
3618 int ET_multi::Reorder(dArrayT& EigValue , dArrayT RefValue , dMatrixT&
      EigVec , dMatrixT& RefVec)
3619 {
3620     const double kEigenSmall = 1.0e-8;
3621     int flag = -1;
3622     int rflag = -1;
3623     int FLAG=0;
3624     bool deig0= abs(EigValue[1]-EigValue[2])<kEigenSmall;
3625     bool deig1= abs(EigValue[2]-EigValue[0])<kEigenSmall;
3626     bool deig2= abs(EigValue[0]-EigValue[1])<kEigenSmall;
3627     bool deigr0= abs(RefValue[1]-RefValue[2])<kEigenSmall;
3628     bool deigr1= abs(RefValue[2]-RefValue[0])<kEigenSmall;
3629     bool deigr2= abs(RefValue[0]-RefValue[1])<kEigenSmall;
3630
3631     if (deig0 && deig1 && deig2 ) {return FLAG;}
3632     else if (deig0){
3633         flag=0;
3634     }else if (deig1){
3635         flag=1;
3636     }else if (deig2){
3637         flag=2;
3638     }
3639
3640     int order0=10; int order1=10; int order2=10;
3641
3642     double innerdot11 , innerdot12 , innerdot13;
3643     double innerdot21 , innerdot22 , innerdot23;
3644     double innerdot31 , innerdot32 , innerdot33;
3645
3646     innerdot11=EigVec[0]*RefVec[0]+EigVec[1]*RefVec[1]+EigVec[2]*RefVec
      [2];
3647     innerdot12=EigVec[0]*RefVec[3]+EigVec[1]*RefVec[4]+EigVec[2]*RefVec
      [5];
3648     innerdot13=EigVec[0]*RefVec[6]+EigVec[1]*RefVec[7]+EigVec[2]*RefVec
      [8];
3649     innerdot11=innerdot11*innerdot11;
3650     innerdot12=innerdot12*innerdot12;
3651     innerdot13=innerdot13*innerdot13;
3652     innerdot21=EigVec[3]*RefVec[0]+EigVec[4]*RefVec[1]+EigVec[5]*RefVec
      [2];
3653     innerdot22=EigVec[3]*RefVec[3]+EigVec[4]*RefVec[4]+EigVec[5]*RefVec
      [5];
3654     innerdot23=EigVec[3]*RefVec[6]+EigVec[4]*RefVec[7]+EigVec[5]*RefVec
      [8];
3655     innerdot21=innerdot21*innerdot21;
3656     innerdot22=innerdot22*innerdot22;
3657     innerdot23=innerdot23*innerdot23;
3658     innerdot31=EigVec[6]*RefVec[0]+EigVec[7]*RefVec[1]+EigVec[8]*RefVec
      [2];
3659     innerdot32=EigVec[6]*RefVec[3]+EigVec[7]*RefVec[4]+EigVec[8]*RefVec
      [5];
3660     innerdot33=EigVec[6]*RefVec[6]+EigVec[7]*RefVec[7]+EigVec[8]*RefVec
      [8];
3661     innerdot31=innerdot31*innerdot31;
3662     innerdot32=innerdot32*innerdot32;

```



## APPENDIX B. FINITE ELEMENT IMPLEMENTATION OF THE CONSTITUTIVE MODEL BASED ON EFFECTIVE TEMPERATURE THEORY

```

3663     innerdot33=innerdot33*innerdot33;
3664
3665     if(innerdot11>innerdot12 && innerdot11>innerdot13)
3666     {
3667         order0=0;
3668     }
3669     else if (innerdot12>innerdot11 && innerdot12>innerdot13)
3670     {
3671         order0=1;
3672     }
3673     else { order0=2;}
3674
3675     if(innerdot21>innerdot22 && innerdot21>innerdot23)
3676     {
3677         order1=0;
3678     }
3679     else if (innerdot22>innerdot21 && innerdot22>innerdot23)
3680     {
3681         order1=1;
3682     }
3683     else { order1=2;}
3684
3685     if(innerdot31>innerdot32 && innerdot31>innerdot33)
3686     {
3687         order2=0;
3688     }
3689     else if (innerdot32>innerdot31 && innerdot32>innerdot33)
3690     {
3691         order2=1;
3692     }
3693     else { order2=2;}
3694     if (order0+order1+order2 !=3)
3695     {
3696         cout<<"\nEle #:"<<CurrElementNumber()<<"\tIP #:"<<CurrIP()<<"\n";
3697         ExceptionT::GeneralFail("ET_multi:: Reorder", "wrong ordering");
3698     }
3699
3700     if (order0!=0 || order1!=1 || order2!=2)
3701     {
3702         double temp0, temp1, temp2;
3703         temp0=EigValue[0]; temp1=EigValue[1]; temp2=EigValue[2];
3704         EigValue[order0]=temp0;
3705         EigValue[order1]=temp1;
3706         EigValue[order2]=temp2;
3707     }
3708     return FLAG;
3709 }

```

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## Vita



Jingkai Guo was born in Laiwu, Shandong Province, China in 1990. He received a B.S. in Theoretical and Applied Mechanics from Fudan University in June, 2012. He joined Professor Nguyen's lab at Johns Hopkins University in September 2012 and obtained a M.S.E in Mechanical Engineering in 2014. His research in Hopkins focused on the modeling of soft active materials including dielectric elastomers, hydrogels and shape memory polymers, which has been published in Journal of the Applied Mechanics and Extreme Mechanics Letters et al. His research interest lies in soft active materials and solid mechanics.